

The MCEq code and atmospheric leptons

Anatoli Fedynitch

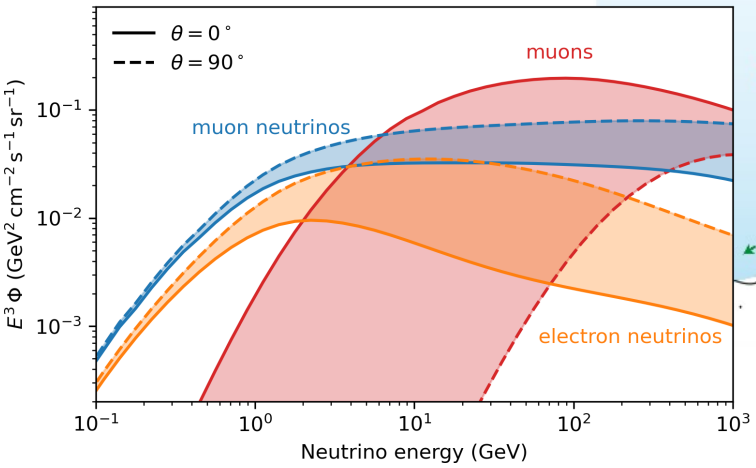
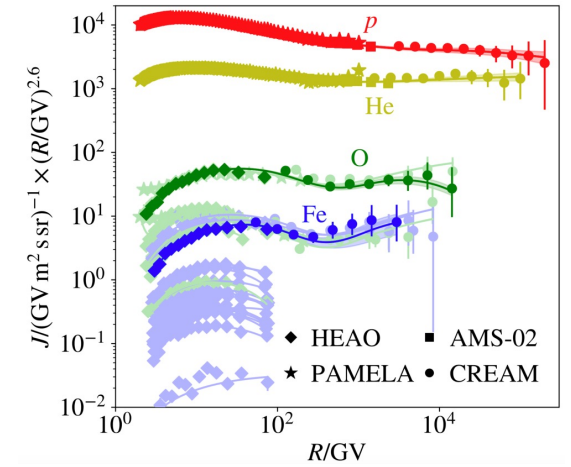
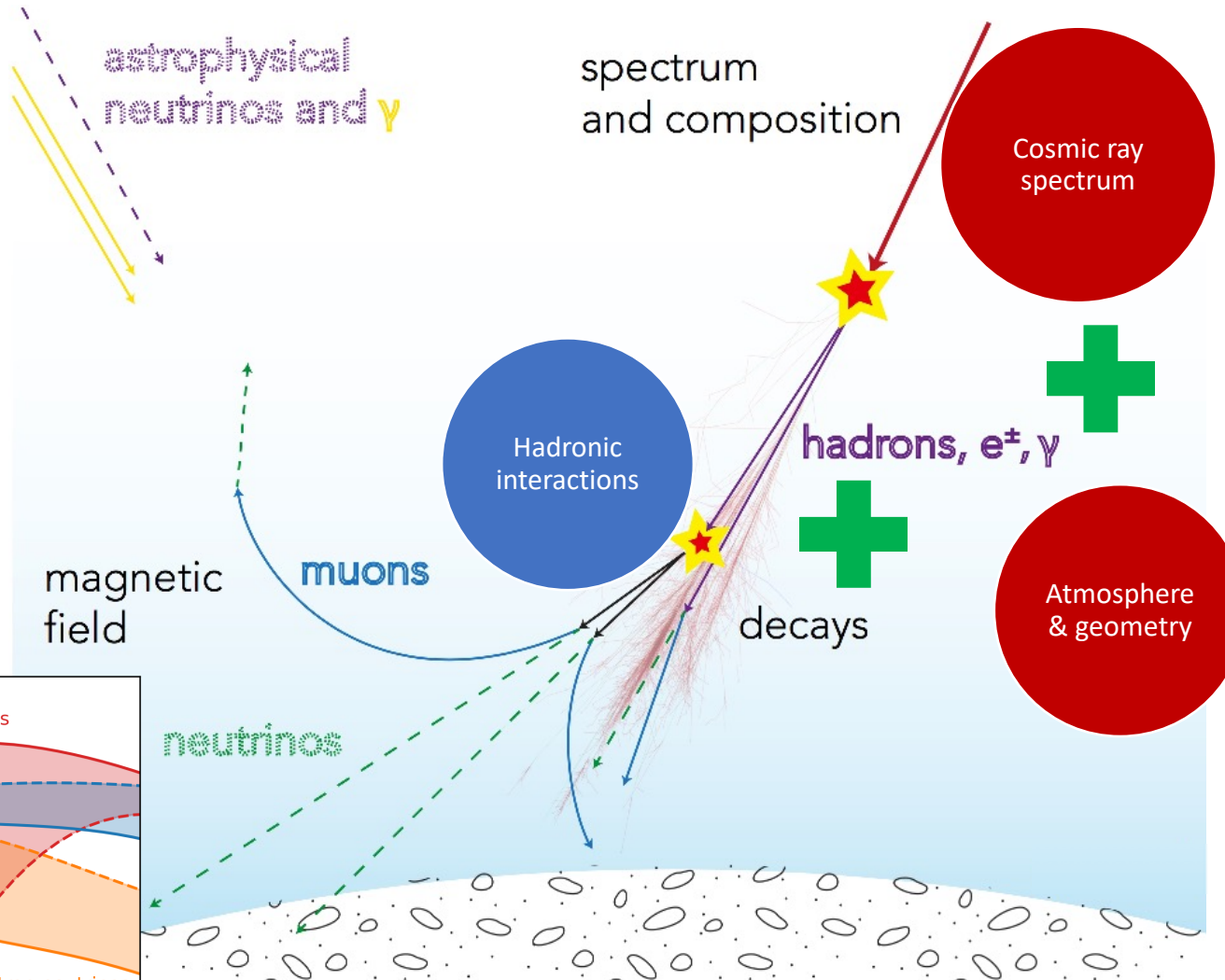
High-Energy Theory Group, Institute of Physics, Academia Sinica, Taipei

Workshop on the tuning of hadronic interaction models, Wuppertal, 2024/01/23



Modeling inclusive leptons in the atmosphere

“inclusive” = integrated over CR energy and all other particles at the surface

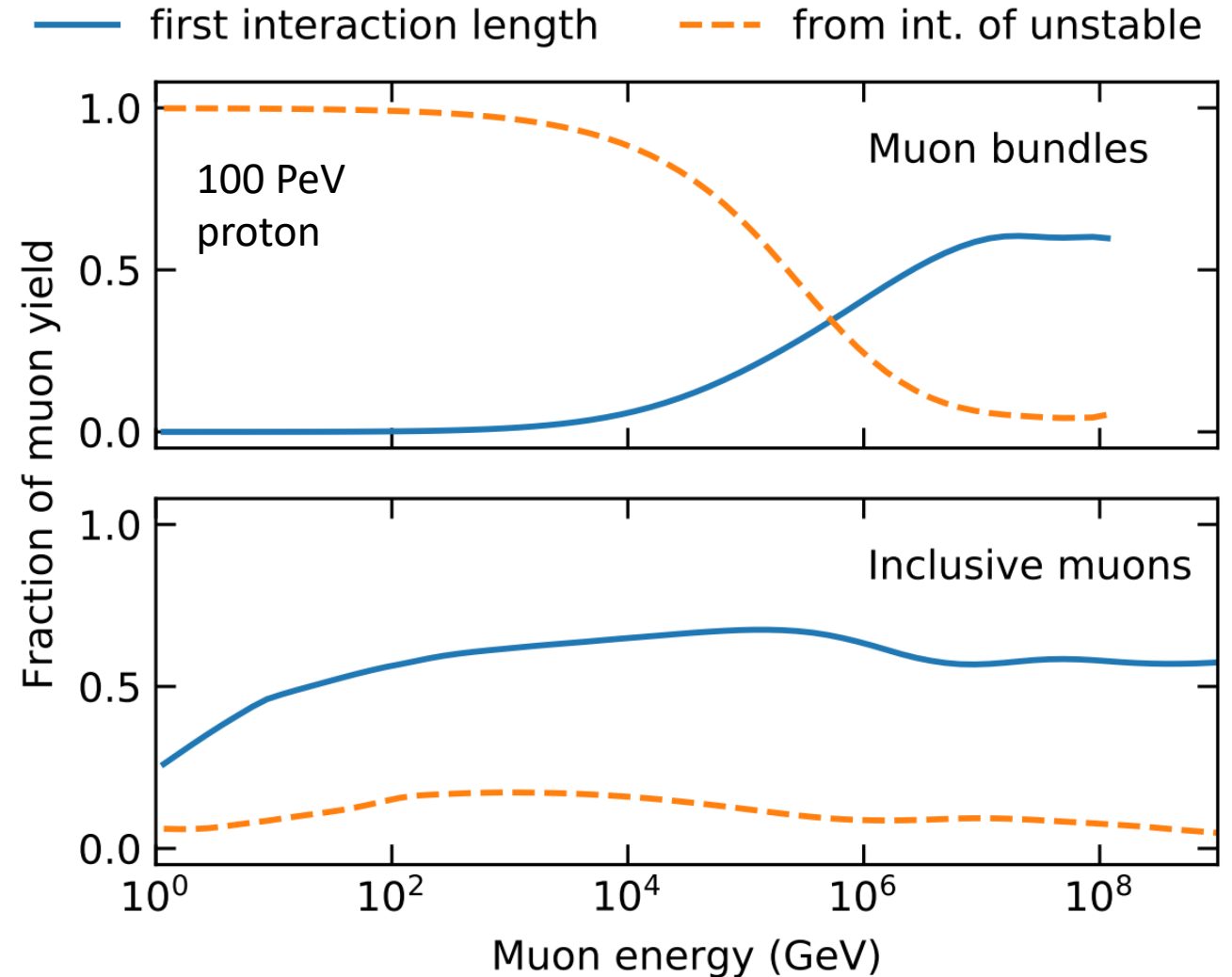


None of these models is founded on a “fundamental” theory/framework.

All are “theory-motivated”, “data-driven”, or empirical.

Atm. leptons != air showers: different “astroparticle observable”

- Inclusive fluxes sensitive to “first interaction”
- Air shower muons at the surface mostly from pion interactions
- Reason: competition between falling CR flux vs falling forward cross section
- Problems in incl. leptons distinct should be distinct from air showers



Transport equations (hadronic cascade equations) in 1D (and 2D)

System of coupled non-linear PDE for each particle species h :

$$\frac{d\Phi_h(E, X)}{dX} = - \frac{\Phi_h(E, X)}{\lambda_{\text{int},h}(E)} \quad \text{cosmic ray physics}$$

$$\frac{d\Phi_h(E, X)}{dX} = - \frac{\Phi_h(E, X)}{\lambda_{\text{dec},h}(E, X)} \quad \text{Interactions with air}$$

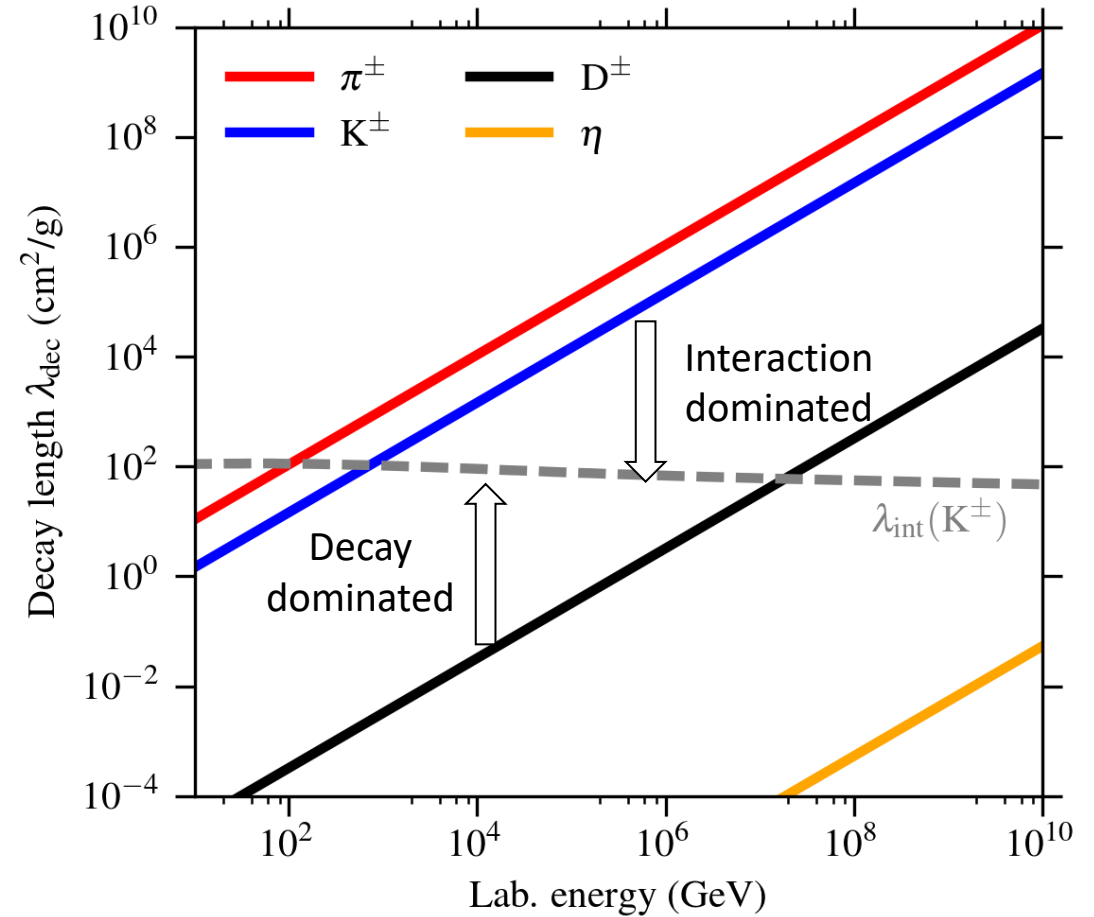
$$\frac{d\Phi_h(E, X)}{dX} = - \frac{\Phi_h(E, X)}{\lambda_{\text{dec},h}(E, X)} \quad \text{Decays}$$

$$- \frac{\partial}{\partial E} (\mu(E) \Phi_h(E, X)) \quad \text{atmospheric physics}$$

$$- \frac{\partial}{\partial E} (\mu(E) \Phi_h(E, X)) \quad \text{Continuous losses}$$

$$+ \sum_k \int_E^\infty dE_k \frac{dN_{k(E_k) \rightarrow h(E)}}{dE} \frac{\Phi_k(E_k, X)}{\lambda_{\text{int},k}(E_k)} \quad \text{particle physics}$$

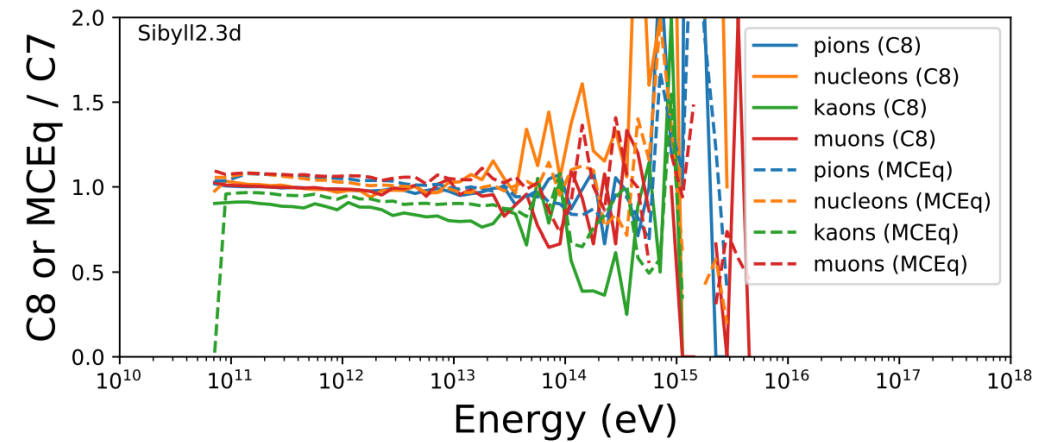
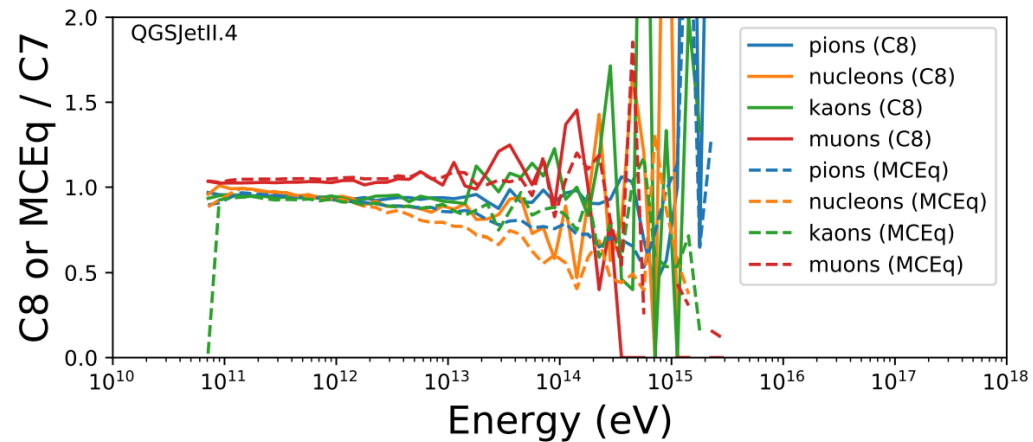
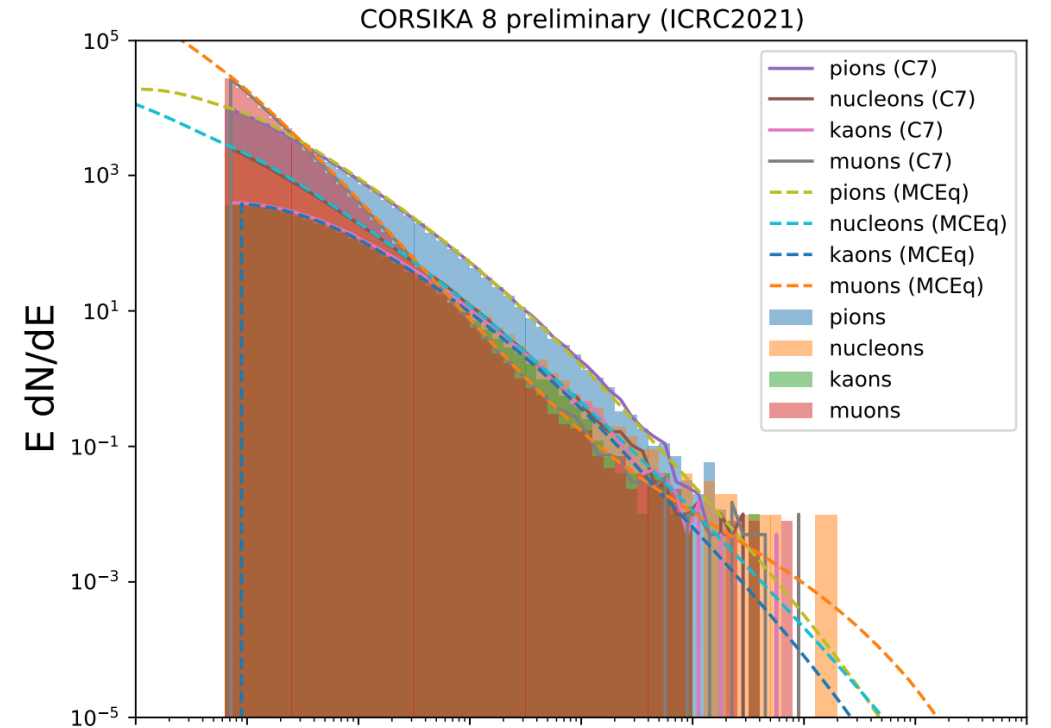
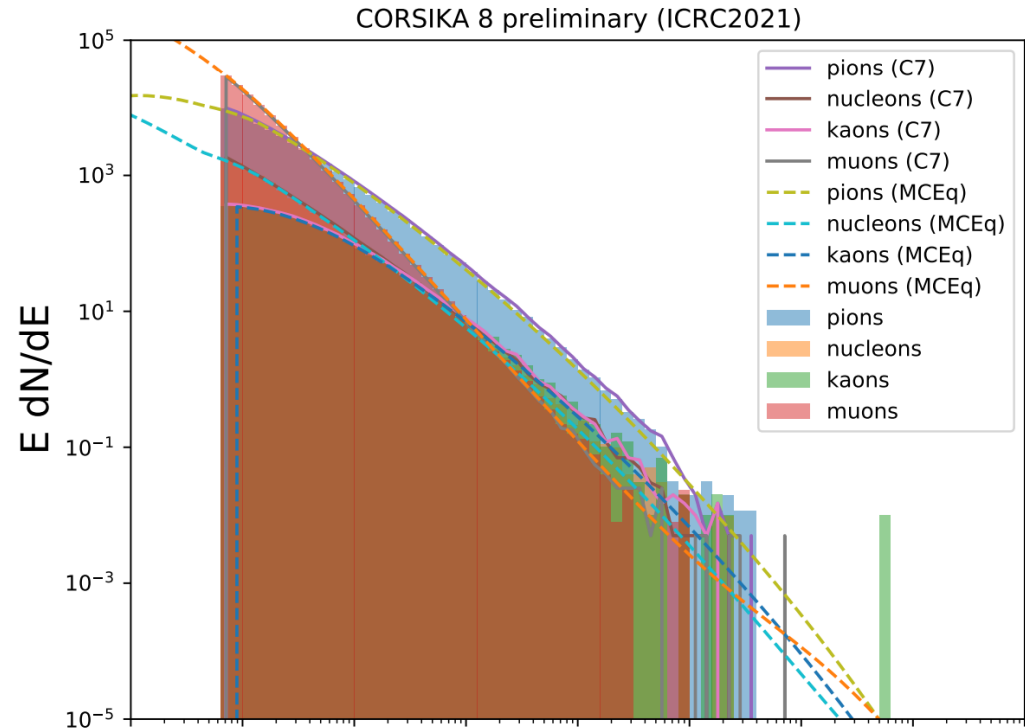
$$+ \sum_k \int_E^\infty dE_k \frac{dN_{k(E_k) \rightarrow h(E)}^{\text{dec}}}{dE} \frac{\Phi_k(E_k, X)}{\lambda_{\text{dec},k}(E_k, X)}$$



$$X(h_0) = \int_0^{h_0} dl \rho_{\text{air}}(l)$$

MCEq vs CORSIKA8 particle spectrum (for average air shower)

R. Ulrich et al. for C8 Coll.
PoS(ICRC 2021) 474

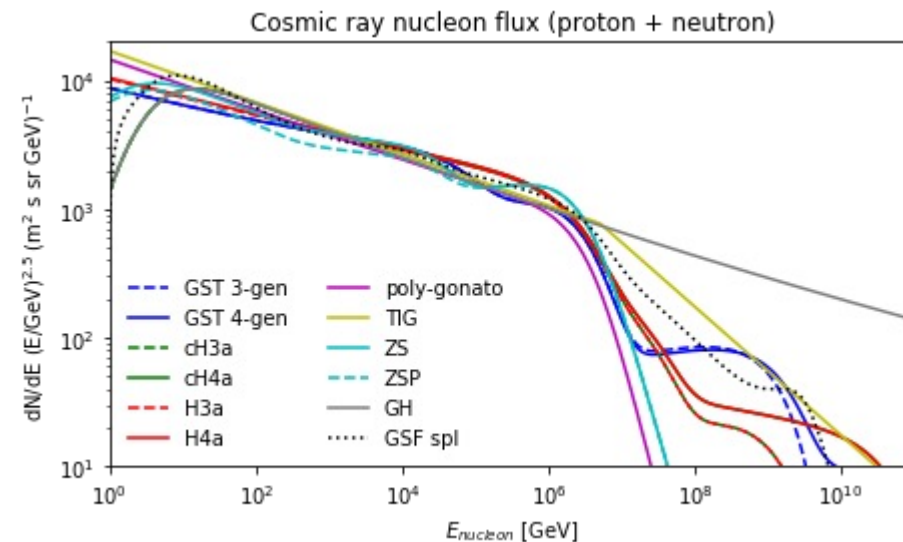


Available models

Hadronic interaction models are:

- SIBYLL*
- SIBYLL-2.3c/d + 2.1
- EPOS-LHC
- QGSJet-II-03/-04
- QGSJet-01c
- DPMJET-III-3.0.6
- DPMJET-III-19.1/-3
- FLUKA (work in progress)
- UrQMD (not public)
- Pythia 8 (not public)

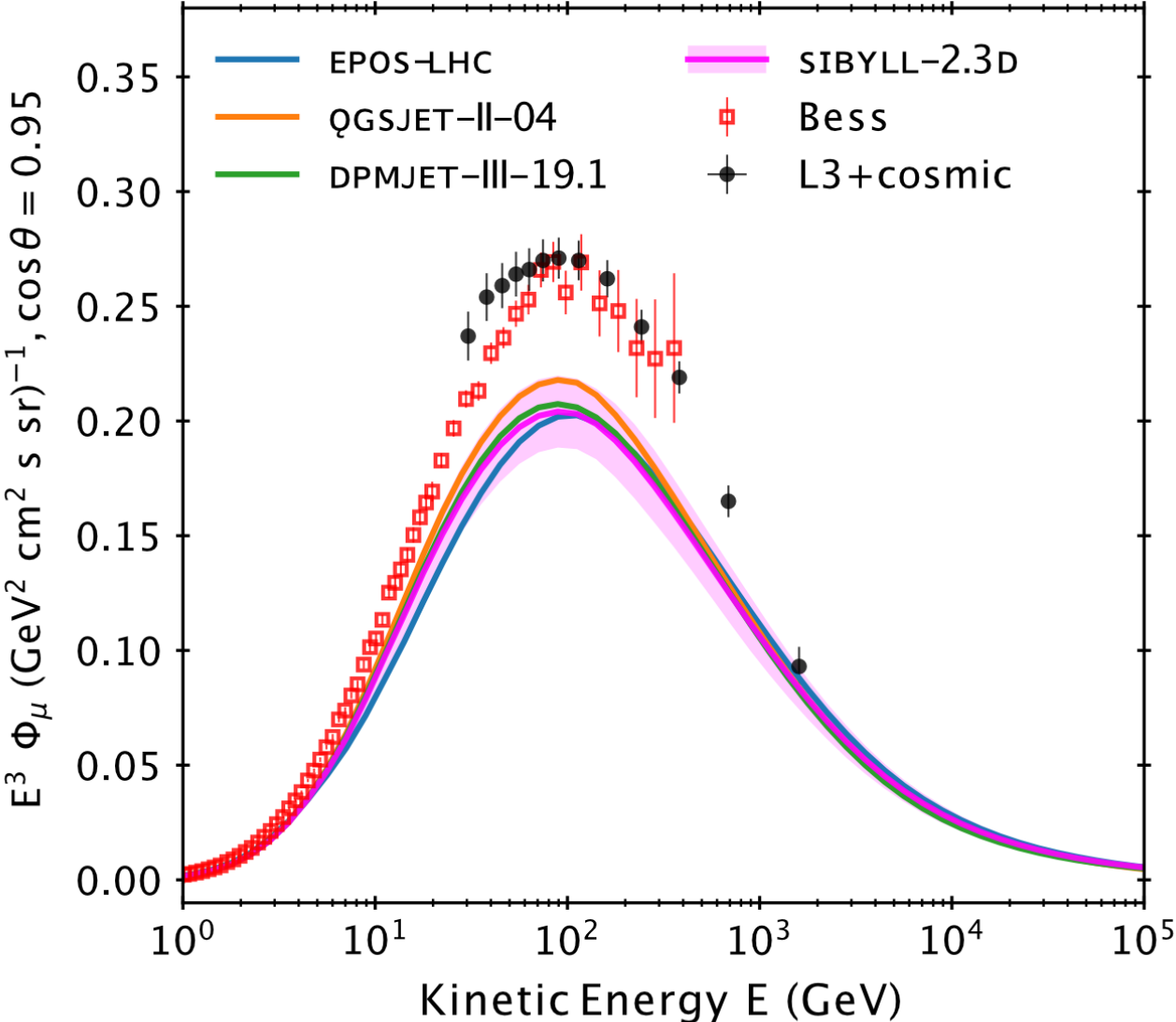
Cosmic ray flux models distributed in [an independent crflux module](#).



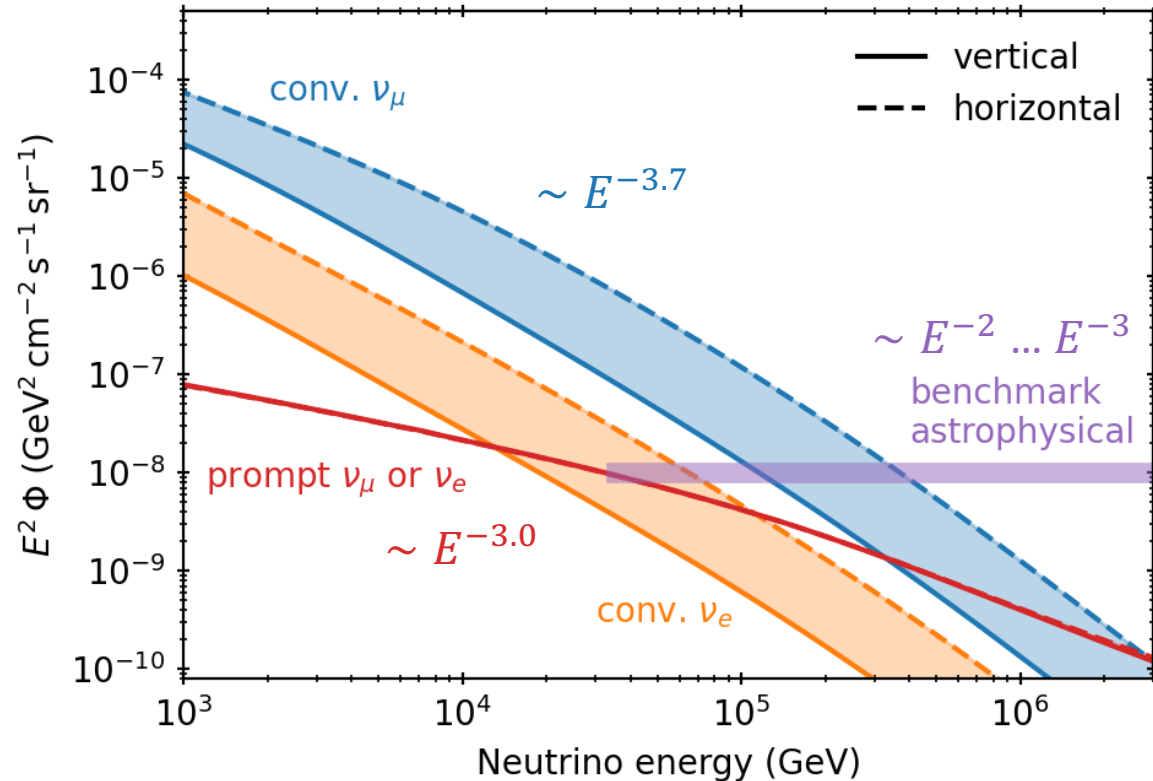
Atmosphere models from

- CORSIKA7 (multiple locations)
- NRLMSISE-00 (global, “static”)
- Some special cases and interface to tabulated atm.

But surface muons never looked great... (known for > 10 years or so)



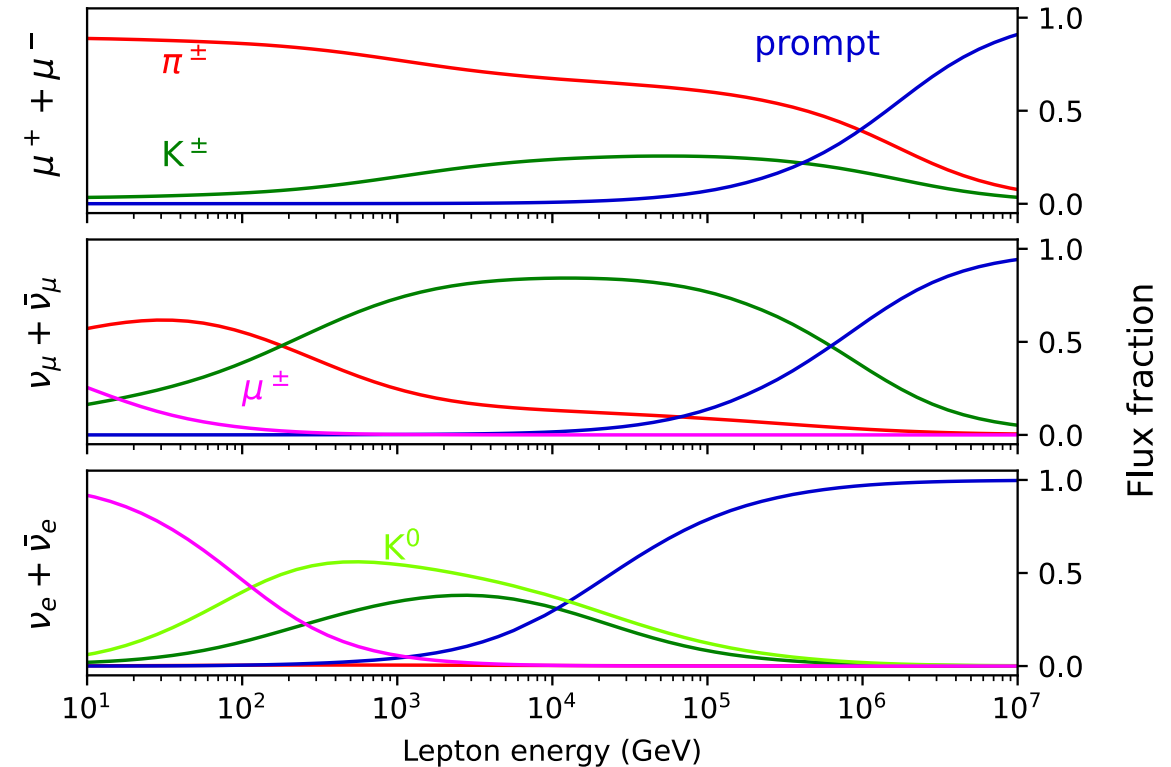
High energy lepton spectrum



Bands (zenith-enhancement):

- Lower boundary $\cos \theta = 1$, vertical
- Upper boundary $\cos \theta = 0$, horizontal

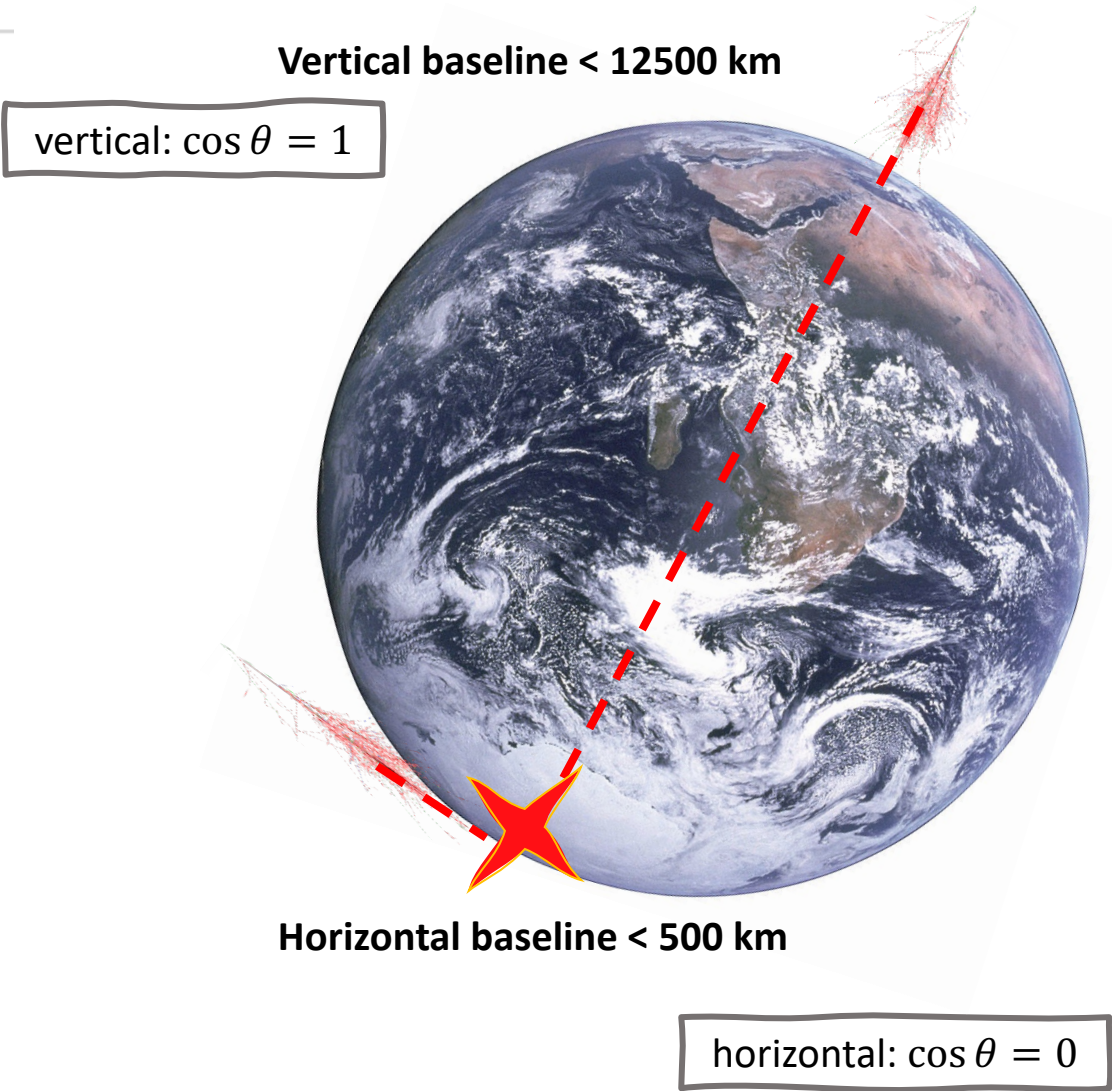
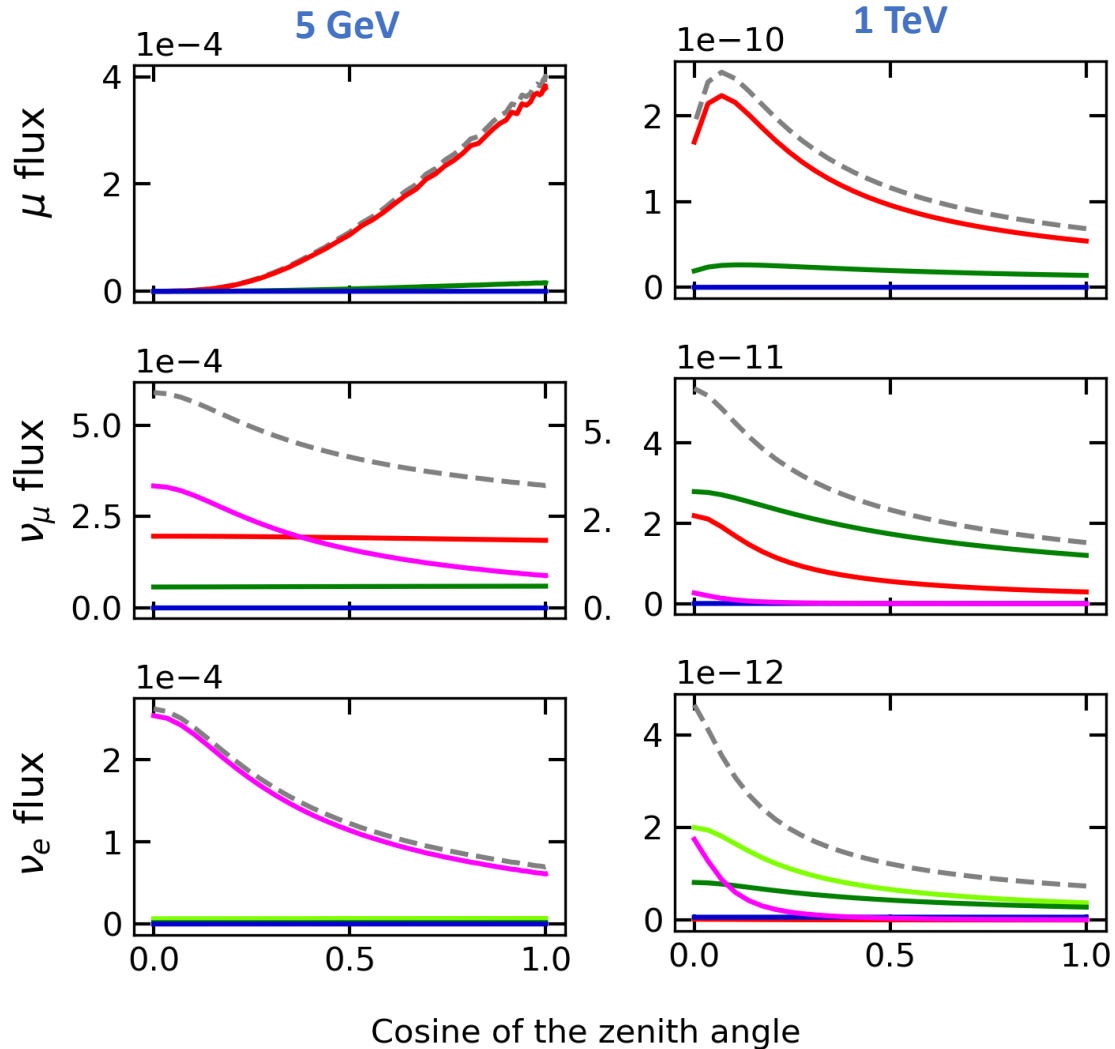
AF, F. Riehn, R. Engel, T.K. Gaisser, T. Stanev, PRD 100 2019



Different weight of hadrons in lepton production, due to:

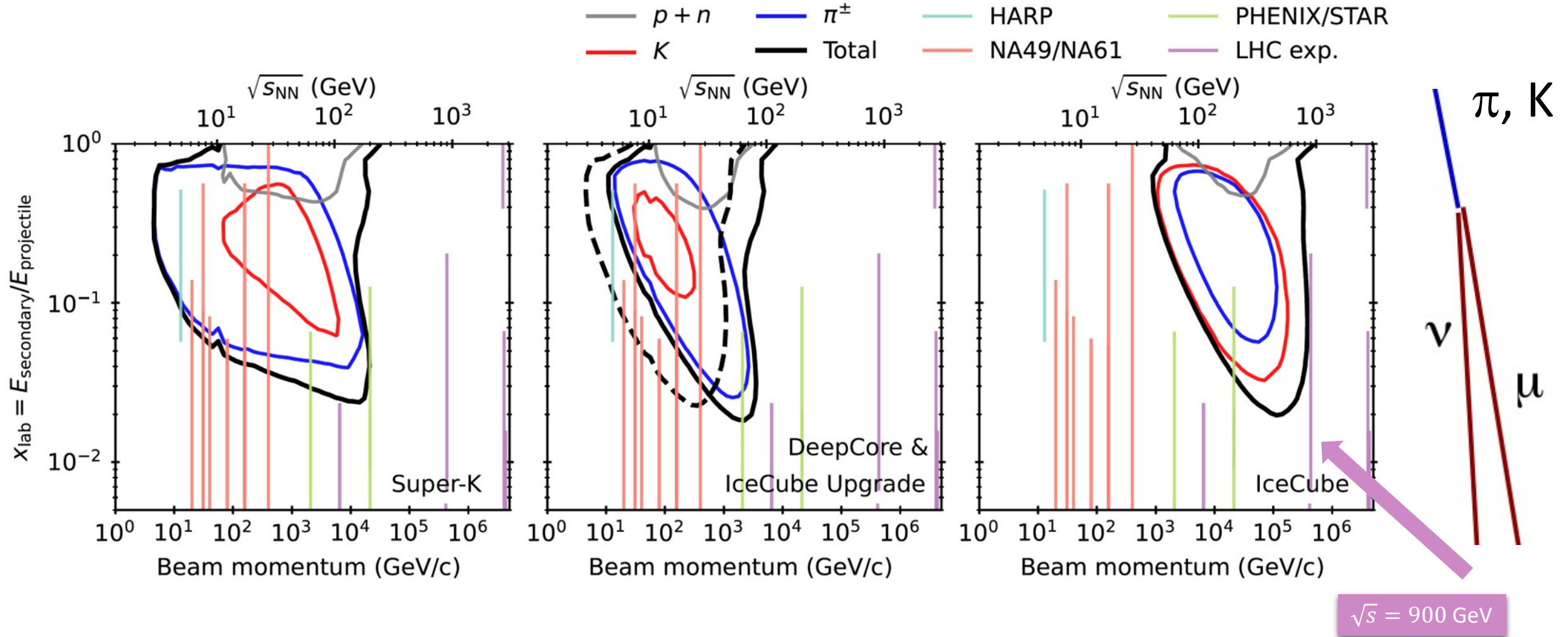
- Hadron production cross sections
- Branching ratio & decay kinematics

Zenith angle dependence at higher-E is sensitive to hadron production



Hadron production phase space seen by neutrino detectors

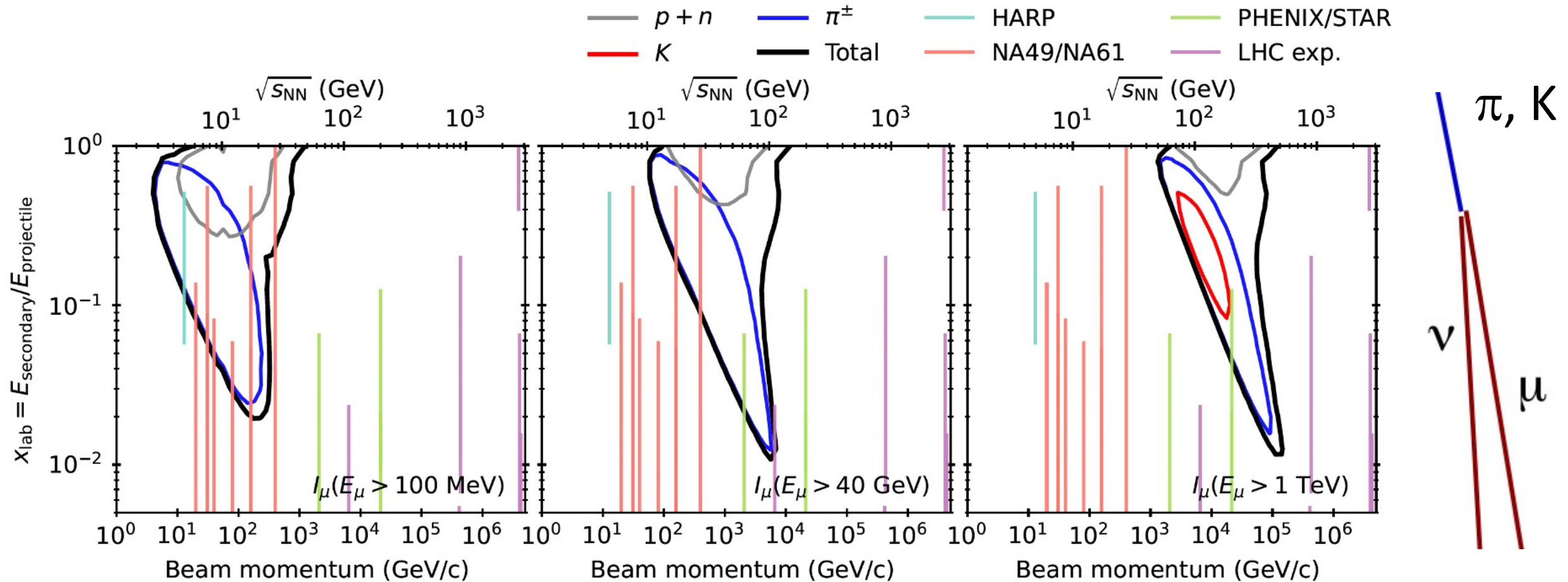
AF & M. Huber, arXiv:2205.14766



Contours = 90% of neutrino events in full detectors

Related muon production phase space

AF & M. Huber, arXiv:2205.14766

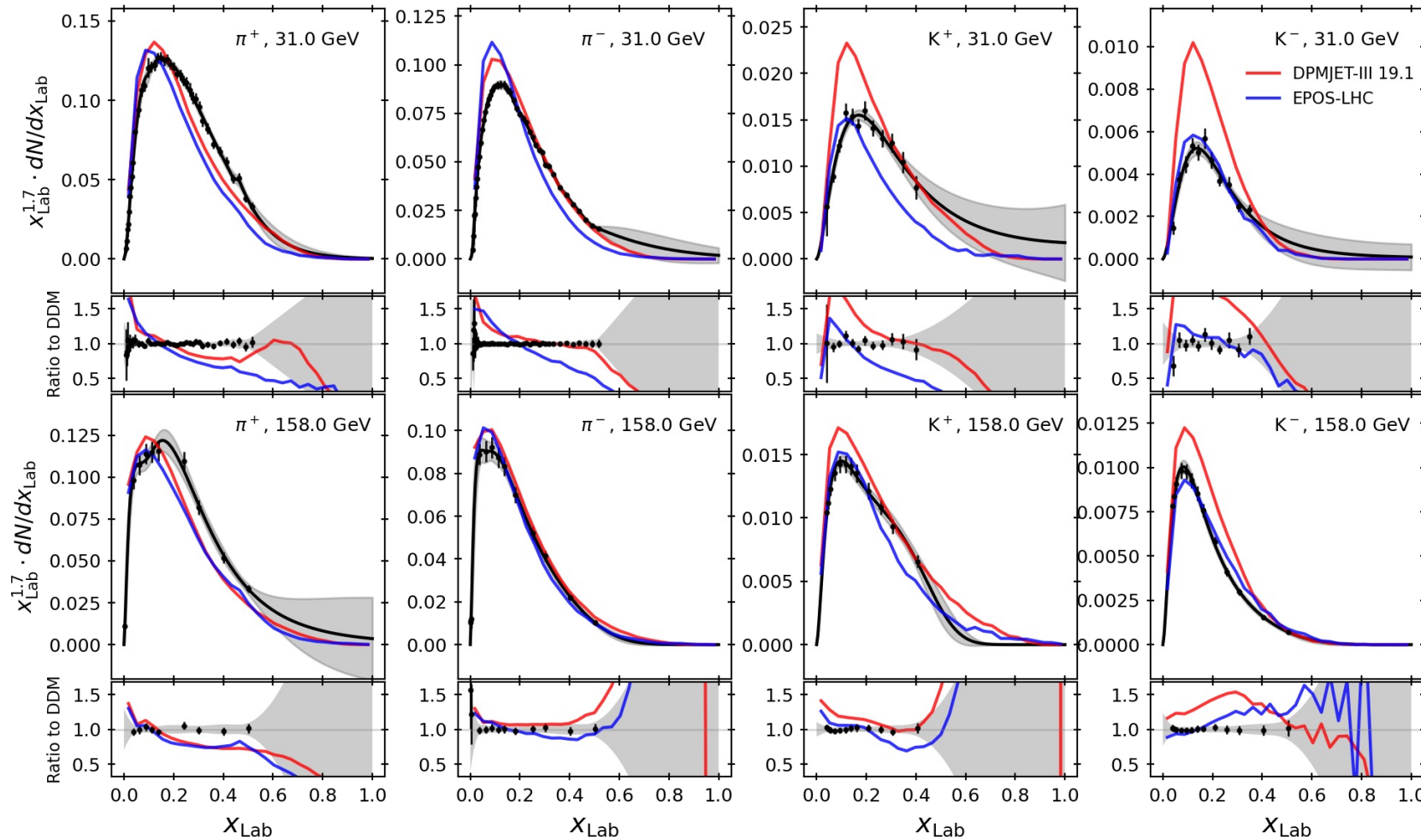


Contours = 90% of integral flux above indicated threshold

Data-driven model (DDM) built in incl. cross sections

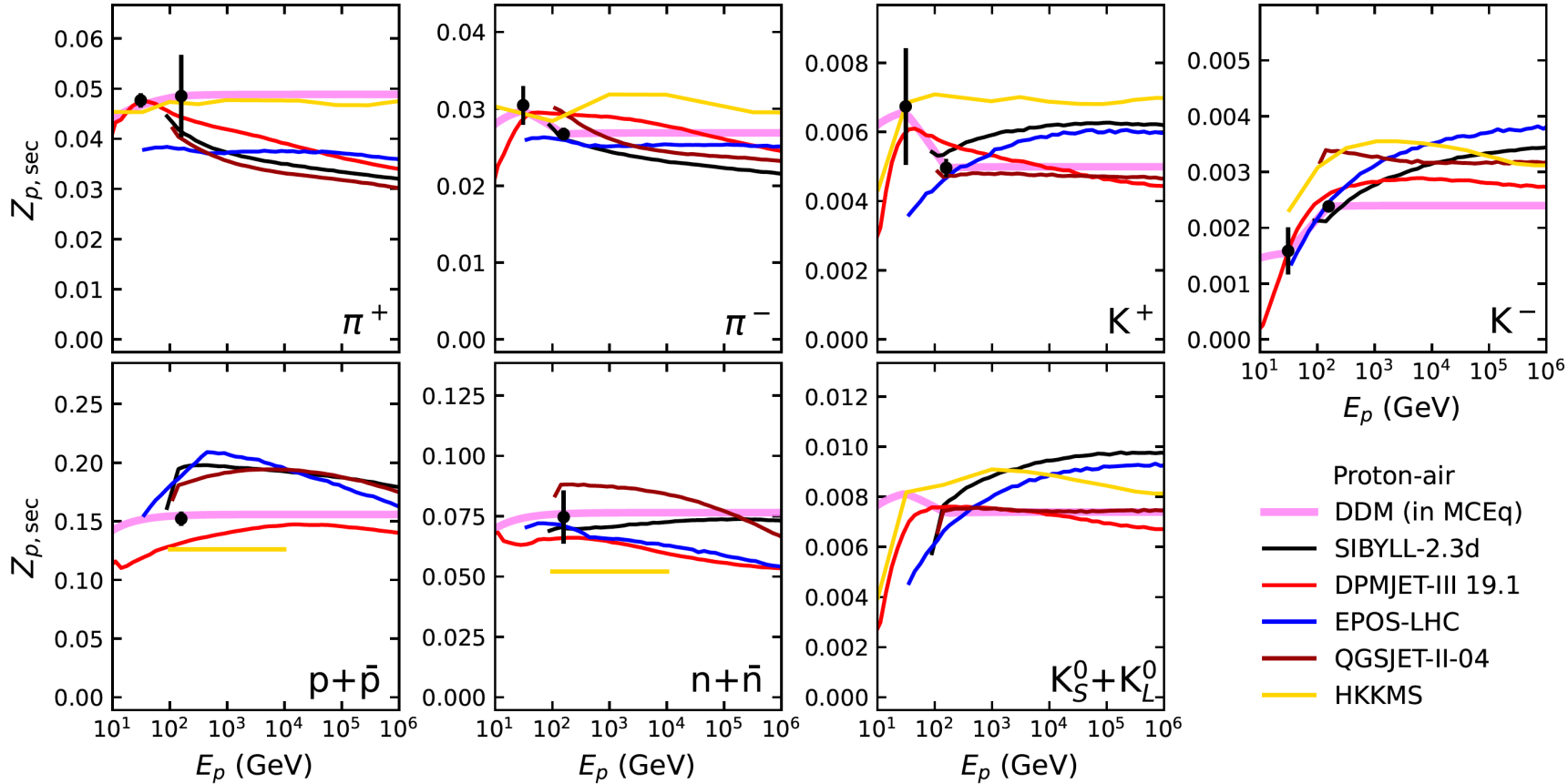
NA49 & NA61 proton-carbon

AF & M. Huber, PRD 106, 2022, arXiv:2205.14766



- **Uncertainties conservatively scale up** in absence of forward data
- K^+ data at 158 GeV extrapolated from $pp \rightarrow pC$
 - \rightarrow + 5-7% error from MC
- Carbon to air correction < 1%
- + proton and neutron secondaries, & π^- projectiles (not shown)
- Neutron (and π^+ projectiles) via isospin relations
- K^0 via isospin

Energy inter- and extrapolation

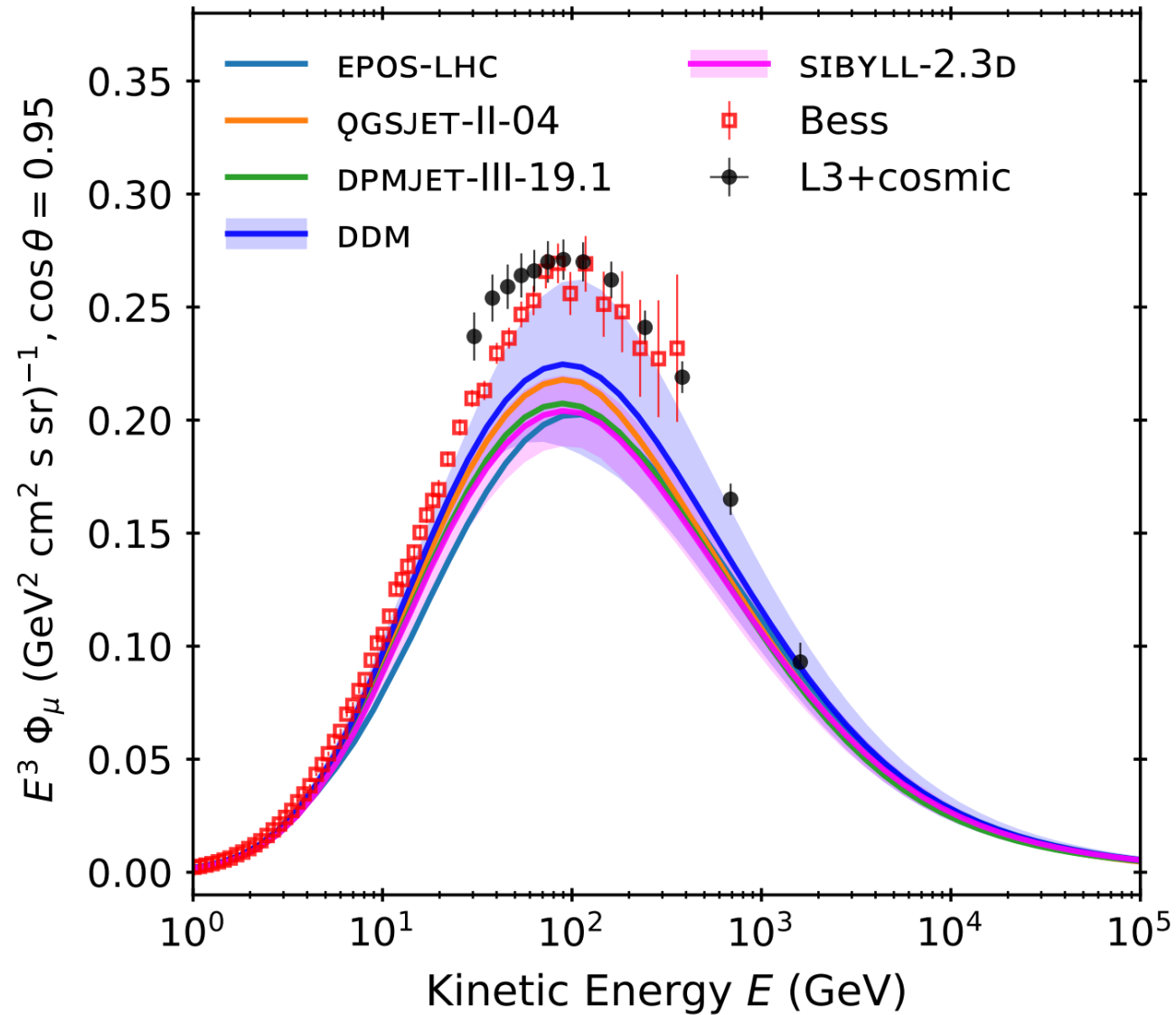


- 1 or 2 cross section “shapes” @ 31 & 158 GeV
- Interpolates linearly in log(E) between those
- Assumes Feynman scaling (shape of longitudinal spectrum constant)
- More points can be added to complicate energy dependence
→ **daemonflux**

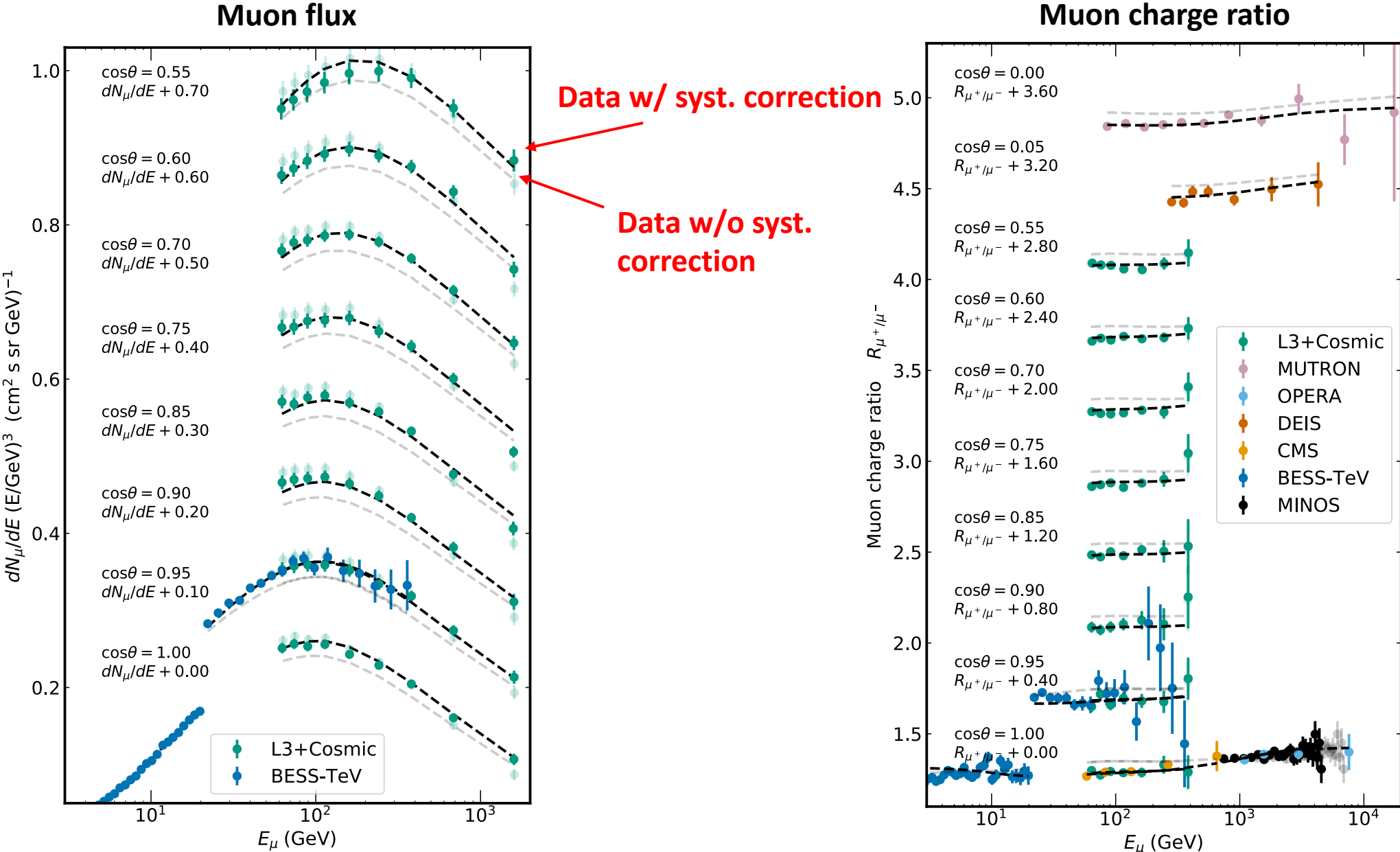
Atm.-flux-relevant phase space
→ Spectrum-weighted moment:

$$Z_{Nh}(E_N) = \int_0^1 dx_{\text{Lab}} x_{\text{Lab}}^{\gamma(E_N)-1} \frac{dN_{N \rightarrow h}}{dx_{\text{Lab}}}(E_N)$$

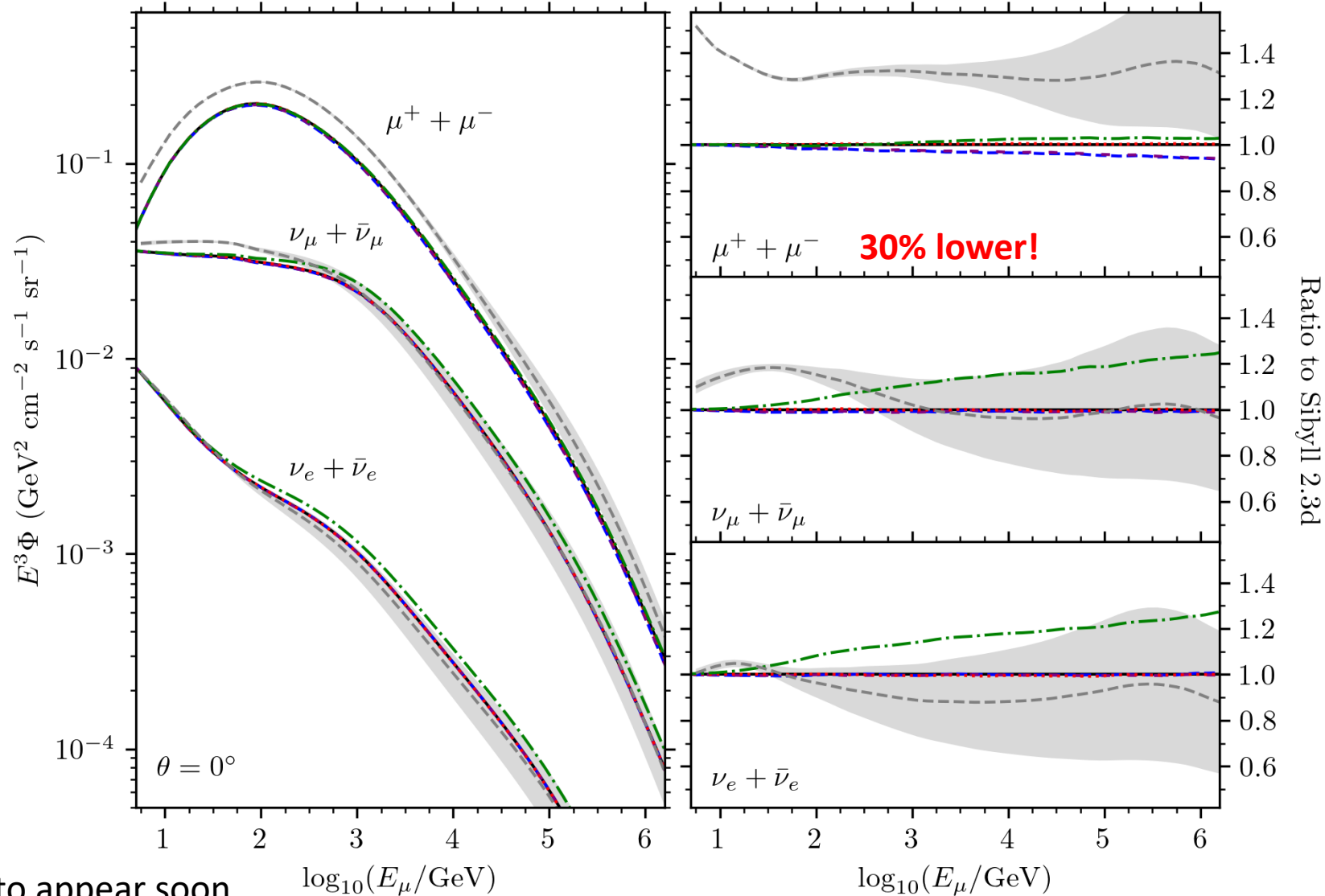
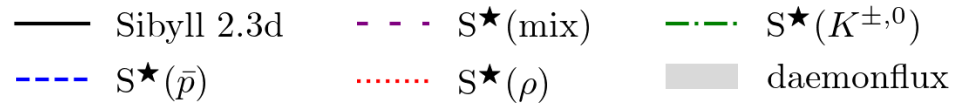
Atmospheric muon fluxes from DDM + GSF



Resulting muon fluxes and cross-calibrated data (daemonflux)

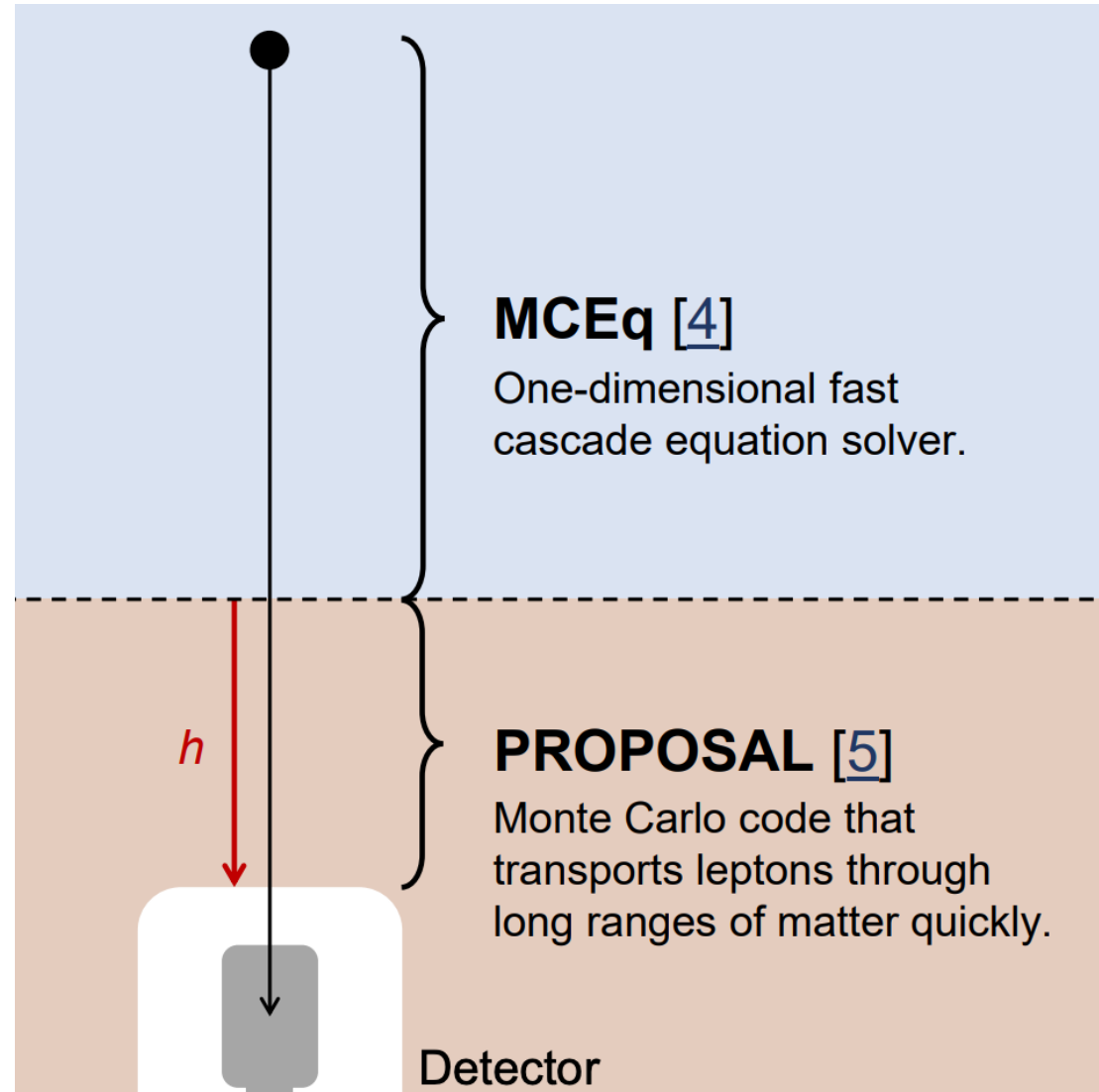
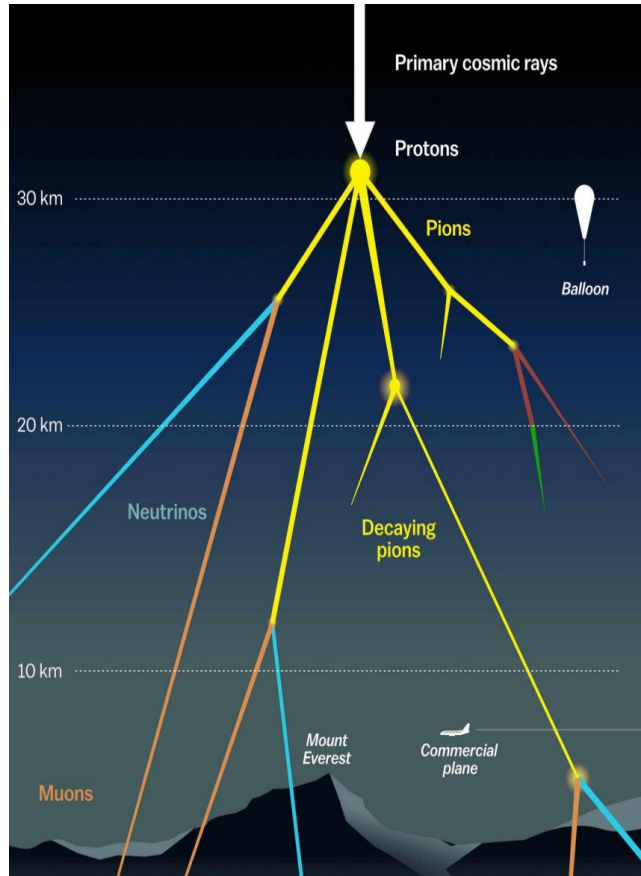


SIBYLL* vs data-driven muon-calibrated model (daemonflux)



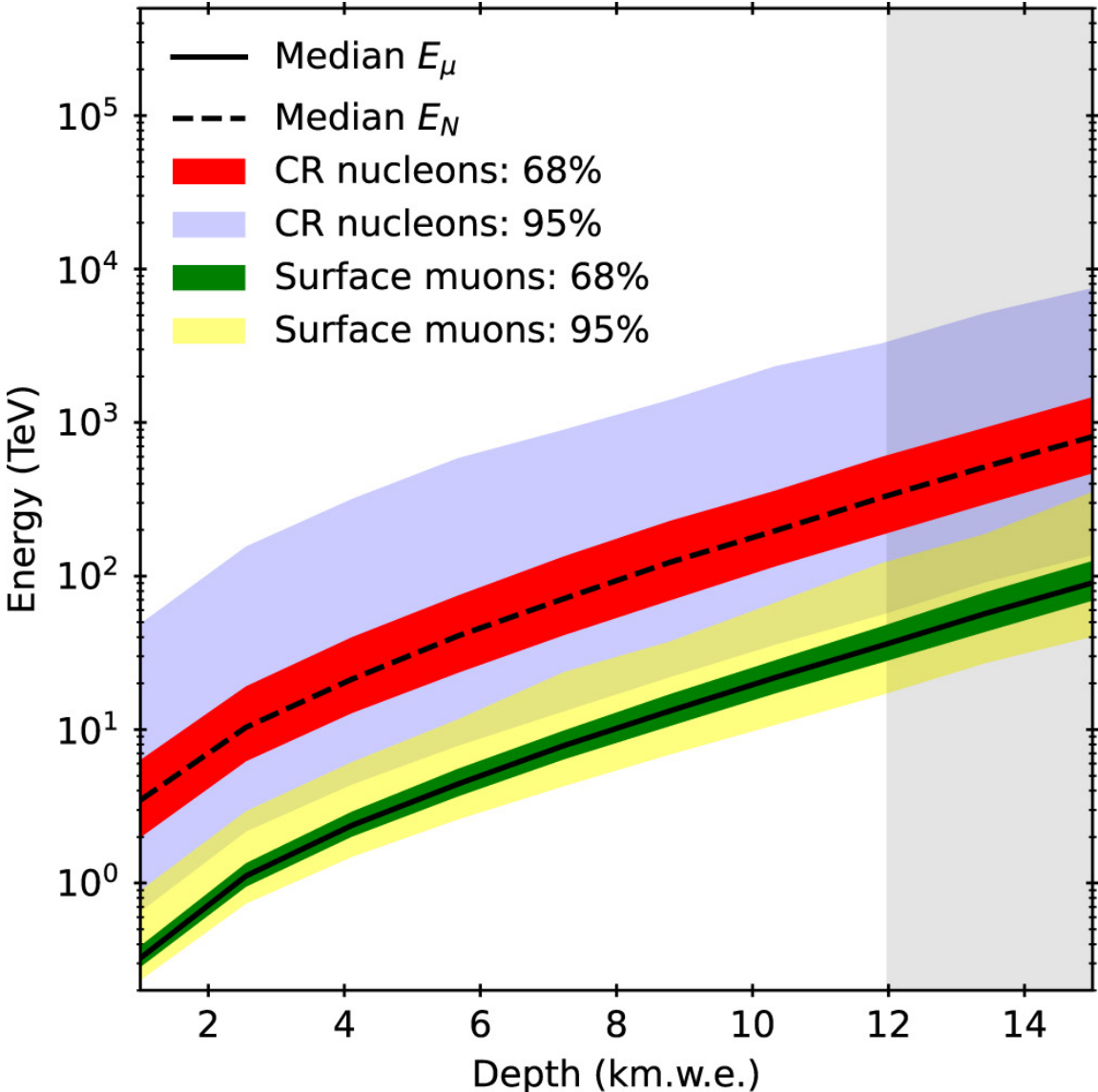
High energy constraints from underground μ ?

W. Woodley (UofA), TeVPa 2022



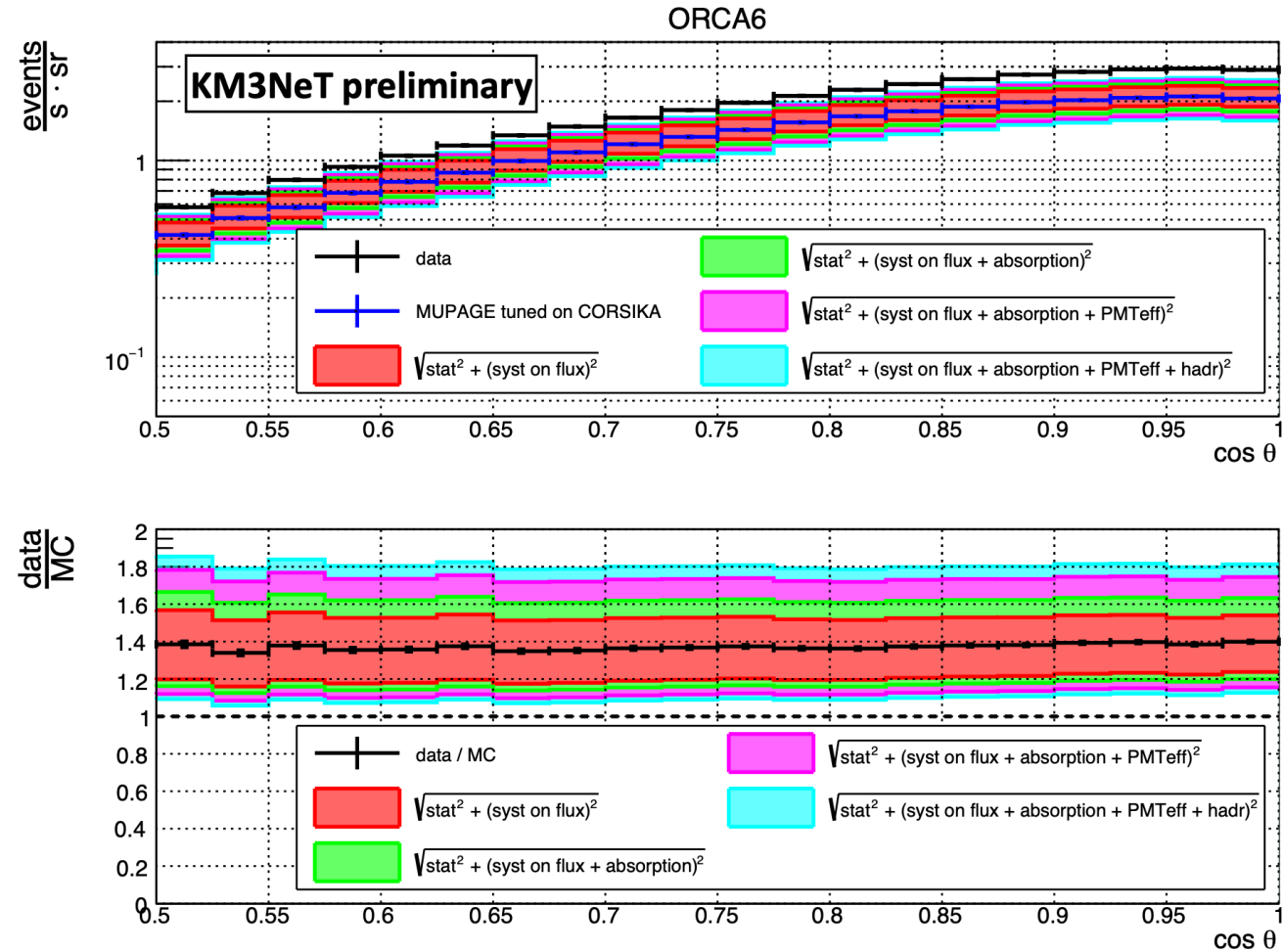
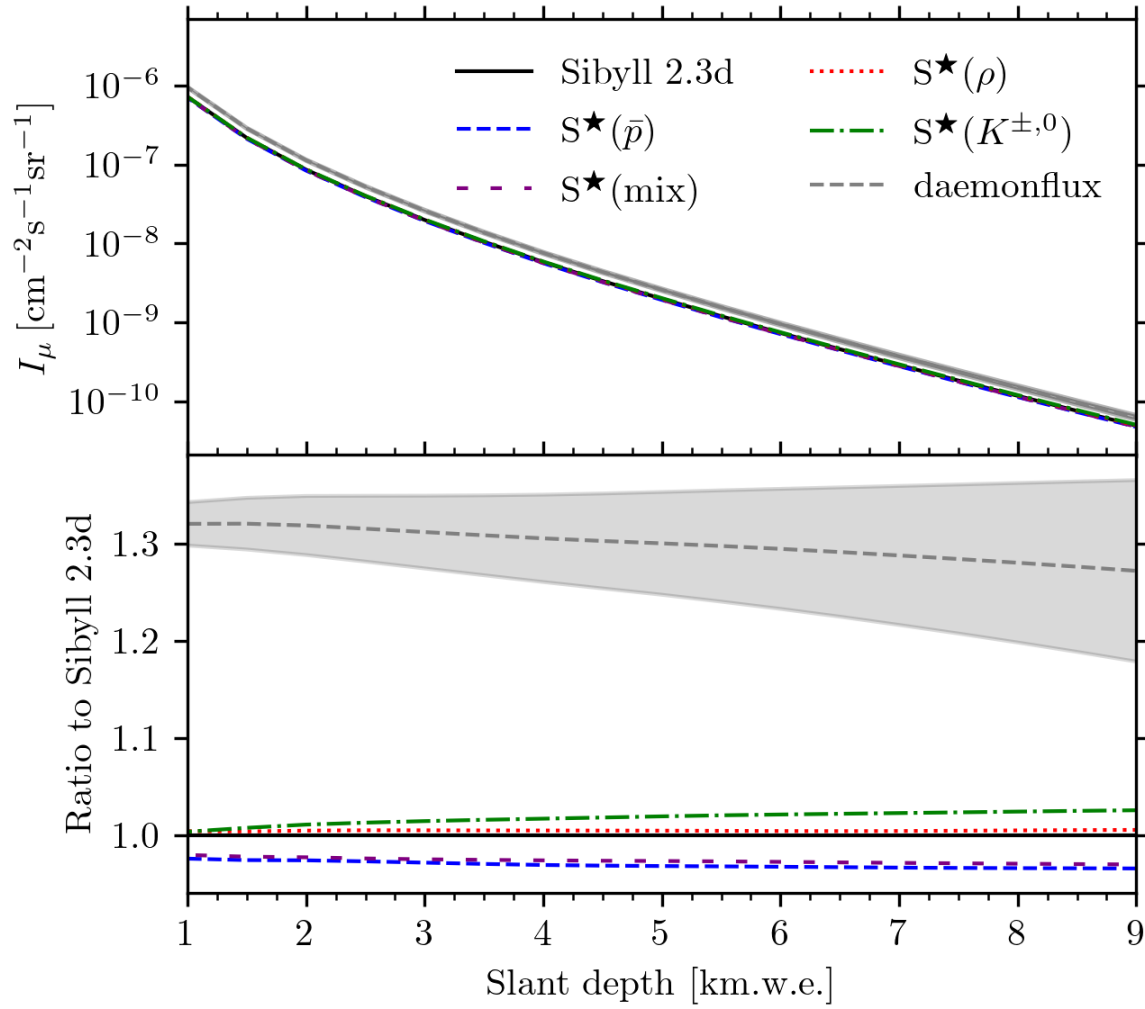
AF, **W. Woodley**, M.-C. Piro, *ApJ* **928** 27 (2022)
W. Woodley, TeVPa 2022 and Woodley, AF, Piro in prep.

Relation of depth to surface and CR energy



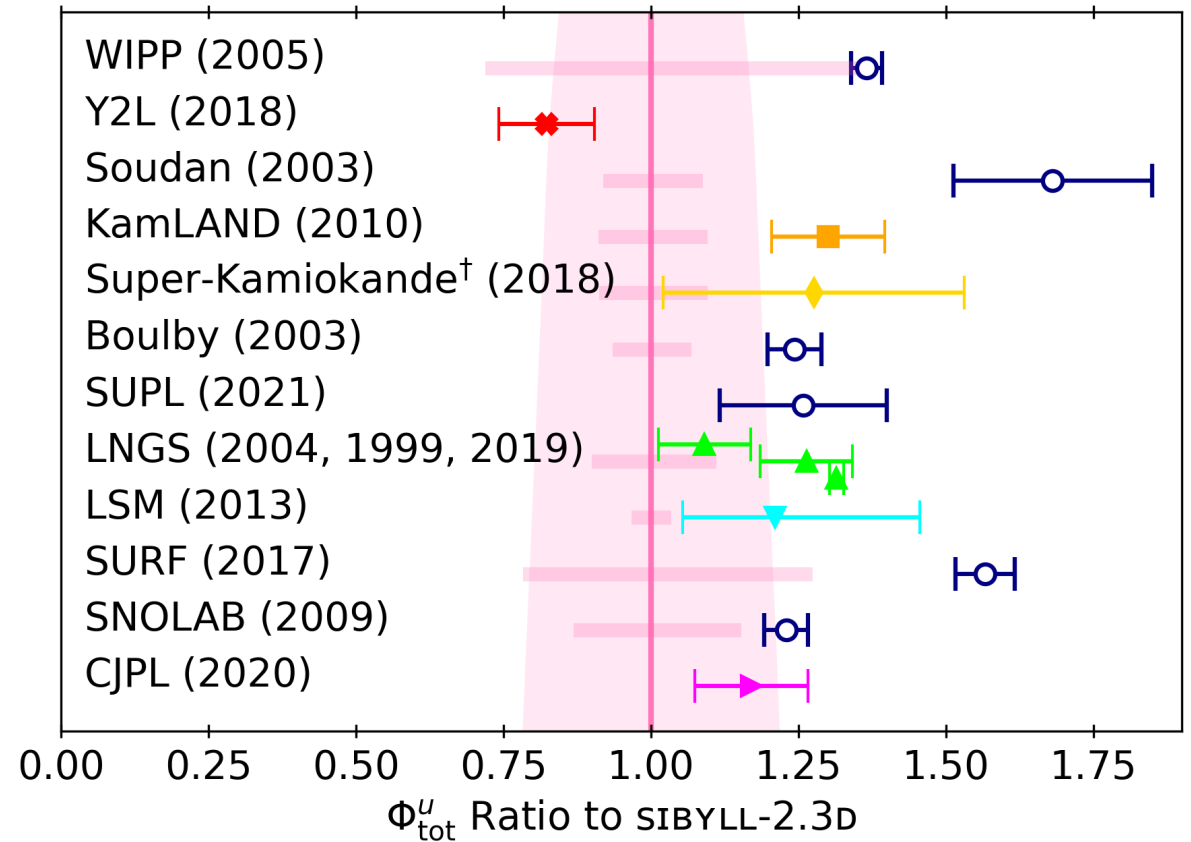
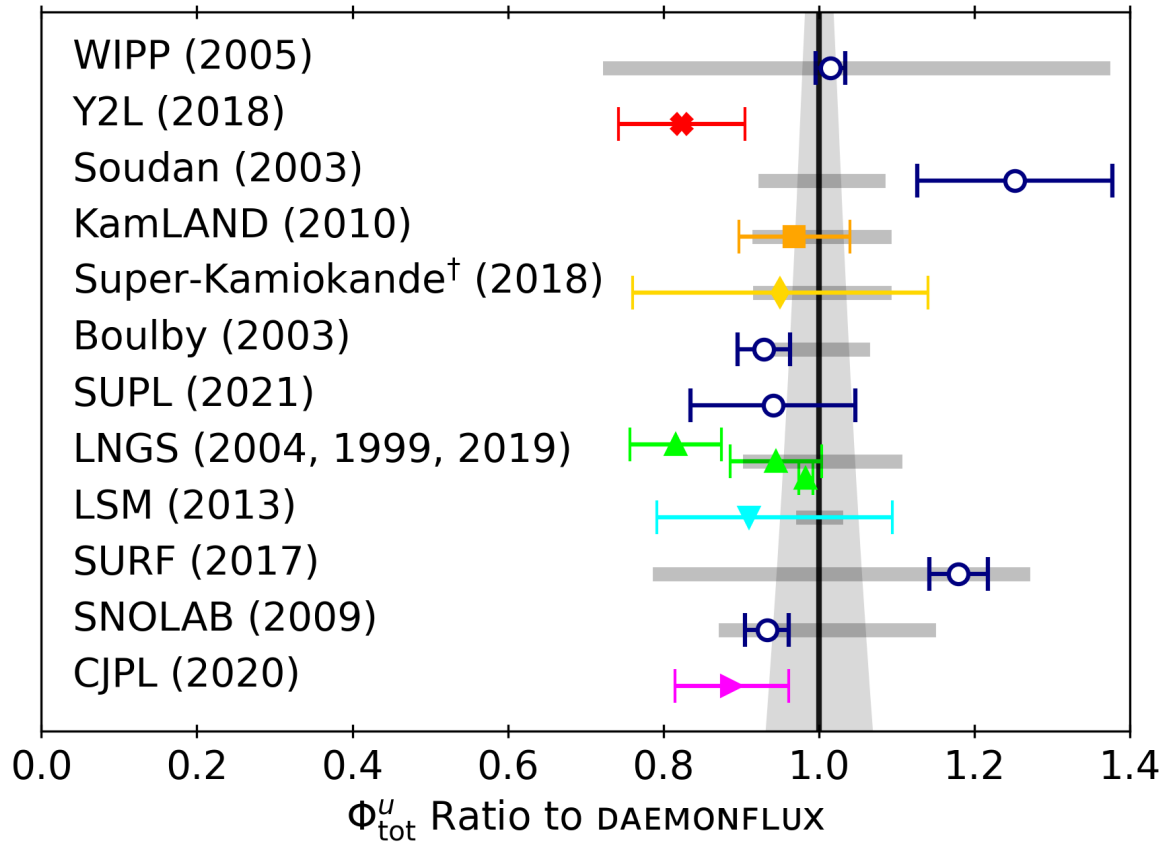
Daemonflux vs models underground/-water

A. Romanov et al. (KM3NeT), PoS(ICRC2023) 338



> 30% discrepancy!

Total muon fluxes underground: “simple” measurement



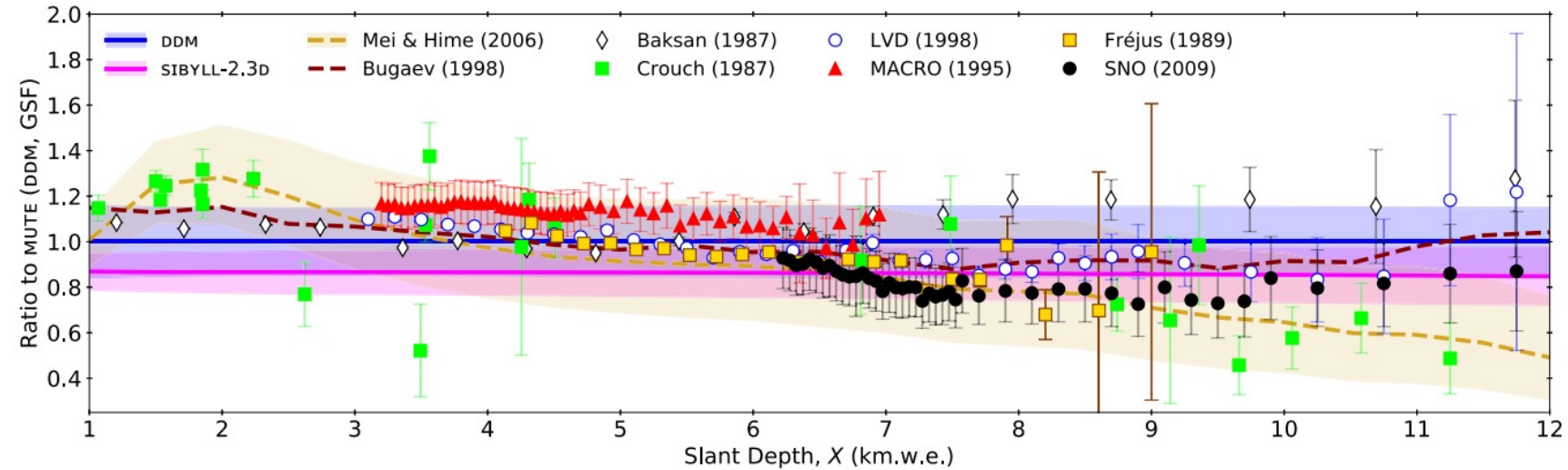
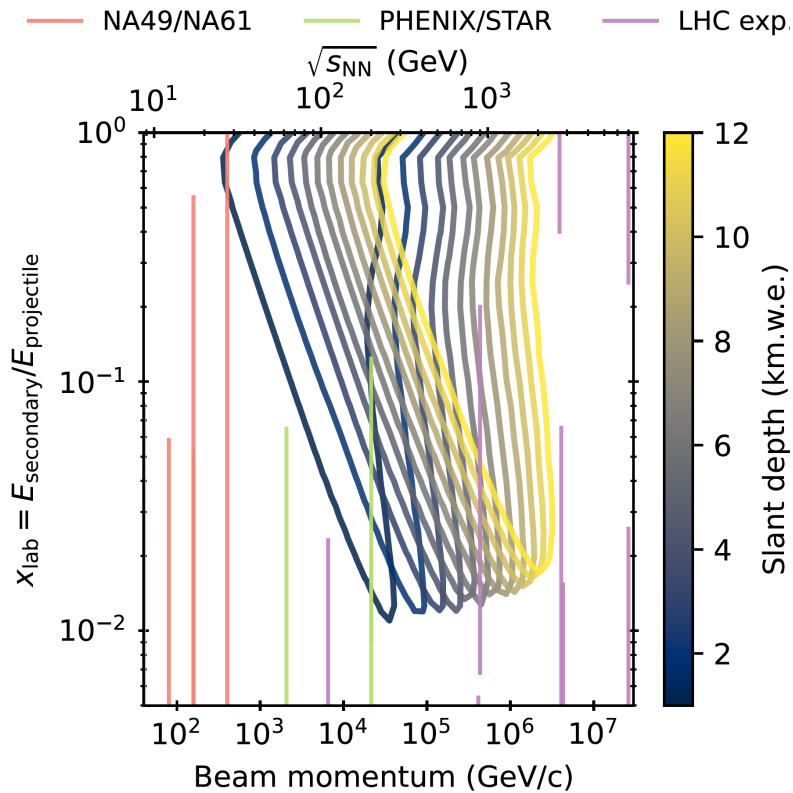
- Measurement almost model independent
- Calculations difficult (chem. Rock composition, density, overburden data)

Summary

- MCEq is a generic tool, validated against data and other simulations
- Atm. Leptons are a different channel to study very forward hadronic interactions (mostly p-air)
- “Differences” seen in comparisons with muon data at the surface and underground
- Validation/calibration via muon surface fluxes very challenging if performed rigorously! (old data and docs)
- **Models 30-35% lower than muon data above a few tens of GeV**
- Discrepancy in neutrinos (sensitive to kaon production) experimentally not established
- Origin of discrepancies different from the muon excess in air showers (SIBYLL*)
- Current work is on understanding data

Underground data constraining if systematics understood

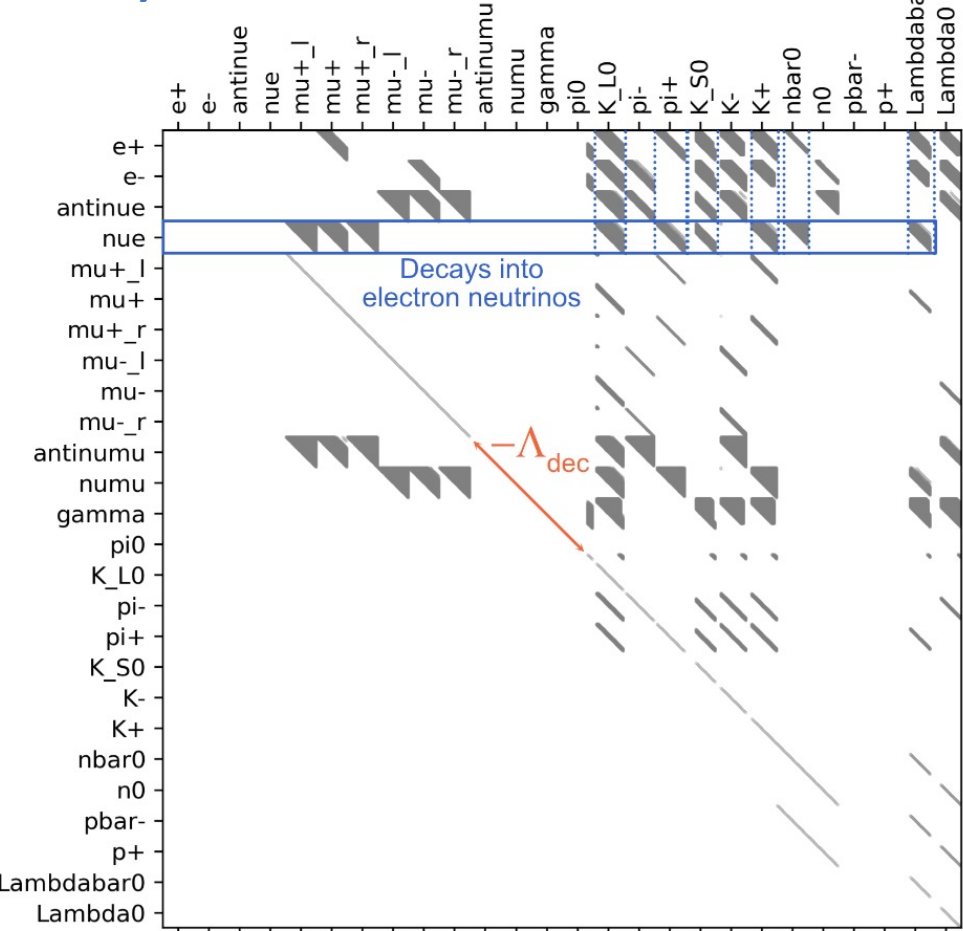
AF, W. Woodley, M.-C. Piro, *ApJ* 928 27 (2022)



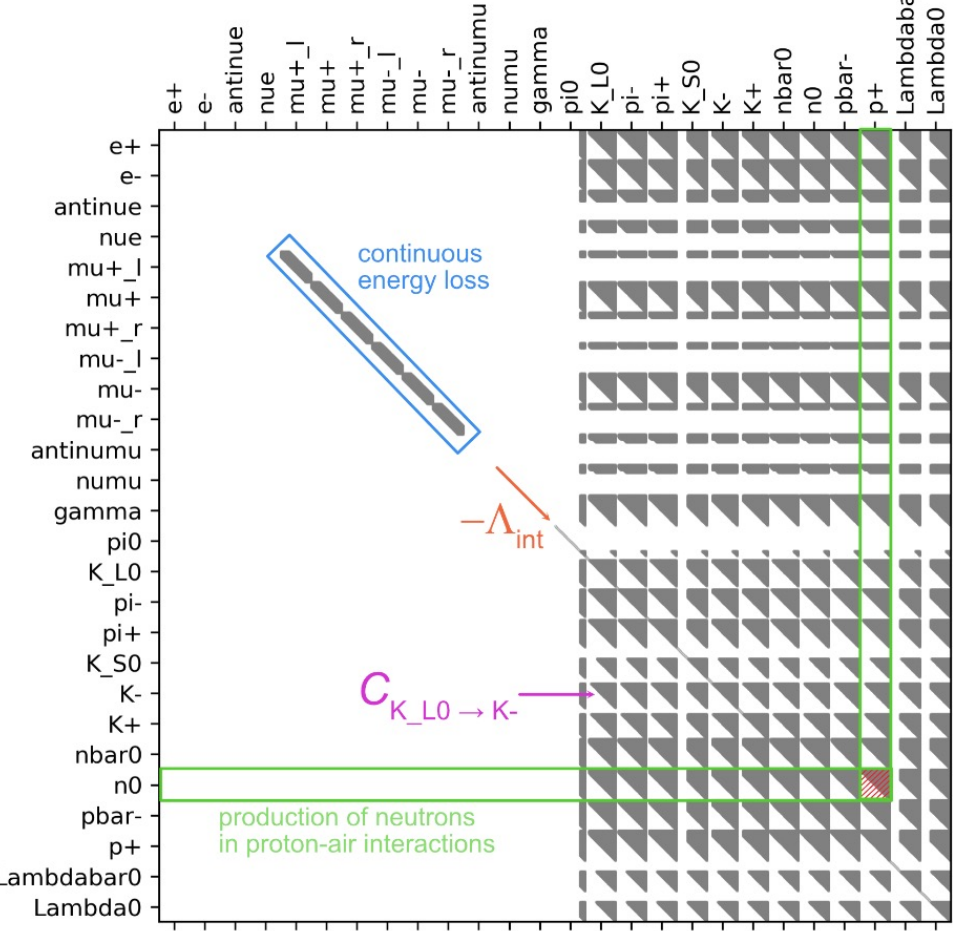
- Vertical equivalent rate, total underground muon rate, 2D distributions and seasonal variations for labs under mountains (paper in prep for ICRC)
- Underground muon charge ratio (not unfolded) (MINOS?)
- New fast code by William Woodley (MUTE) <https://github.com/wjwoodley/mute>
- Attempt combined fit with surface muons → nail down high energy uncertainties
- **Challenge: survey experimental data with explicit systematic uncertainties**

Sparse matrix structure

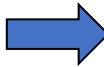
Decay matrix D



Interaction matrix C



matrices are sparse



high performance

MCEq: Matrix Cascade Equations

$$\begin{aligned} \frac{d\Phi_h(E, X)}{dX} = & - \frac{\Phi_h(E, X)}{\lambda_{\text{int},h}(E)} \\ & - \frac{\Phi_h(E, X)}{\lambda_{\text{dec},h}(E, X)} \\ & - \frac{\partial}{\partial E}(\mu(E)\Phi_h(E, X)) \\ & + \sum_{\ell} \int_E^{\infty} dE_{\ell} \frac{dN_{\ell(E_{\ell}) \rightarrow h(E)}}{dE} \frac{\Phi_{\ell}(E_{\ell}, X)}{\lambda_{\text{int},\ell}(E_{\ell})} \\ & + \sum_{\ell} \int_E^{\infty} dE_{\ell} \frac{dN_{\ell(E_{\ell}) \rightarrow h(E)}^{\text{dec}}}{dE} \frac{\Phi_{\ell}(E_{\ell}, X)}{\lambda_{\text{dec},\ell}(E_{\ell}, X)} \end{aligned}$$



$$\begin{aligned} \frac{d\Phi_{E_i}^h}{dX} = & - \frac{\Phi_{E_i}^h}{\lambda_{\text{int},E_i}^h} \\ & - \frac{\Phi_{E_i}^h}{\lambda_{\text{dec},E_i}^h(X)} \\ & - \vec{\nabla}_i(\mu_{E_i}^h \Phi_{E_i}^h) \\ & + \sum_{E_k \geq E_i}^{E_N} \sum_{\ell} \frac{C_{\ell(E_k) \rightarrow h(E_i)}}{\lambda_{\text{int},E_k}^{\ell}} \Phi_{E_k}^{\ell} \\ & + \sum_{E_k \geq E_i}^{E_N} \sum_{\ell} \frac{d_{\ell(E_k) \rightarrow h(E_i)}}{\lambda_{\text{dec},E_k}^{\ell}(X)} \Phi_{E_k}^{\ell} \end{aligned}$$



State (or flux) vector

$$\vec{\Phi} = \left(\vec{\Phi}^{\text{p}} \quad \vec{\Phi}^{\text{n}} \quad \vec{\Phi}^{\pi^+} \quad \dots \quad \vec{\Phi}^{\bar{\nu}_{\mu}} \quad \dots \right)^T$$

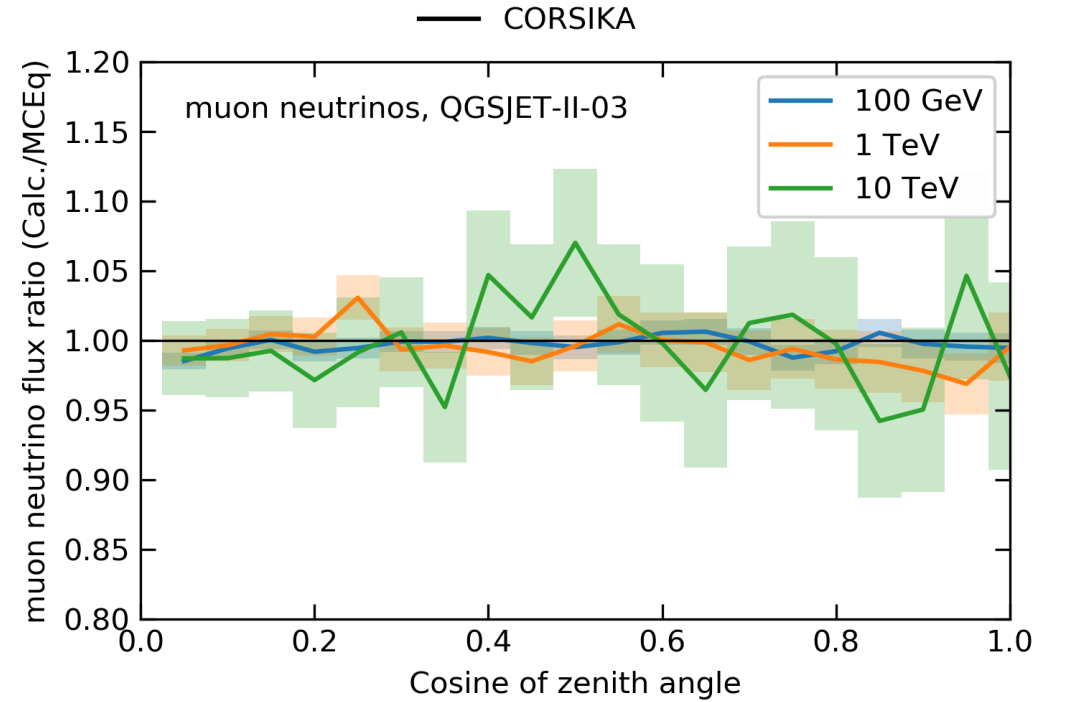
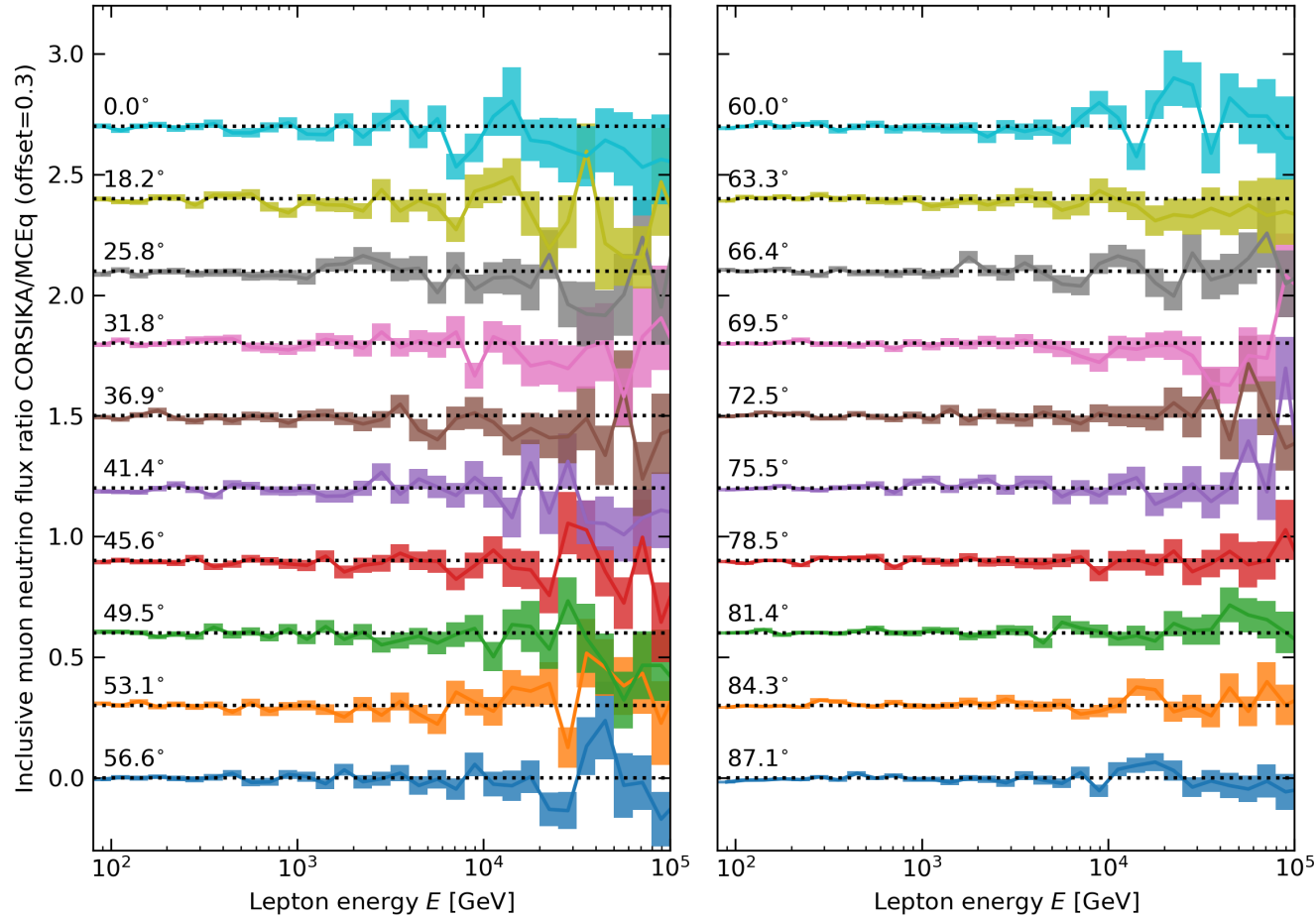
$$\vec{\Phi}^{\text{p}} = \left(\Phi_{E_0}^{\text{p}} \quad \Phi_{E_1}^{\text{p}} \quad \dots \quad \Phi_{E_N}^{\text{p}} \right)^T$$

“Matrix form”

$$\begin{aligned} \frac{d}{dX} \vec{\Phi} = & - \vec{\nabla}_E(\text{diag}(\vec{\mu})\vec{\Phi}) + (-\mathbf{1} + \mathbf{C})\mathbf{\Lambda}_{\text{int}}\vec{\Phi} \\ & + \frac{1}{\rho(X)}(-\mathbf{1} + \mathbf{D})\mathbf{\Lambda}_{\text{dec}}\vec{\Phi} \end{aligned}$$

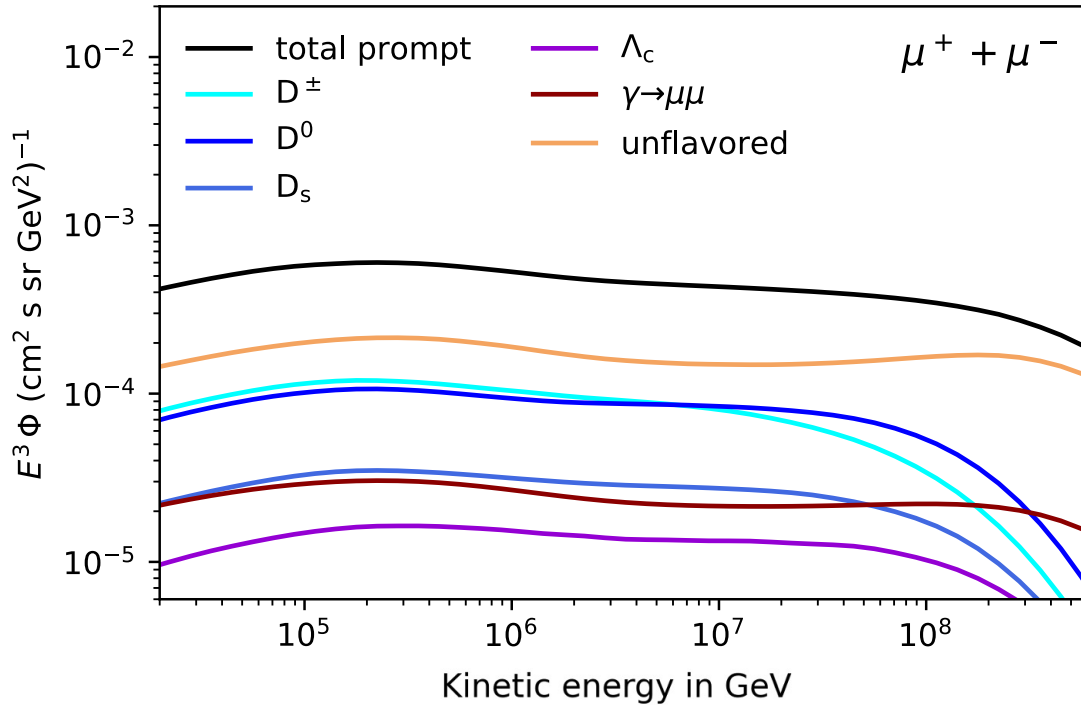
MCEq vs CORSIKA7 inclusive spectra

Inclusive muon neutrino flux ratio CORSIKA/MCEQ. QGSJET-II-03 + H3a.



Above 100 TeV: territory of the (undiscovered) prompt muons and neutrinos

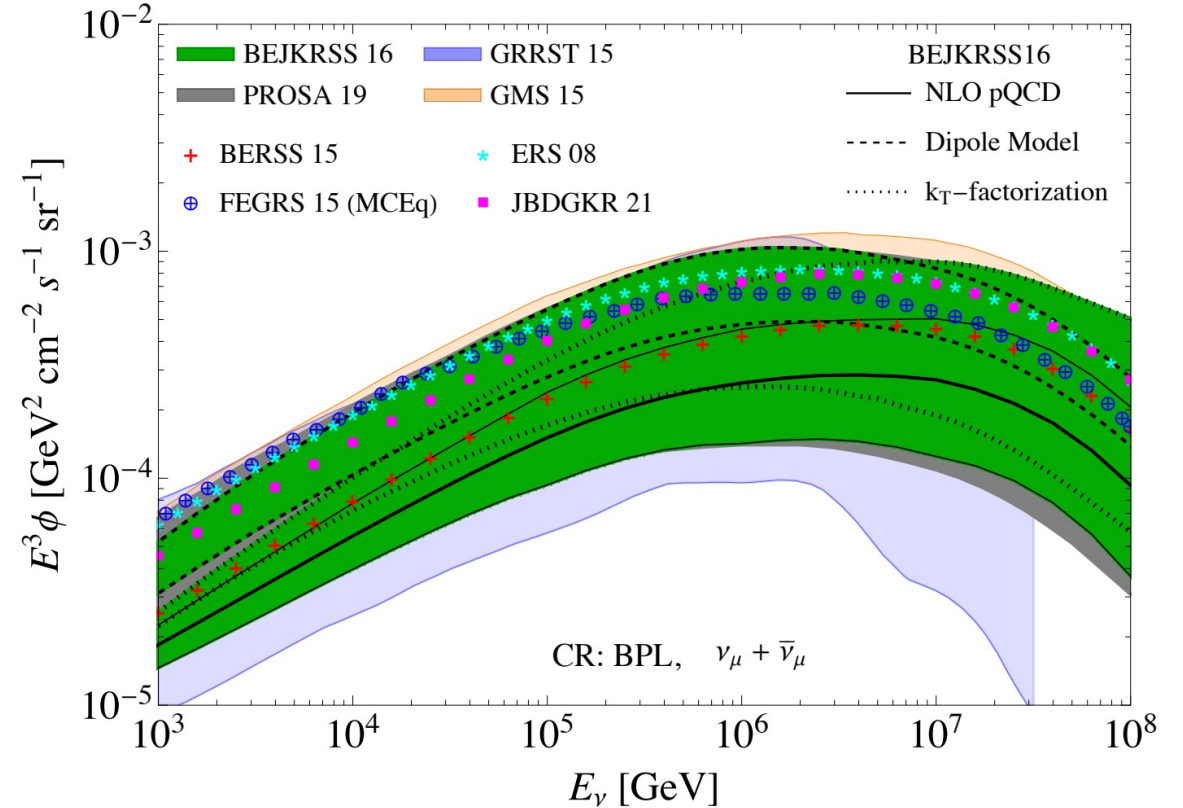
AF, F. Riehn, R. Engel, T.K. Gaisser, T. Stanev, PRD 100 2019



Prompt muons more production channels than prompt neutrinos:

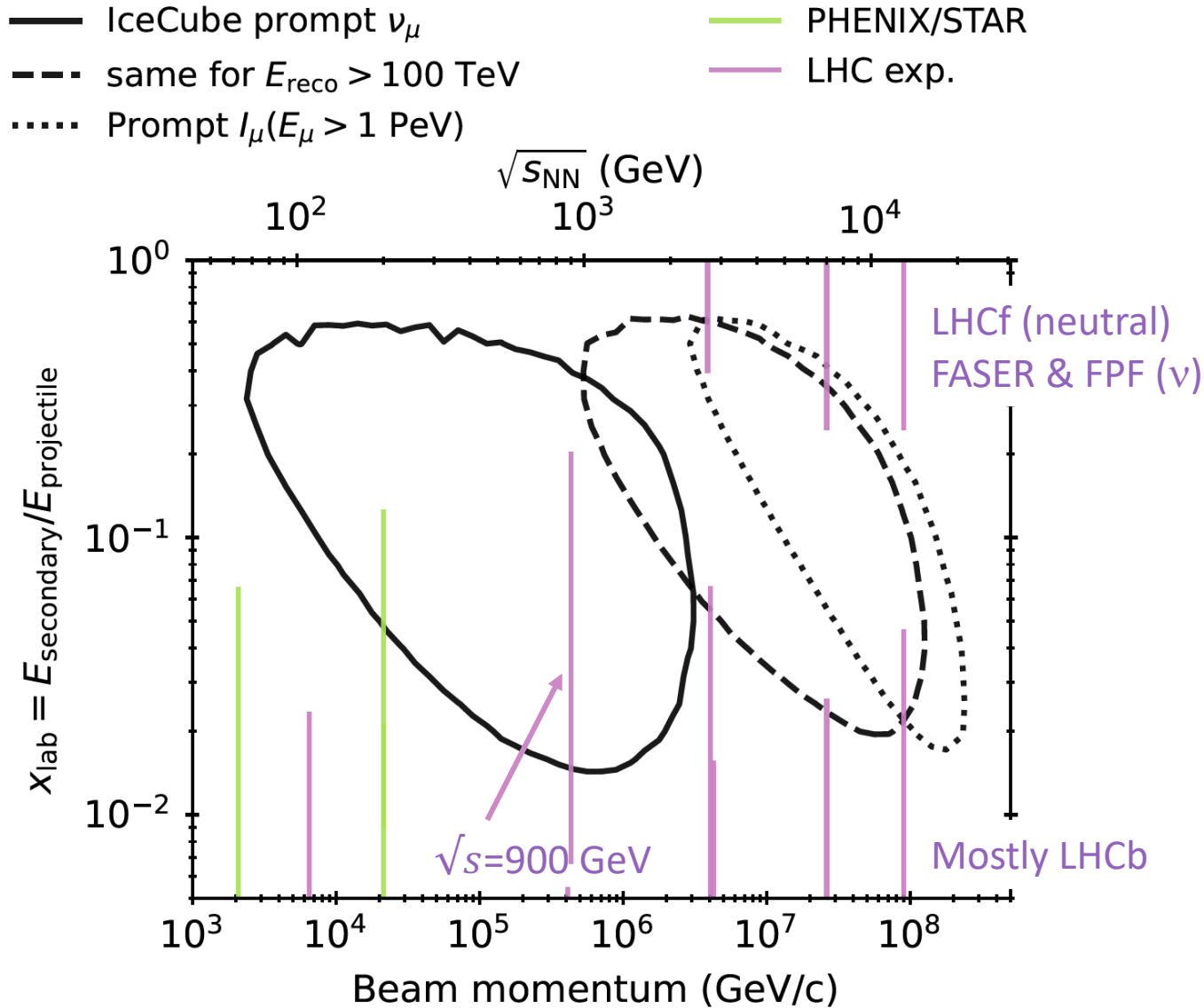
- Rare decays of unflavored mesons *e.g.*, $\eta \rightarrow \mu^+ \mu^-$
- EM pair production $\gamma \rightarrow \mu^+ \mu^-$

Forward Physics Facility Snowmass arXiv: 2203.05090



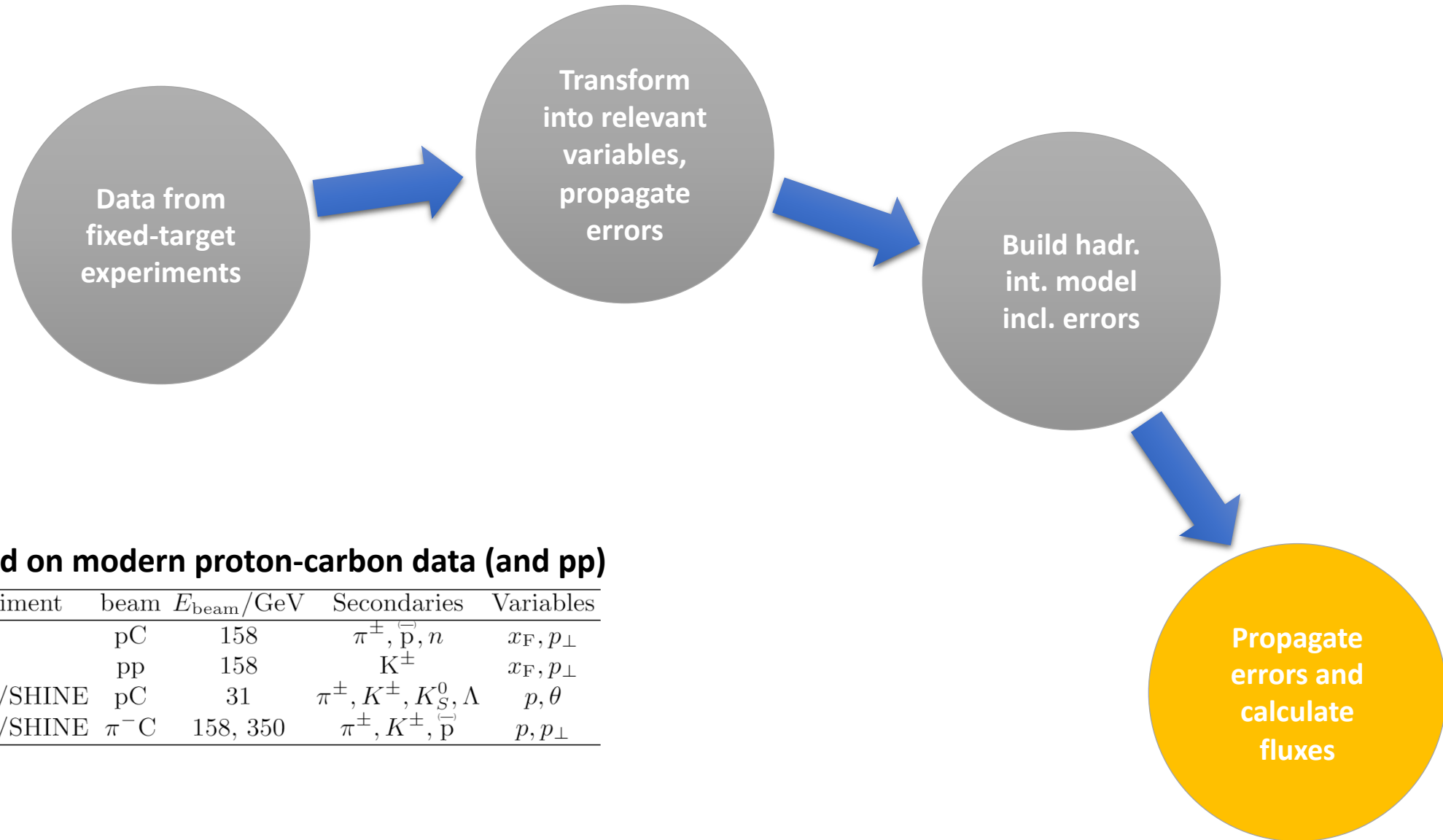
- Large uncertainties from pQCD
- pQCD might be incomplete (intrinsic charm)
- The fragmentation ($c \rightarrow D$) function is a choice

Charm production cross section inaccessible to present-day colliders



- Each line represents a collider running at fixed \sqrt{s}
- Gap in x between LHC coverage is due to the beam pipe
- Detectors need particle ID capability & sufficient luminosity
- Indirect constraints from new forward detectors like FASER and the proposed FPF (see 2203.05090)
- New insights expected from proton-oxygen collisions in Run3

Data-Driven Hadronic Interaction Model (DDM)

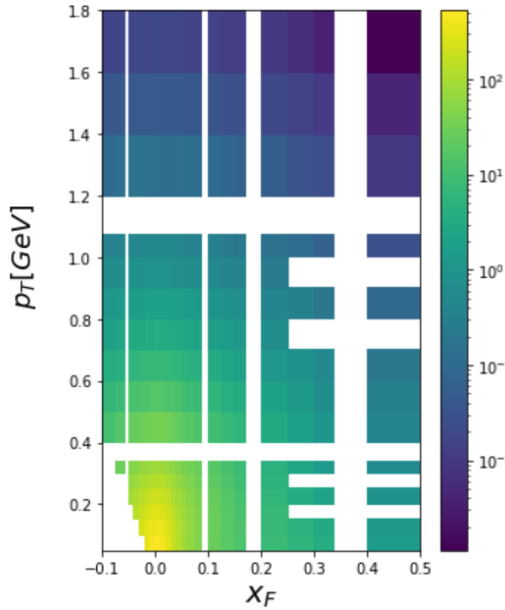


Based on modern proton-carbon data (and pp)

Experiment	beam	$E_{\text{beam}}/\text{GeV}$	Secondaries	Variables
NA49	pC	158	π^{\pm}, \bar{p}, n	x_{F}, p_{\perp}
NA49	pp	158	K^{\pm}	x_{F}, p_{\perp}
NA61/SHINE	pC	31	$\pi^{\pm}, K^{\pm}, K_S^0, \Lambda$	p, θ
NA61/SHINE	$\pi^- \text{C}$	158, 350	$\pi^{\pm}, K^{\pm}, \bar{p}$	p, p_{\perp}

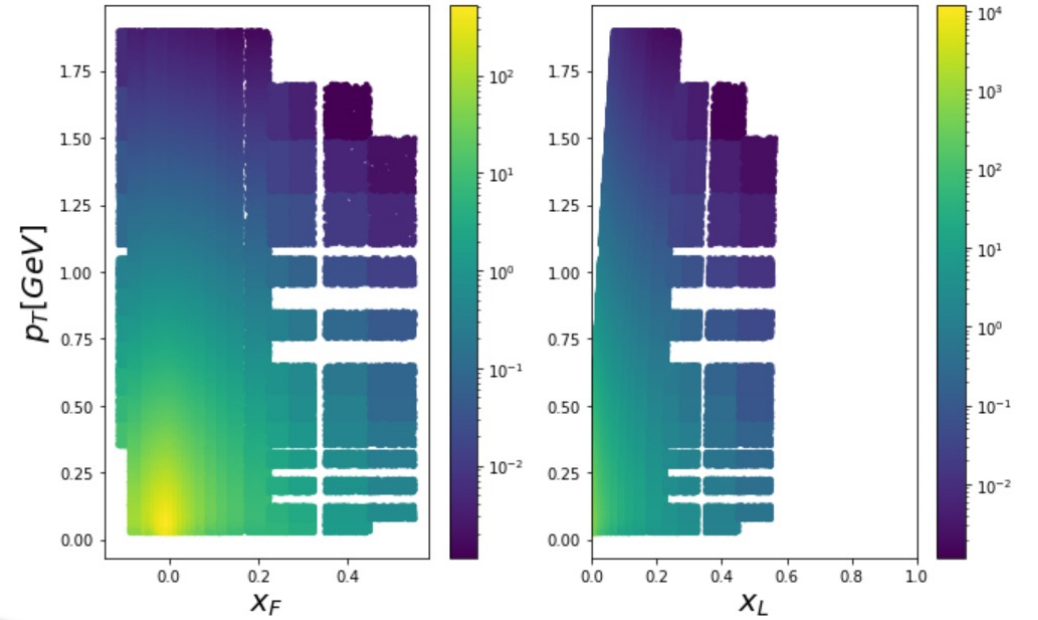
Building the DDM

NA49 proton-carbon @ 158 GeV

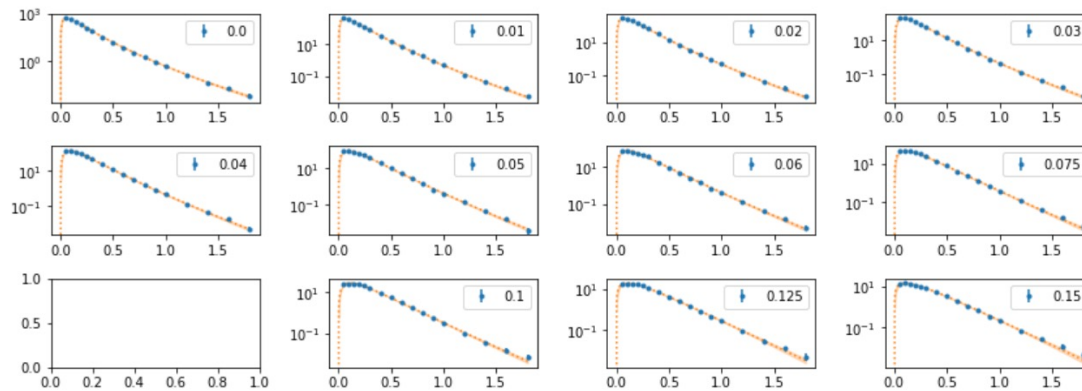


Sample from $x_F = pz/\sqrt{s}$ and convert into $x_L = E_{\text{secondary}}/E_{\text{proj}}$

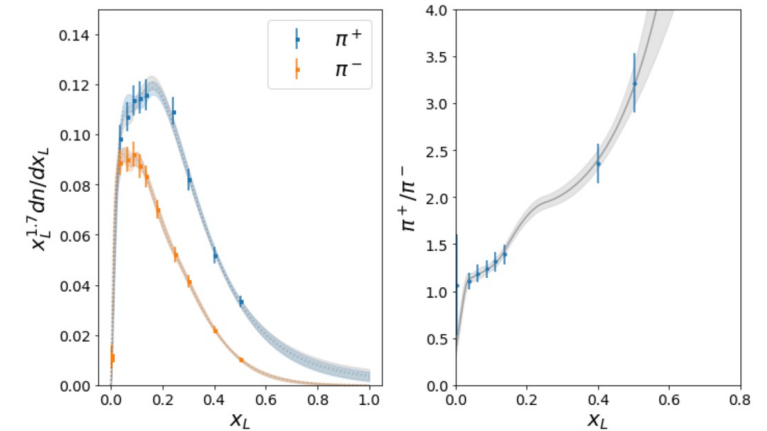
$$x_{Lab} = \frac{E_c}{E_a} = \frac{\gamma \sqrt{m_c^2 + \frac{1}{4}x_F^2 E_{c.m.}^2} + p_{c,T}^* + \frac{1}{2}\gamma\beta x_F^2 E_{c.m.}}{E_a}$$



Fit dn/dx_L with splines, get covariance matrix

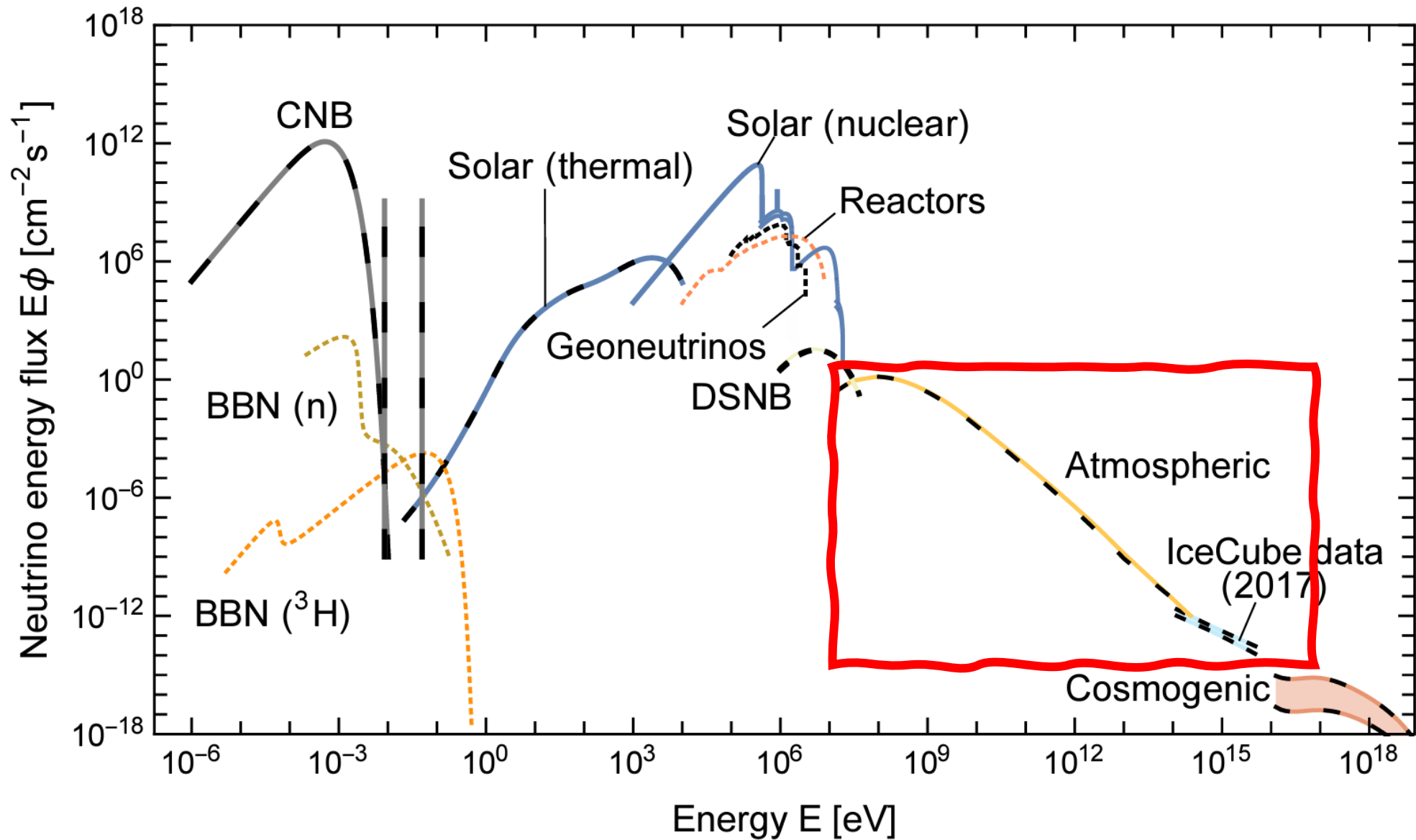


Fit p_T in each x_F bin using $\frac{dn}{dp_{\perp}} = a_0 p_{\perp}^{a_1} e^{a_2 p_{\perp}^{a_3}}$

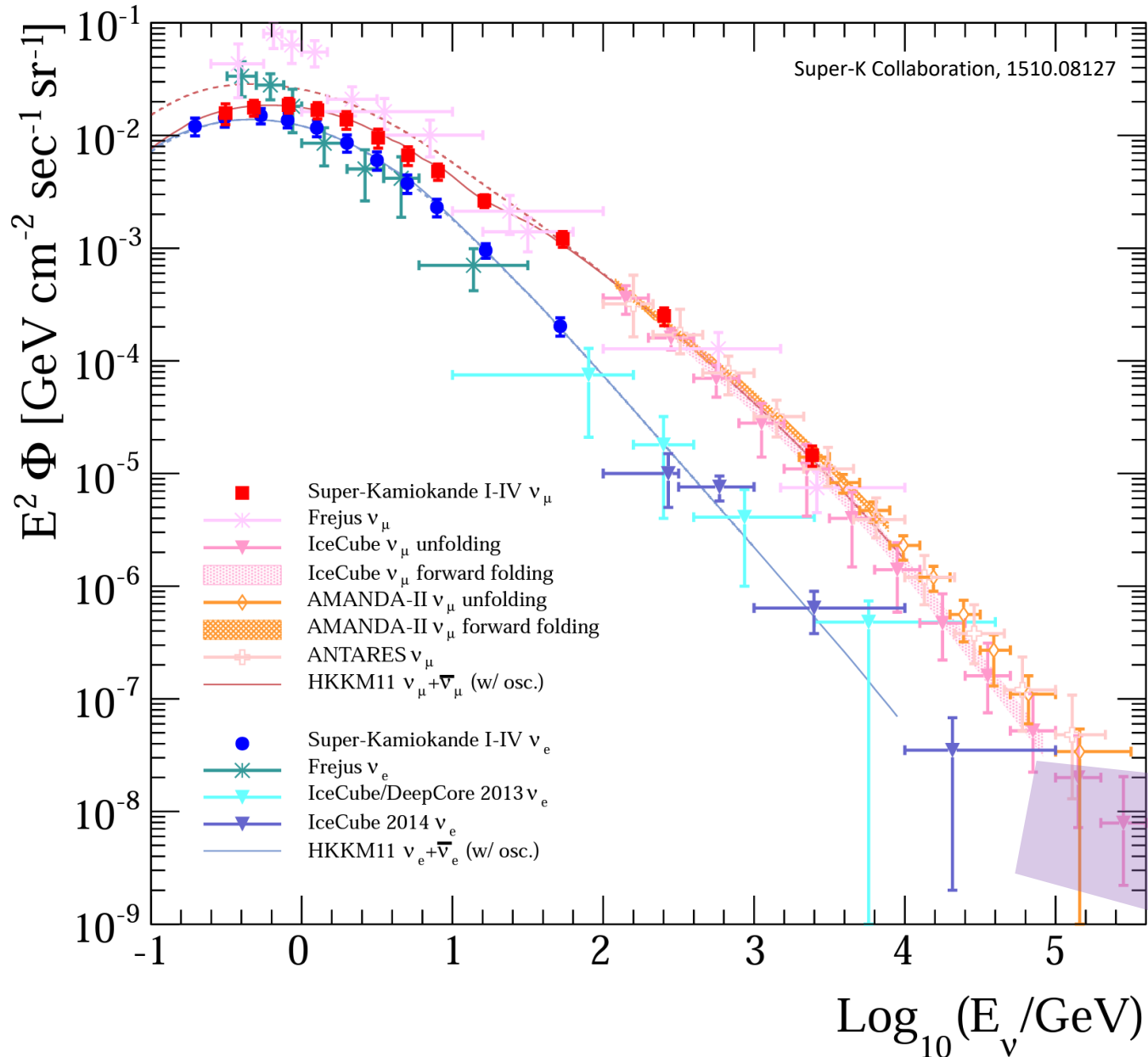


Neutrino spectra at Earth

Vitagliano, Tamborra, Raffelt 2019, 1910.11878



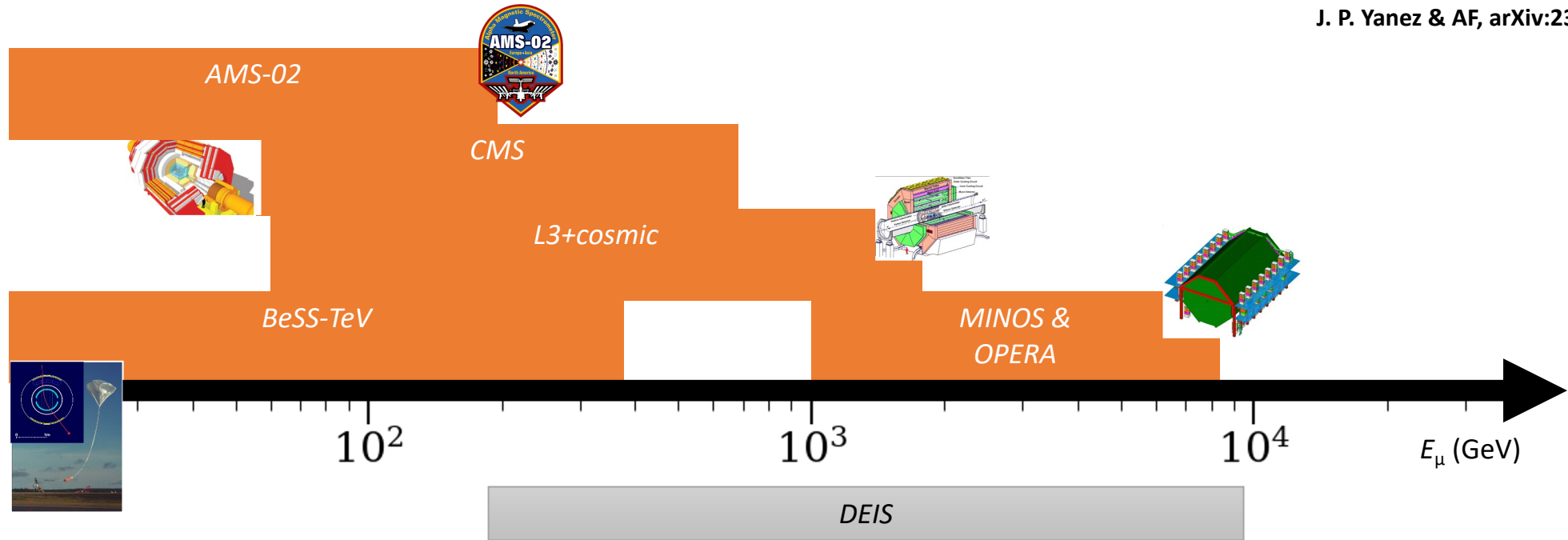
Measurements of atm. neutrinos



- Degeneracy between detector systematics, cross section, assumed flux model and oscillation parameters
- Low energies:
 - Cross section models uncertain -> uncertain norm and spectrum
 - Faint and complex signal -> syst. errors
- At high energies:
 - Muon track from numu charged current not contained within detectors -> bad energy res.
 - Electron neutrino measurements suffer from lack statistics and neutral current background -> bad stats

daemonflux: Data-driven Muon-calibrated Neutrino Flux

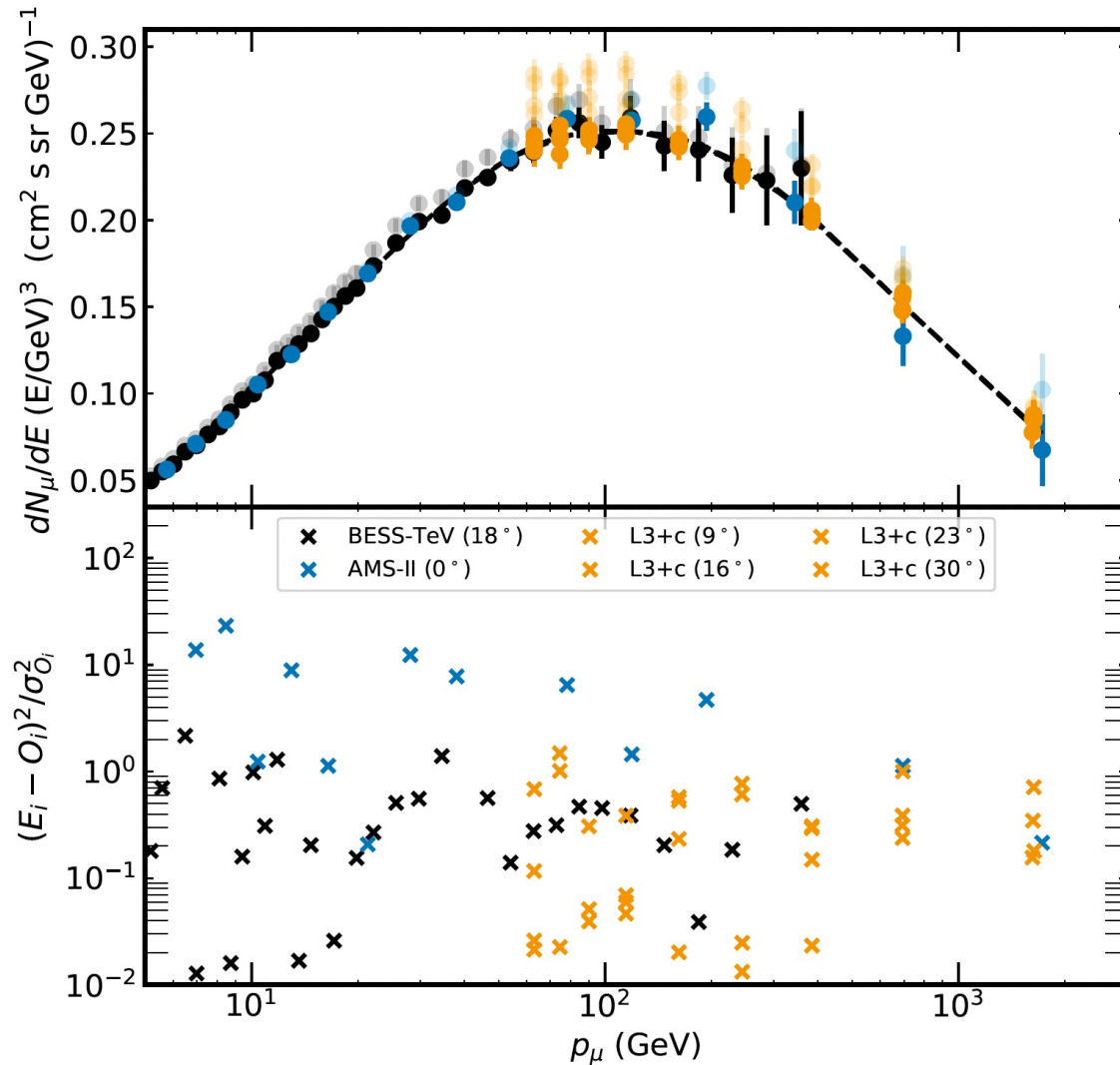
J. P. Yanez & AF, arXiv:2303.00022



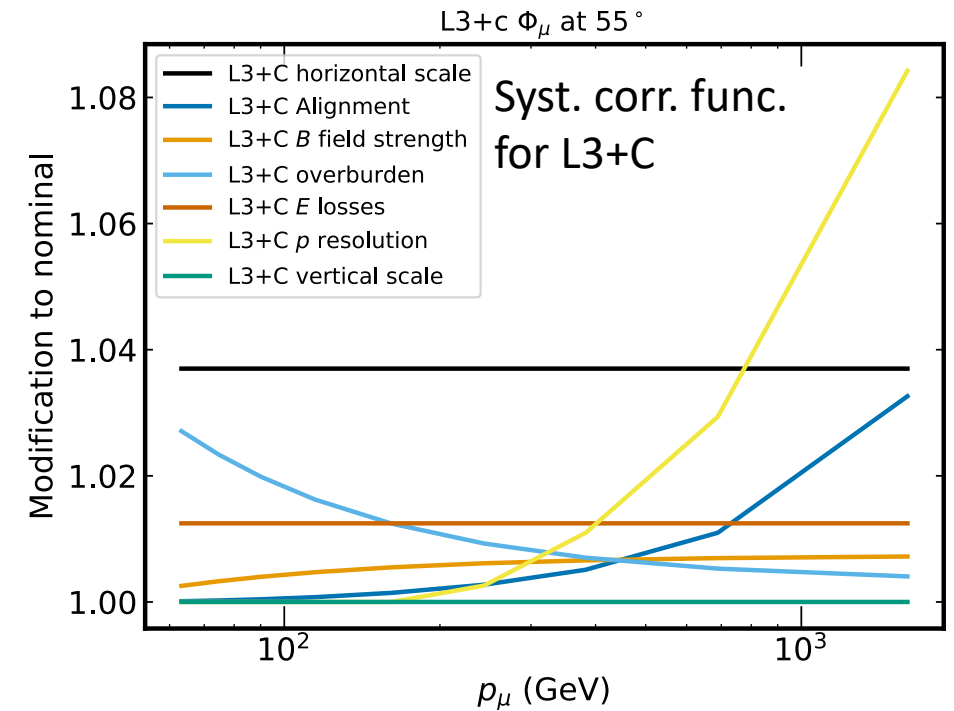
Experiments disclosing systematic uncertainties. Most provide correction functions for the data.

Experiment	Energy (GeV)	Measurements	Unit	Systematics	Location	Altitude	Zenith range
BESS-TeV [44]	0.6-400	Φ_μ	p_μ	C	36.2°N, 140.1°W	30 m	0-25.8°
CMS [45]	5-1000	R_{μ^+/μ^-}	p_μ	Q	46.31°N, 6.071°E	420 m	$p \cos \theta_z$
L3+C [46]	20-3000	$\Phi_\mu, R_{\mu^+/\mu^-}$	p_μ	C	46.25°N, 6.02°E	450 m	0-58°
DEIS [47]	5-10000	Φ_μ	p_μ	Q	32.11°N, 34.80°E	5 m	78.1-90°
MUTRON [48]	80-10000	R_{μ^+/μ^-}	p_μ	Q	35.67°N, 139.70°E	5 m	87-90°
MINOS [49]	1000-7000	R_{μ^+/μ^-}	E_μ	C	47.82°N, 92.24°W	5 m	unfolded
OPERA [50]	891-7079	R_{μ^+/μ^-}	E_μ	Q	42.42°N, 13.51°E	5 m	$E \cos \theta^*$

Data compatibility test (no flux model)

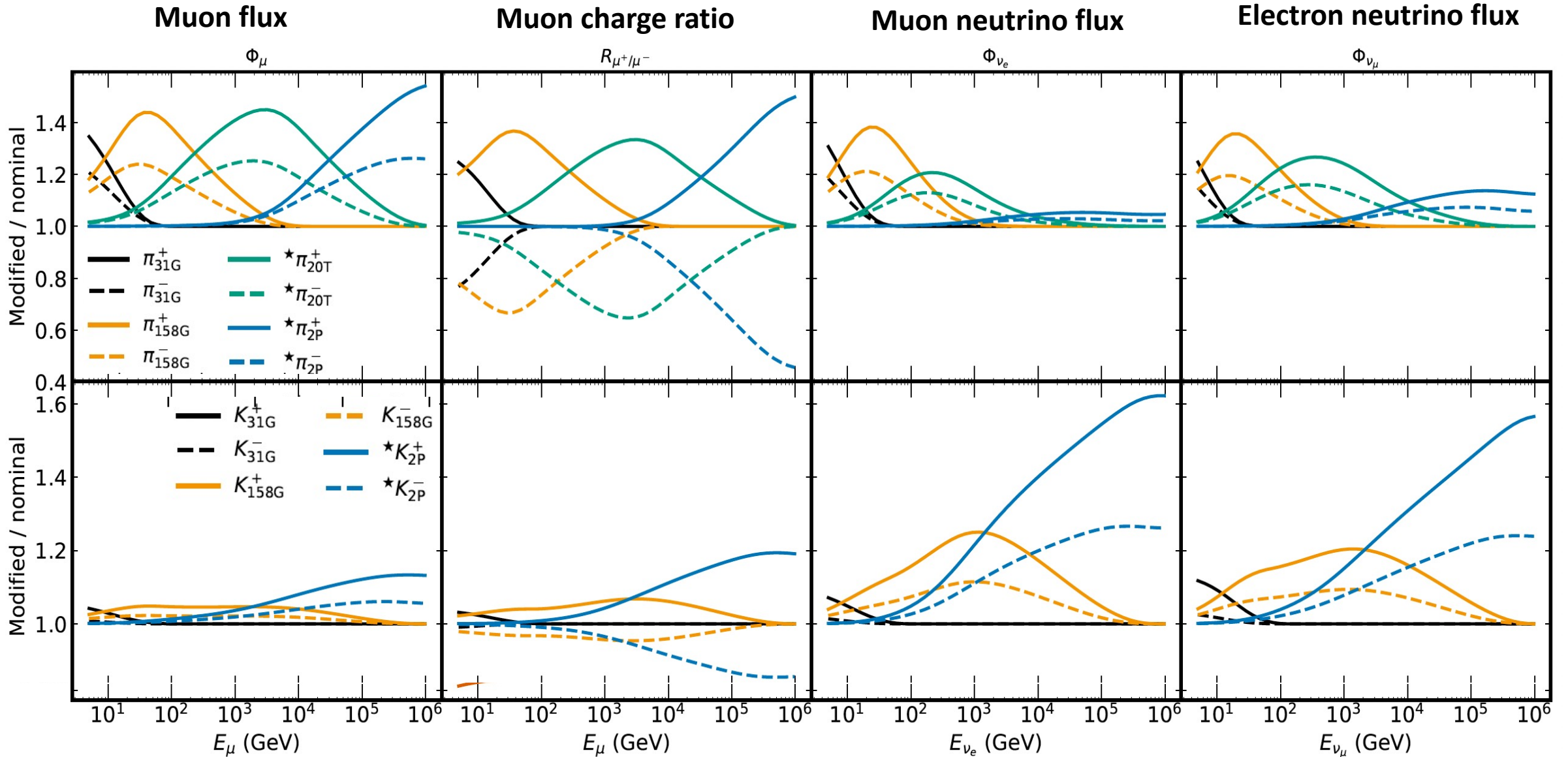


- Fit spline in common zenith band with the only requirement that flux has to be smooth. Fit systematic corrections.

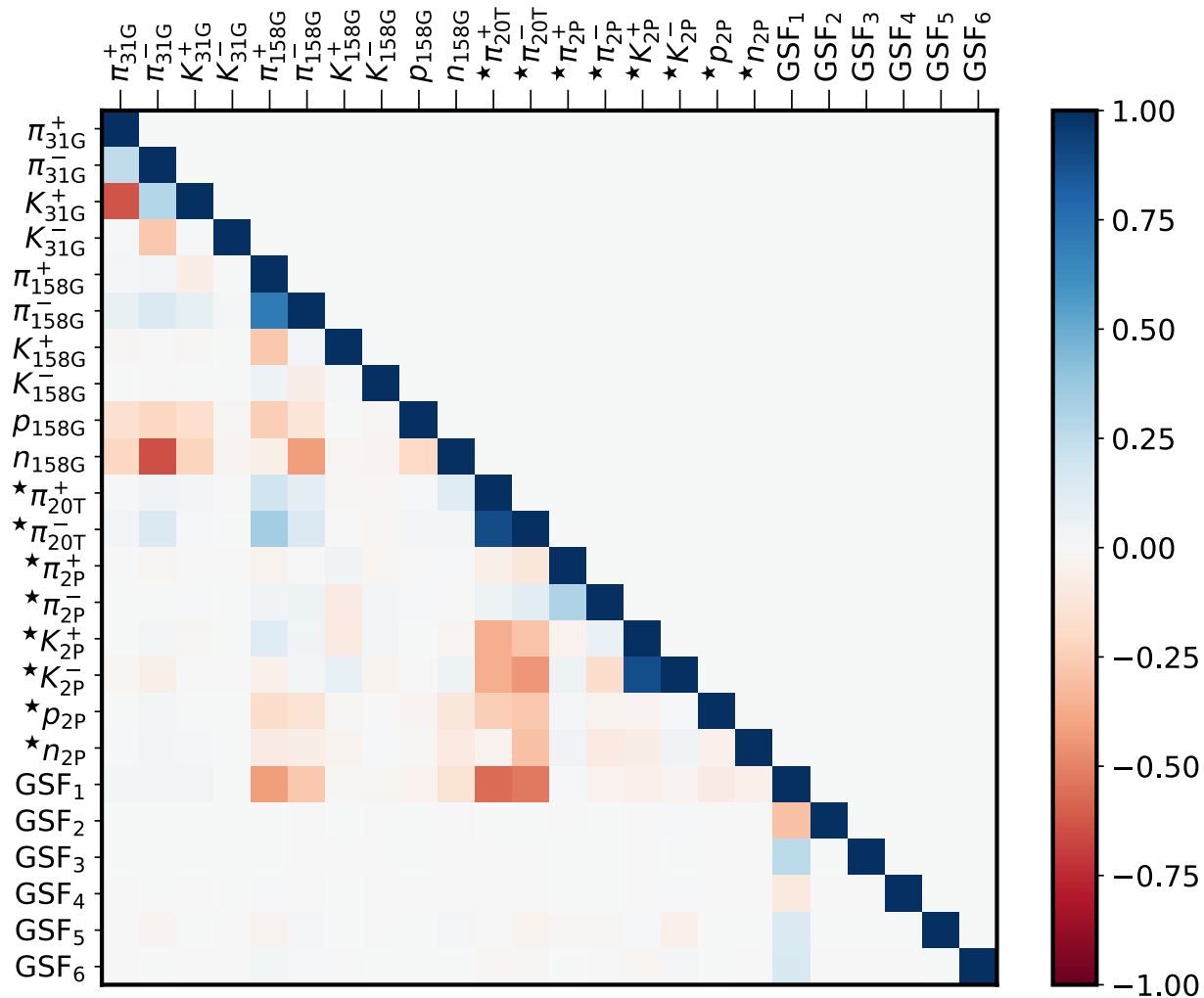


- **Exclude experiments**, which either are
 - not mutually compatible, or
 - statistically not significant
- or
 - AMS (unpublished PhD thesis)
 - MARS (no competition to BESS)
 - MUTRON (unclear systematics)
 - DEIS (formally OK, but strange induces pulls)

Choice of extrapolation parameters above “DDM energies”

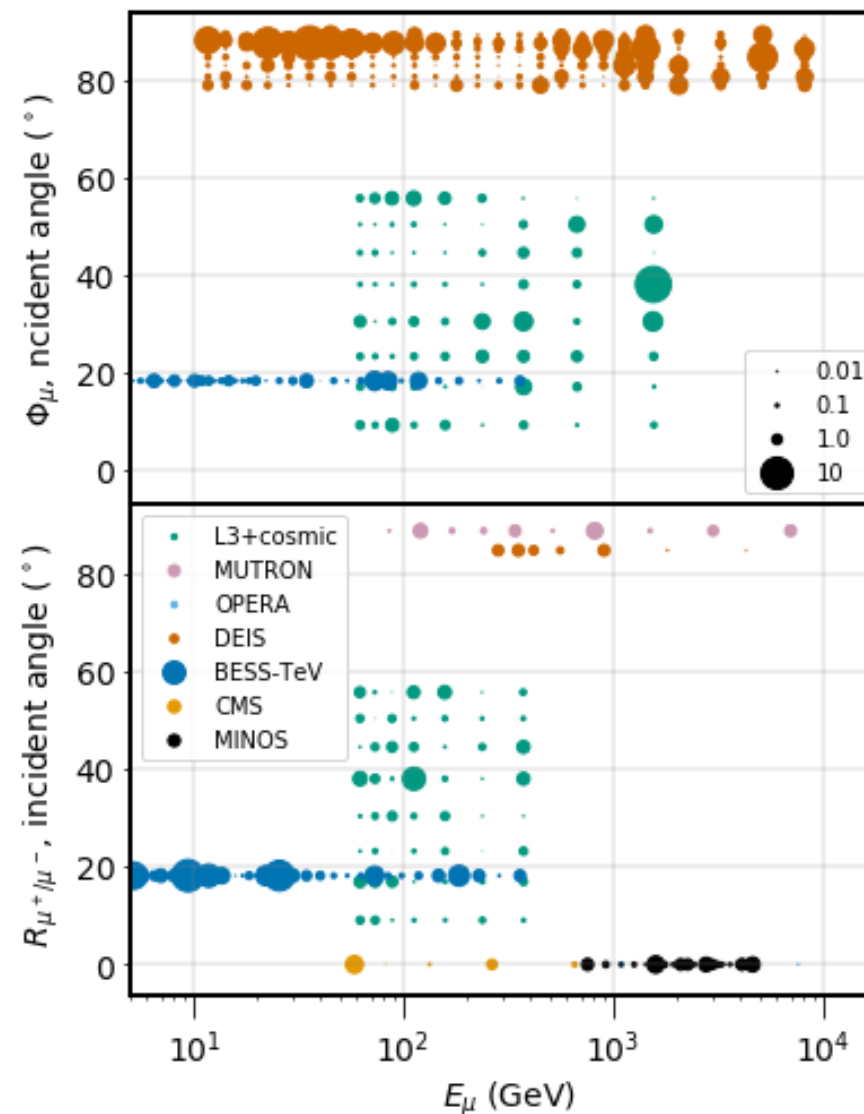


Fit quality



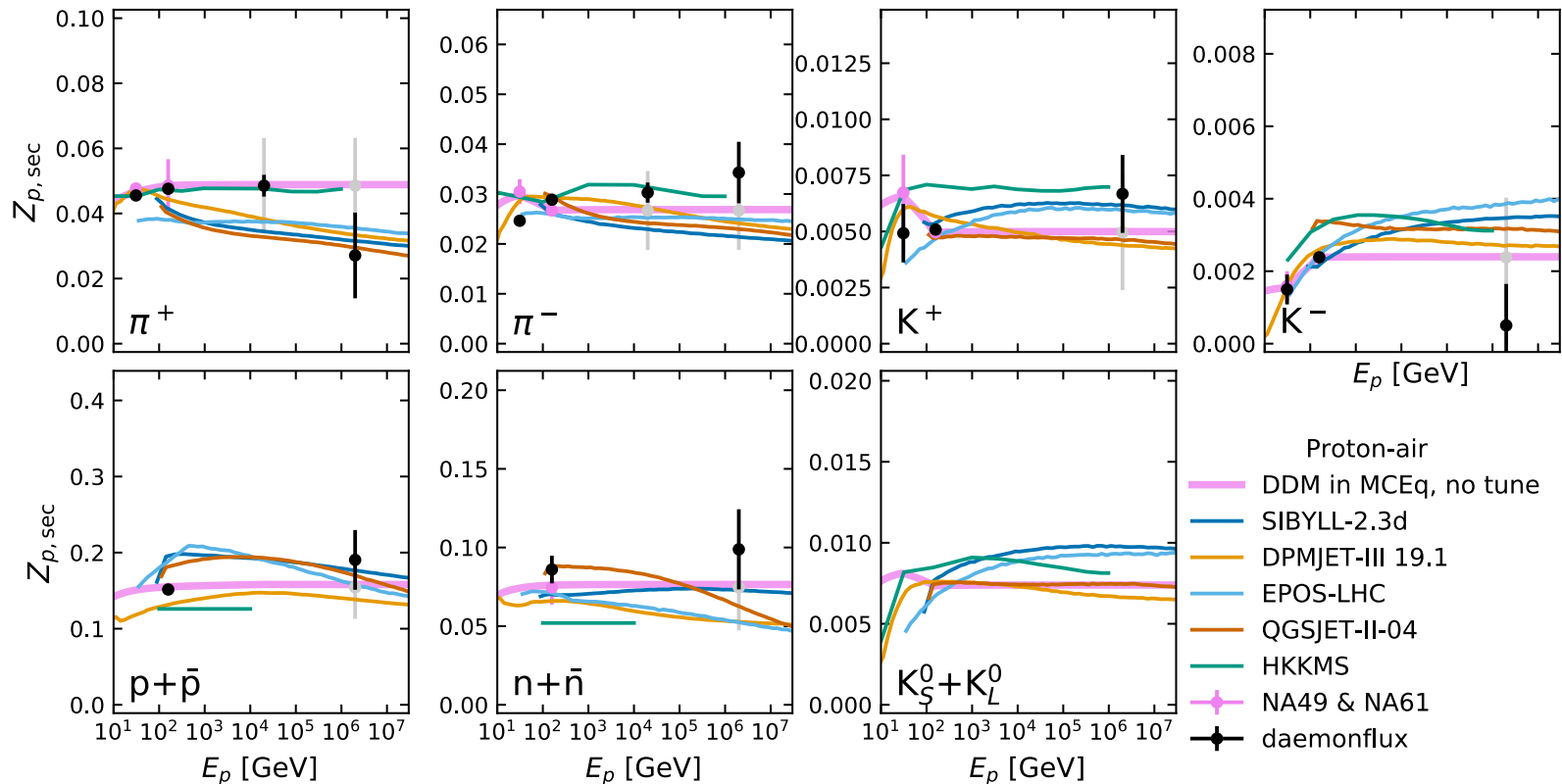
Physics parameter part of the correlation matrix: Total 34 parameters: 18 hadrons + 6 GSF + 10 experimental

Contribution to Chi2

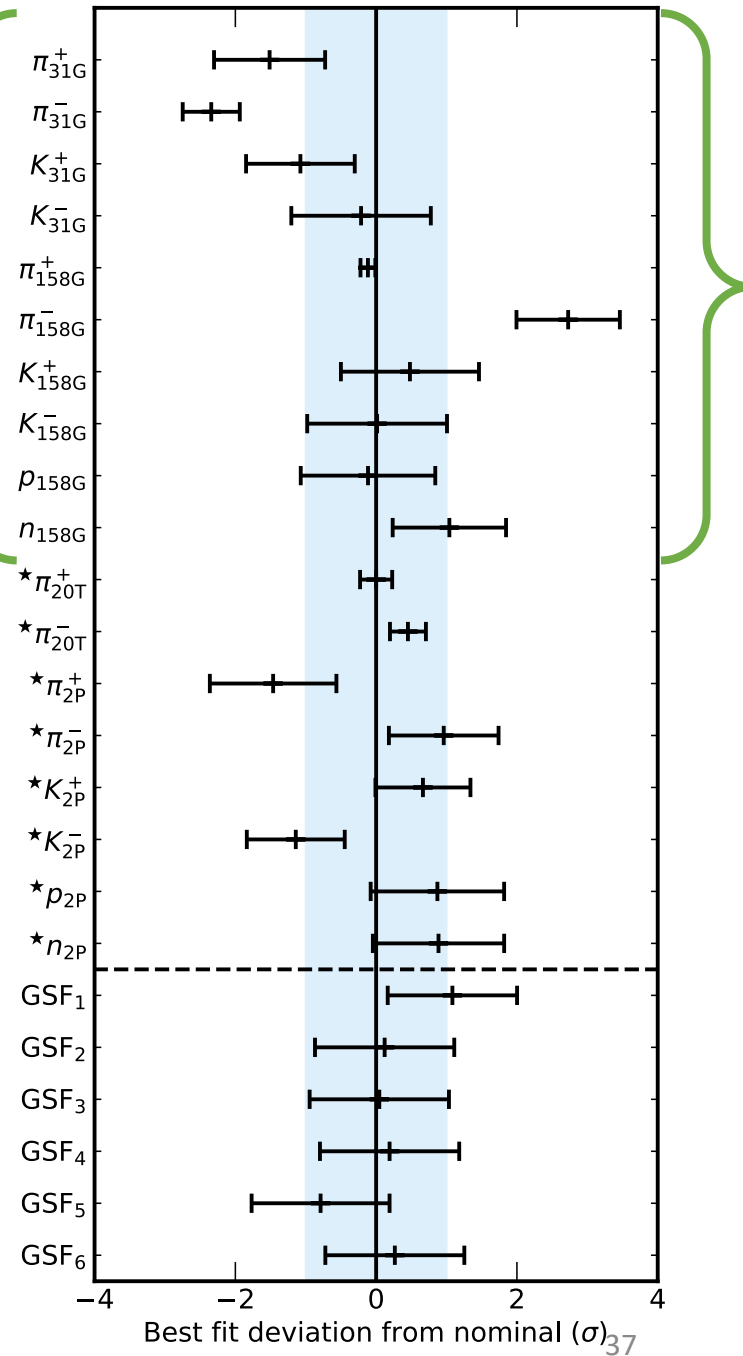


Chi² 199/ 217 dof (approximate)
P-value = 81%

Fitted parameter values



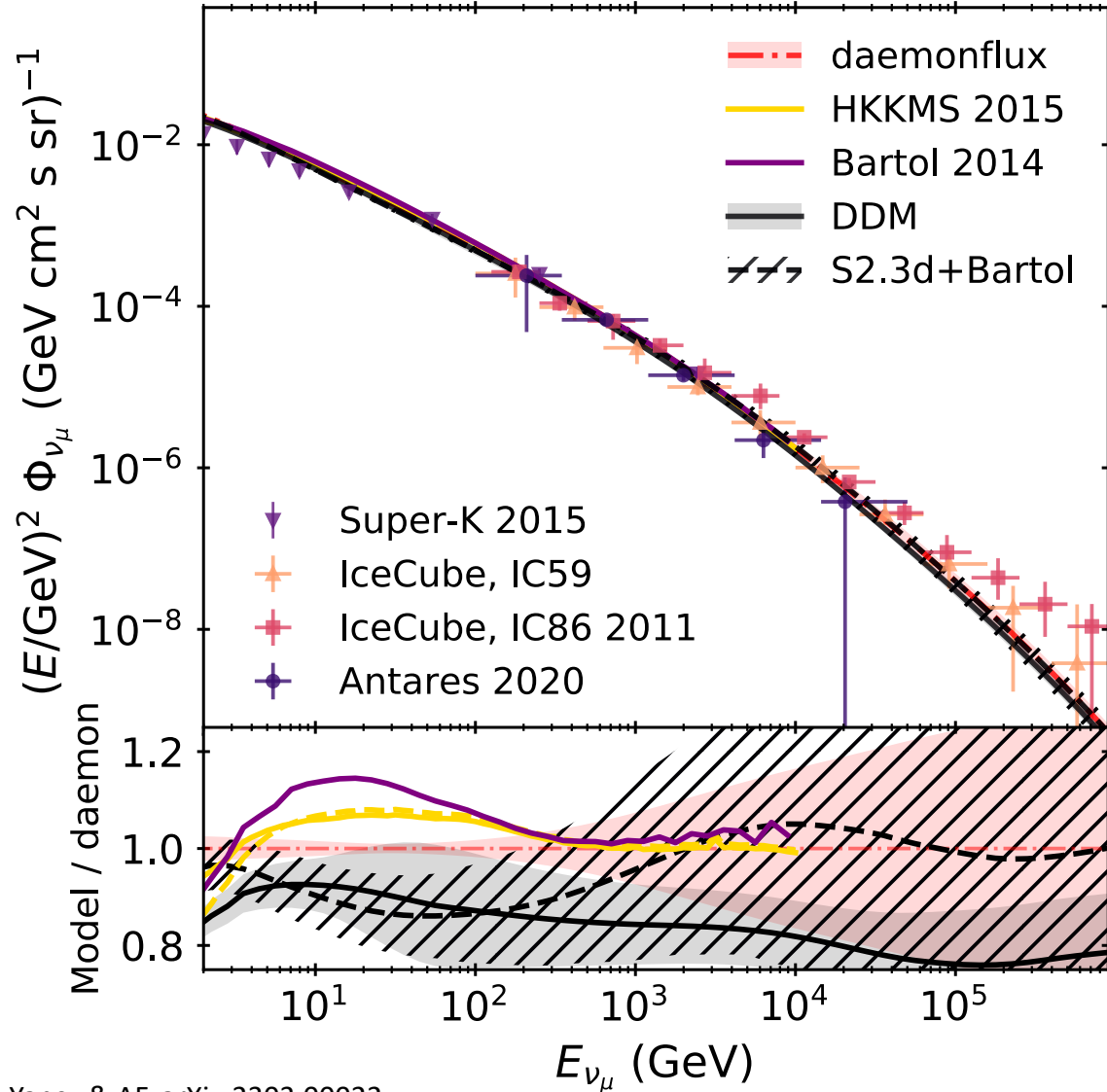
Accelerator
constrained



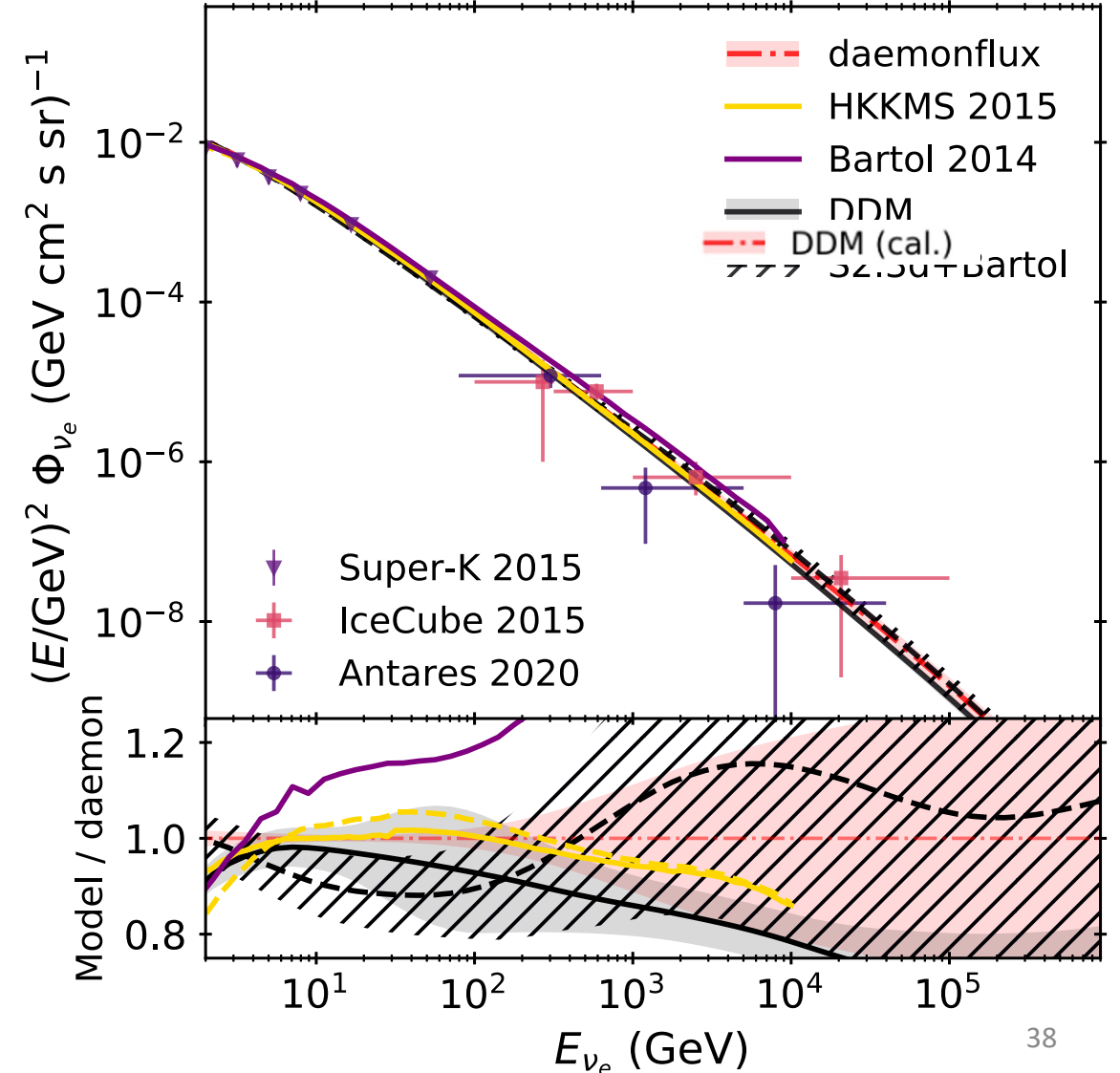
Neutrino fluxes

hatched area: uncertainty from
Barr et al. PRD74, 094009 (2006) & AF, Huber PRD (2022)

Muon neutrinos



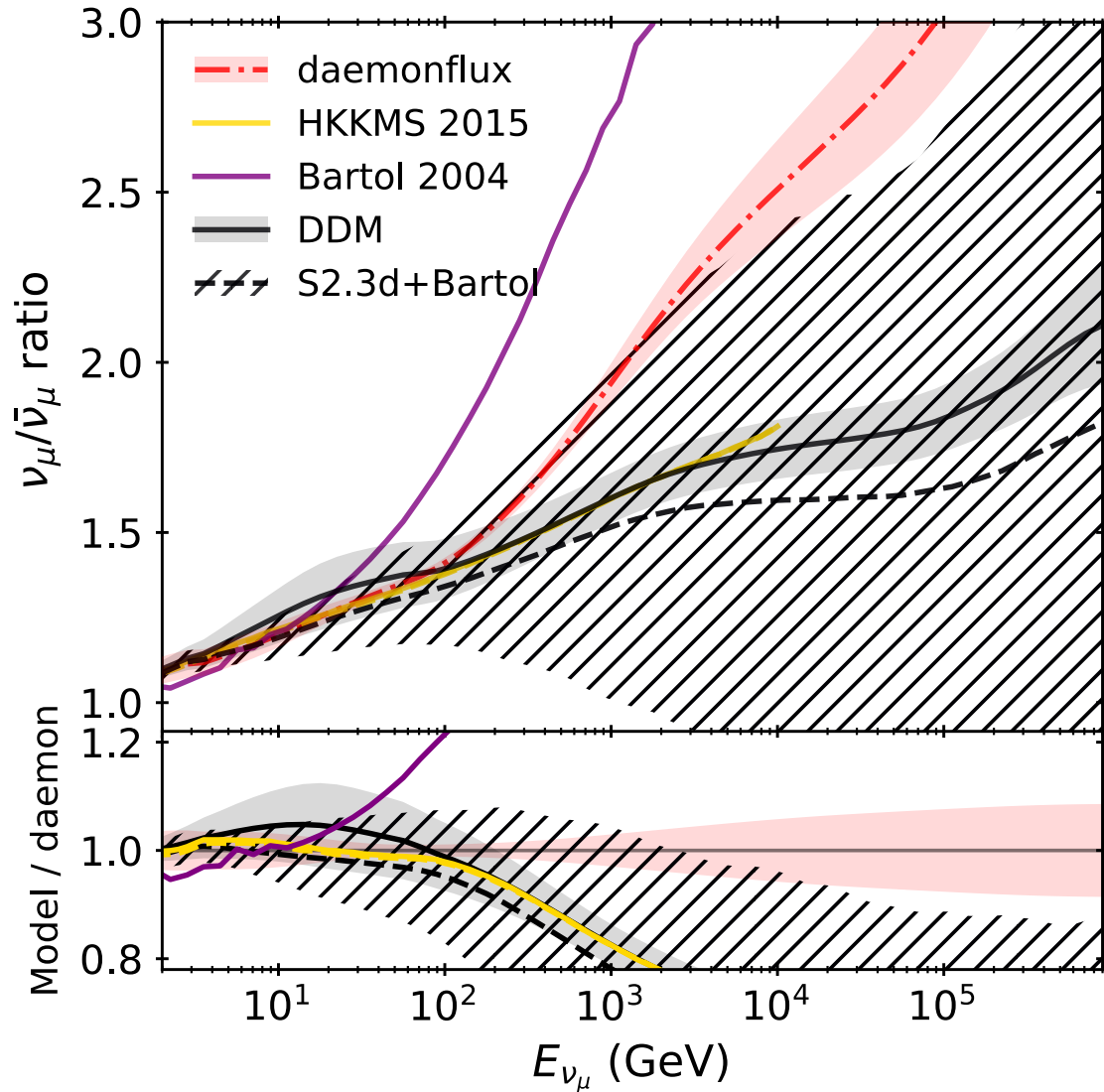
Electron neutrinos



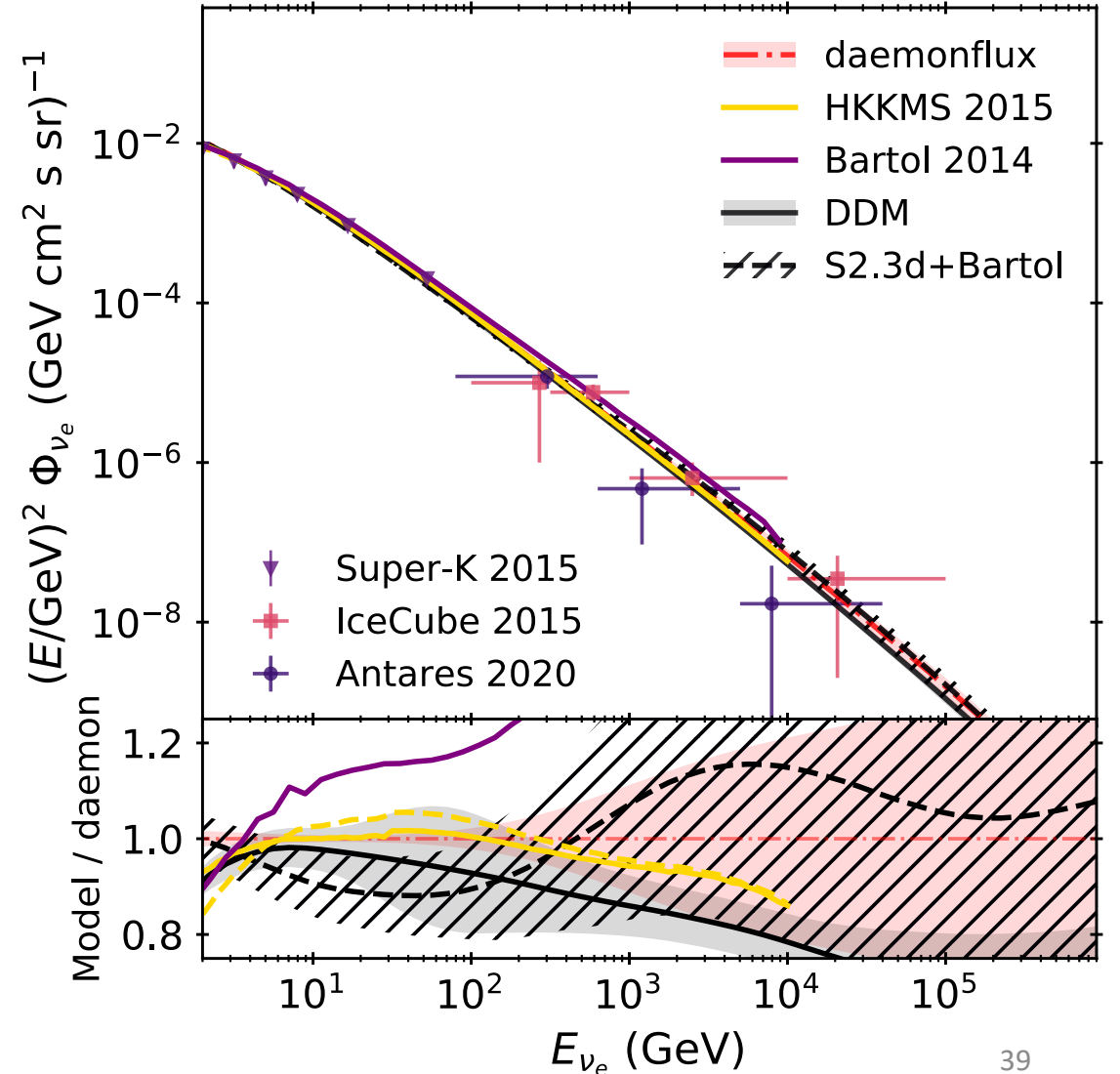
Neutrino ratios

hatched area: uncertainty from
Barr et al. PRD74, 094009 (2006) & AF, Huber PRD (2022)

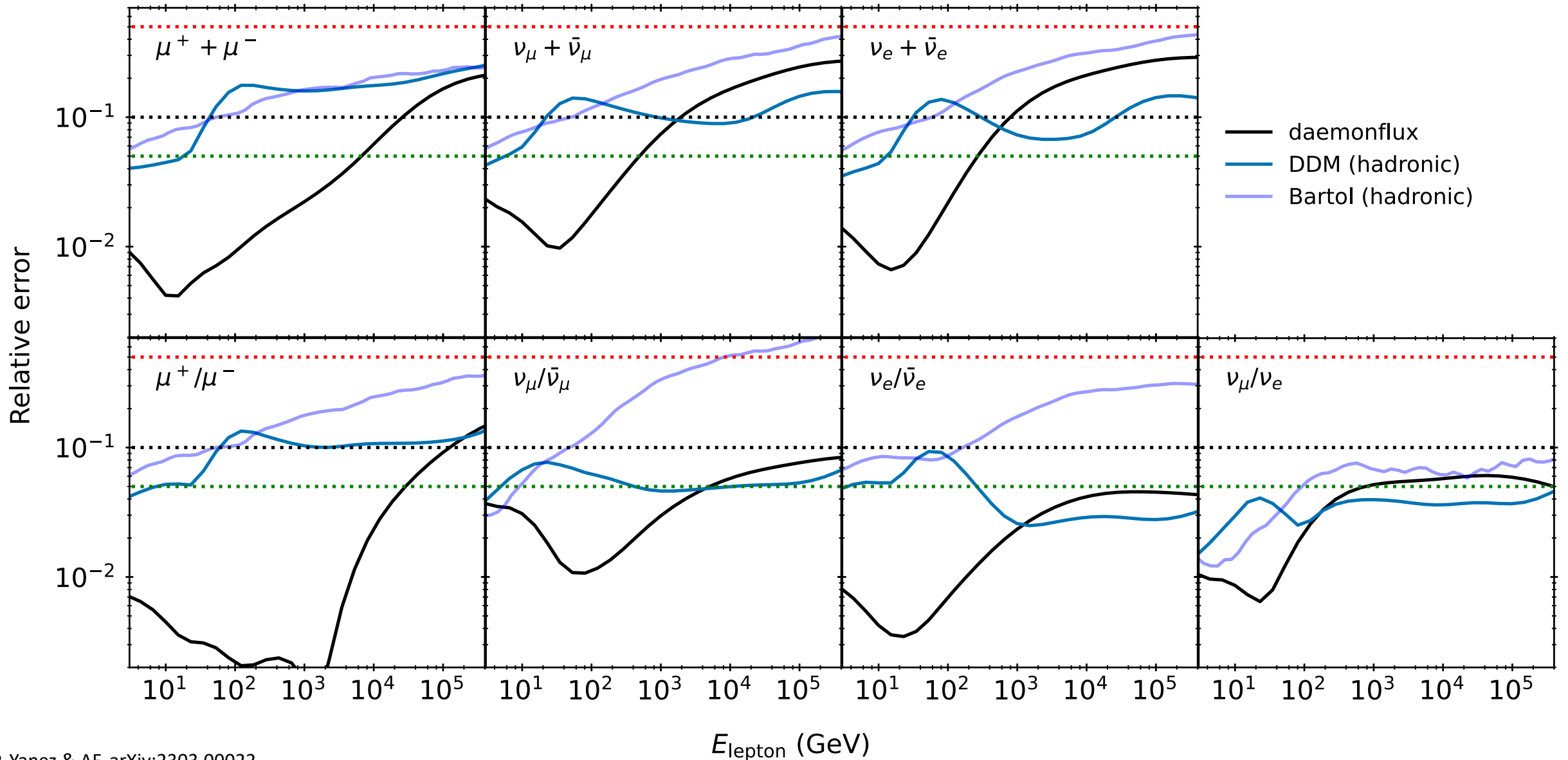
Numu/numubar ratio



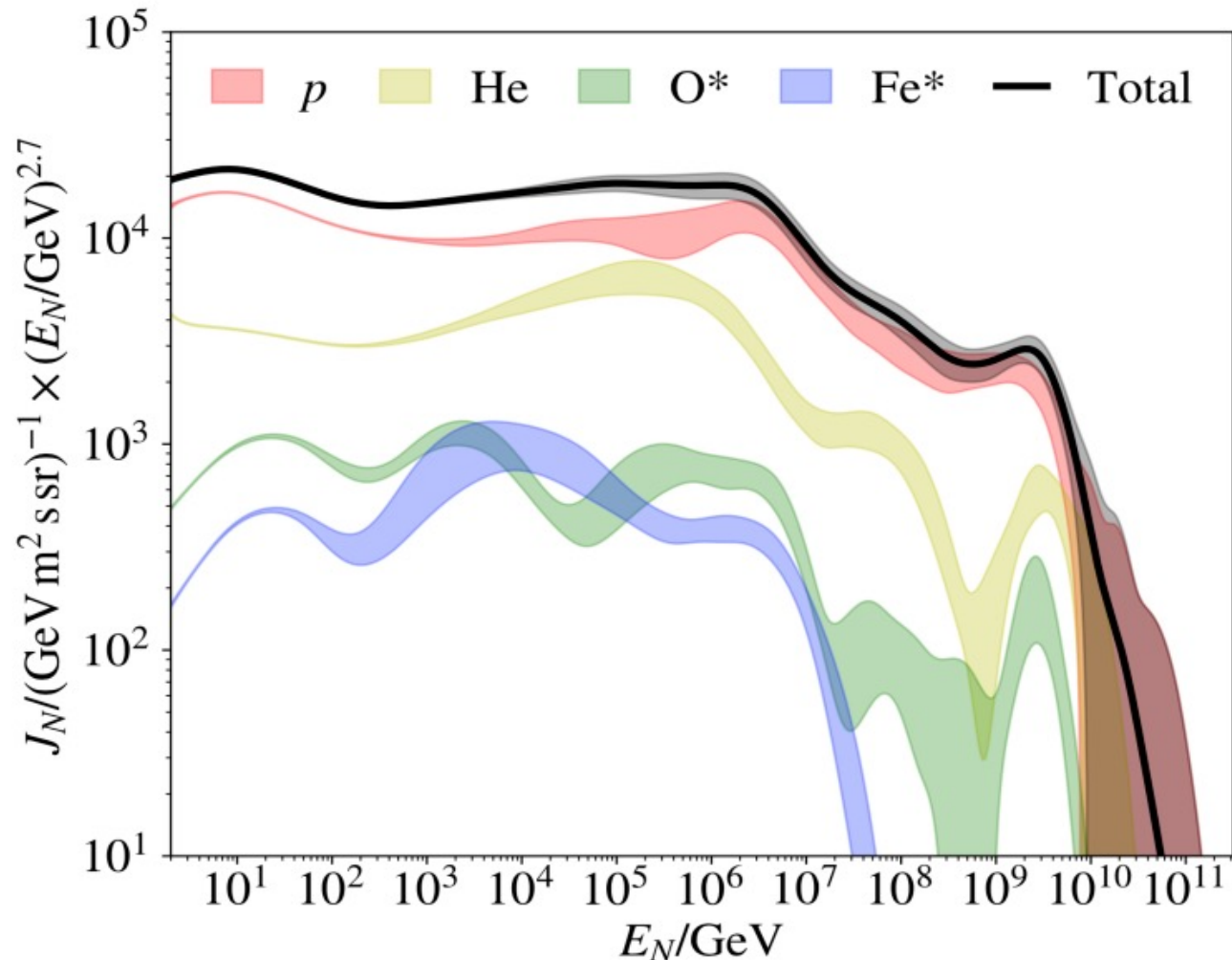
Flavor ratio



Total uncertainty of daemonflux (DDM+GSF+Fit)



The Global Spline Fit – nucleon fluxes (MCEq input)



- Most contribution from proton and helium flux
- Correlations between H and He affect
 - CR neutron fraction
 - Muon charge ratio
 - Neutrino/Antineutrino ratio
- Need to model two correlated components
- technically ~80 parameters

MUTE (Muon inTnsity codE): fast convolutions

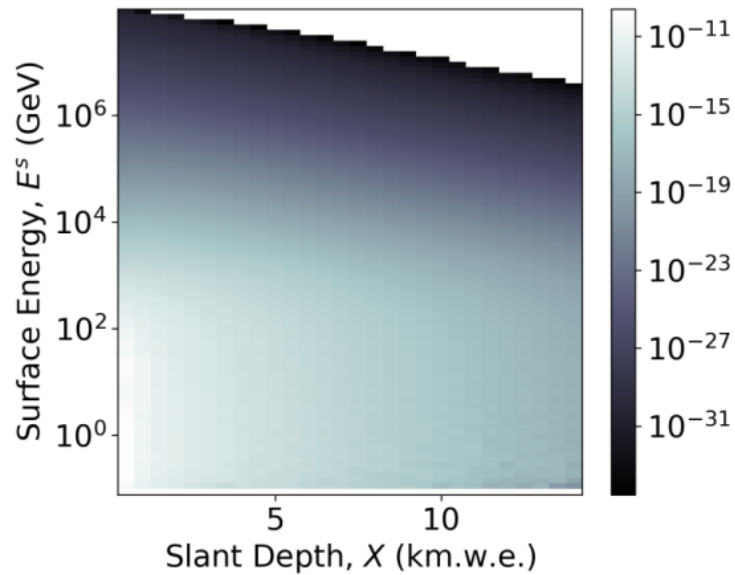
AF, **W. Woodley**, M.-C. Piro, *ApJ* **928** 27 (2022)

<https://github.com/wjwoodley/mute>

W. Woodley, TeVPa 2022 and Woodley, AF, Piro in prep.

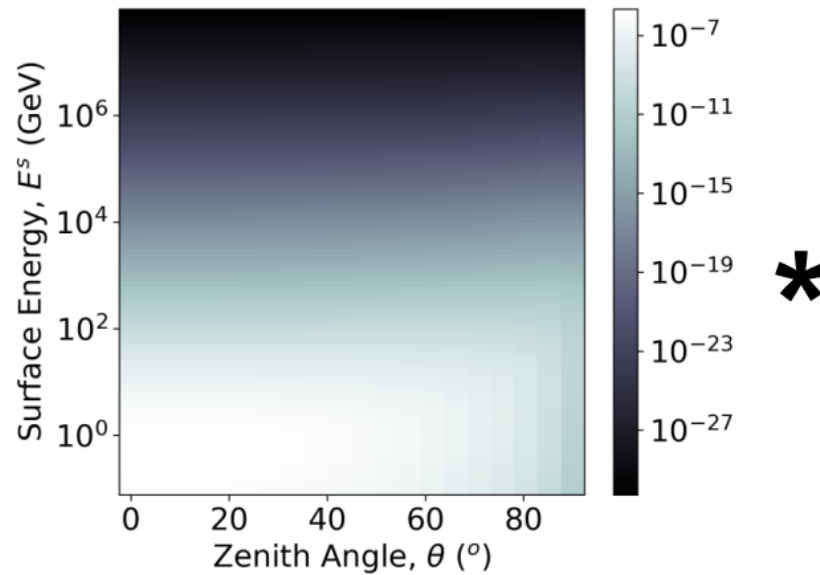
$$\Phi^u(E_j^u, X_k, \theta_k) = \sum_i \Phi^s(E_i^s, \theta_k) P(E_i^s, E_j^u, X_k) \left(\frac{\Delta E_i^s}{\Delta E_j^u} \right)$$

Φ^u



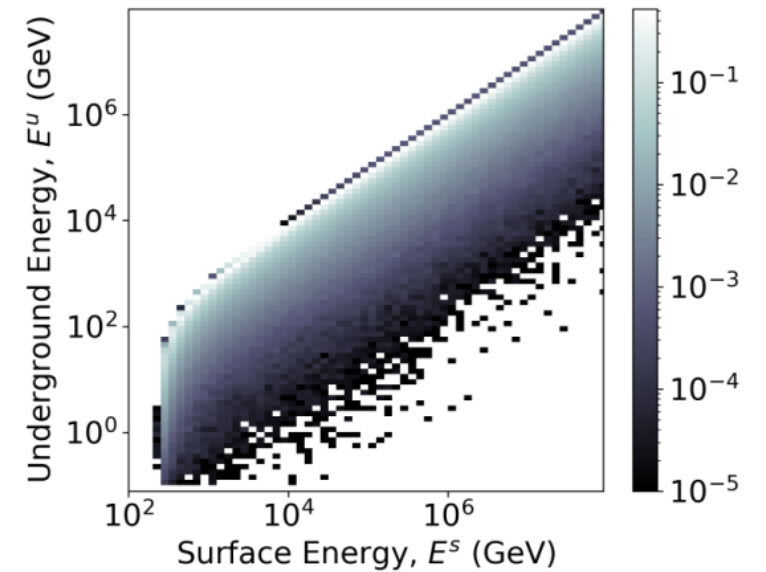
MUTE
Underground Fluxes

Φ^s



MCEq
Surface Fluxes

P



PROPOSAL
Transfer Tensor

MUTE (Muon inTnsity codE): Muon flux for labs under mountains

<https://github.com/wjwoodley/mute>

$$\Phi^u = \iint_{\Omega} I^u(X(\theta, \phi), \theta) d\Omega.$$

