

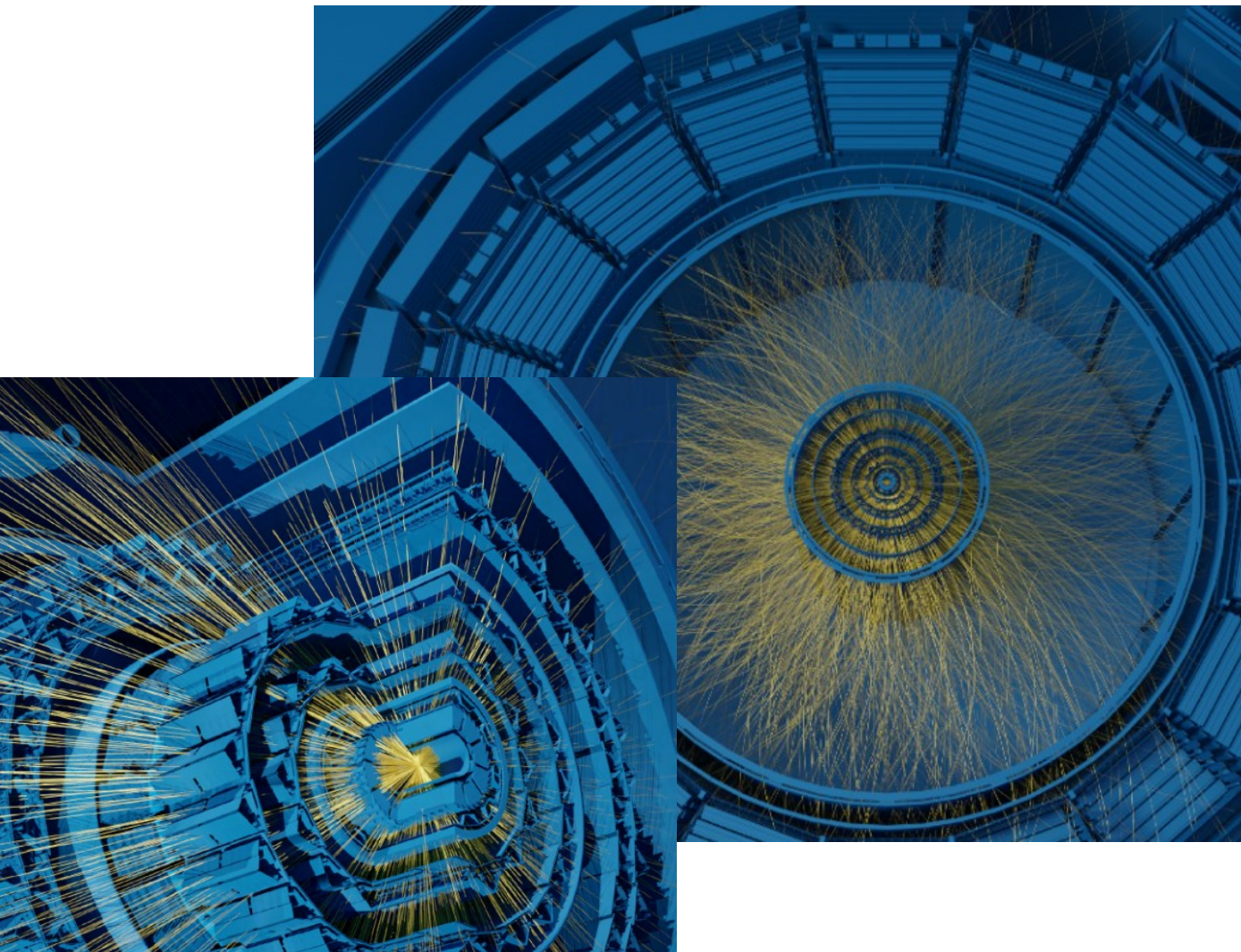


Antonin MAIRE, for the ALICE collaboration
Thursday, 25 Jan. 2024 – **Tuning hadron interaction model for cosmics**

<https://indico.uni-wuppertal.de/event/284>

ALICE bridging towards cosmic ray physics

identified-particle production



Outline

A. ALICE₁ sub-detectors & data ...

B. .Selected results ...

C. Event activity estimators ..

D. Rivetisation effort ...

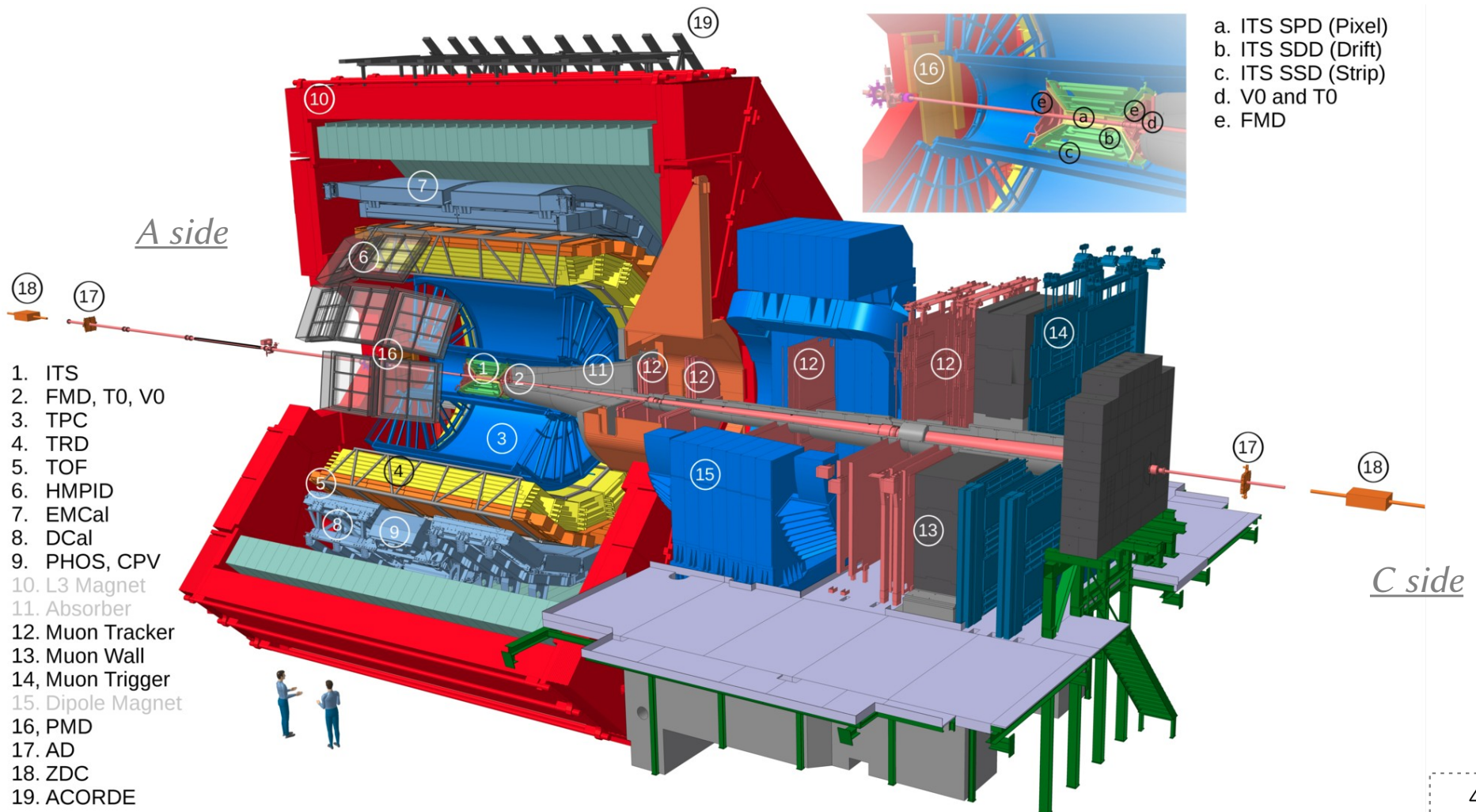
Disclaimer :

- I am not a calorimeter experimentalist...
 - I may miss key ingredients in the air shower step
 - will only address potential input for parts of the problem that may relate to MC event generators
- biased towards measurements of production rates :
 - identified particles : $(u,d,s) + c$ quark sectors
 - $y \approx 0$, rather than forward rapidities
 - low p_T : $0.1 < p_T < 2-10$ GeV/ c

Part A – ALICE detectors & data

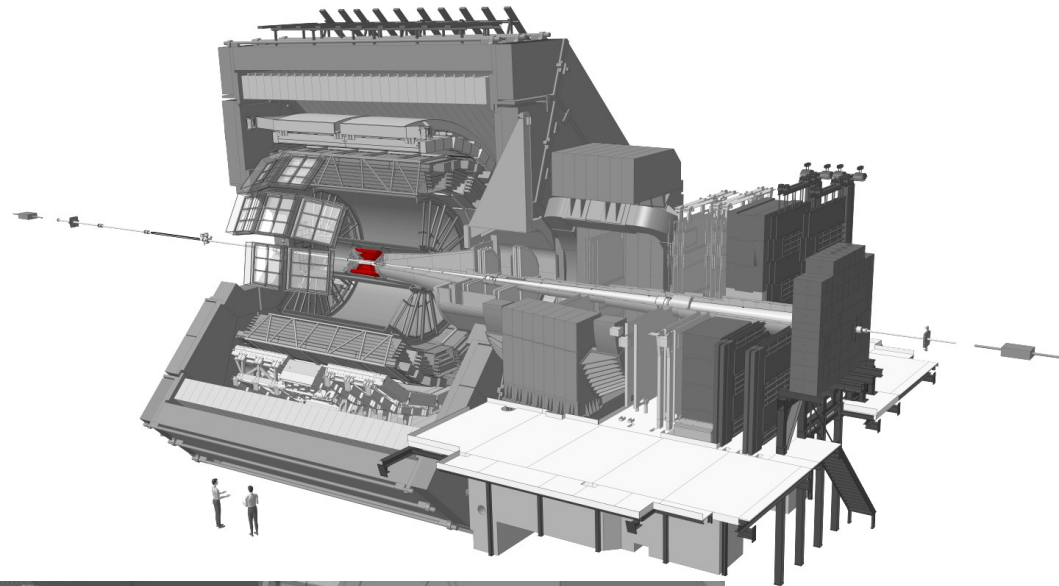
I.1 – ALICE₁ : detector layout

ALICE in run 2 = 20 active sub-detectors of various kinds
 → 2 main parts : i) forward y + ii) $y \approx 0$



I.2.a – ALICE₁ : central barrel, ITS

ALICE-PHO-SKE-2017-002



• Inner Tracking System, ITS

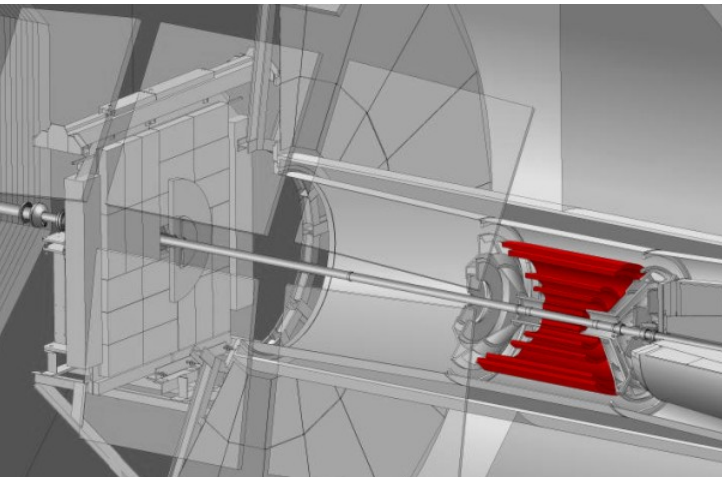
$|\eta_{\text{ITS}}| < 0.9$ at least / $p_{\text{T}}^{\text{threshold}} \sim 50 \text{ MeV}/c$

2 layers = silicon pixels, SPD
(hybrid pixels : $50 \times 425 \mu\text{m}^2$)

2 layers = silicon drift, SDD

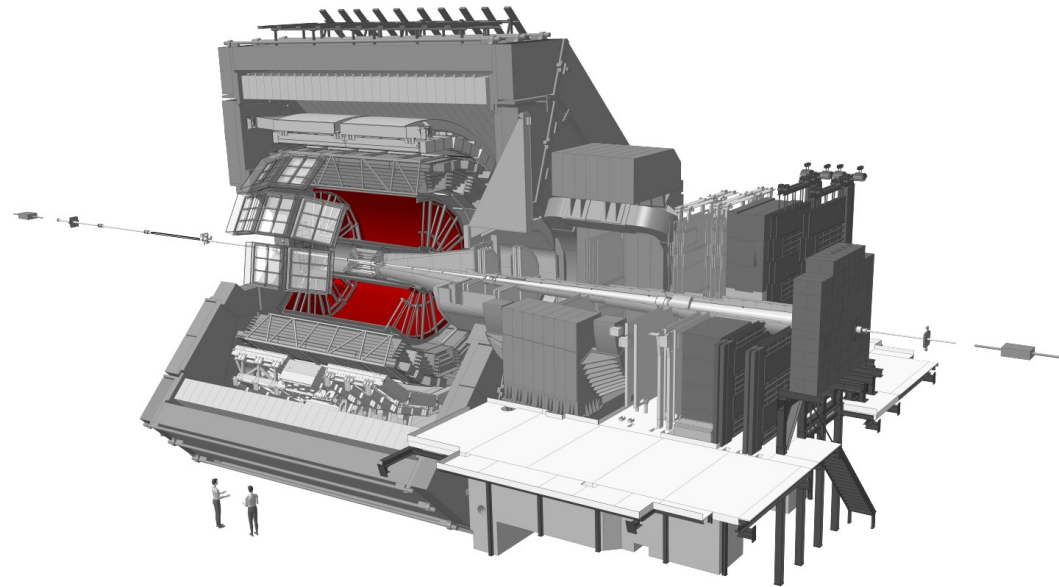
2 layers = silicon strips, SSD

- **trigger** (SPD)
- **vertexing, tracking** (SPD, SDD, SSD)
- **PID (dE/dx)** (SDD, SSD)



I.2.b – ALICE₁ : central barrel, TPC

ALICE-PHO-SKE-2017-002



- **Time Projection Chamber, TPC**

$$|\eta_{\text{TPC}}| < 0.9$$

$$p_{\text{T}}^{\text{threshold}} \sim 150 \text{ MeV}/c$$

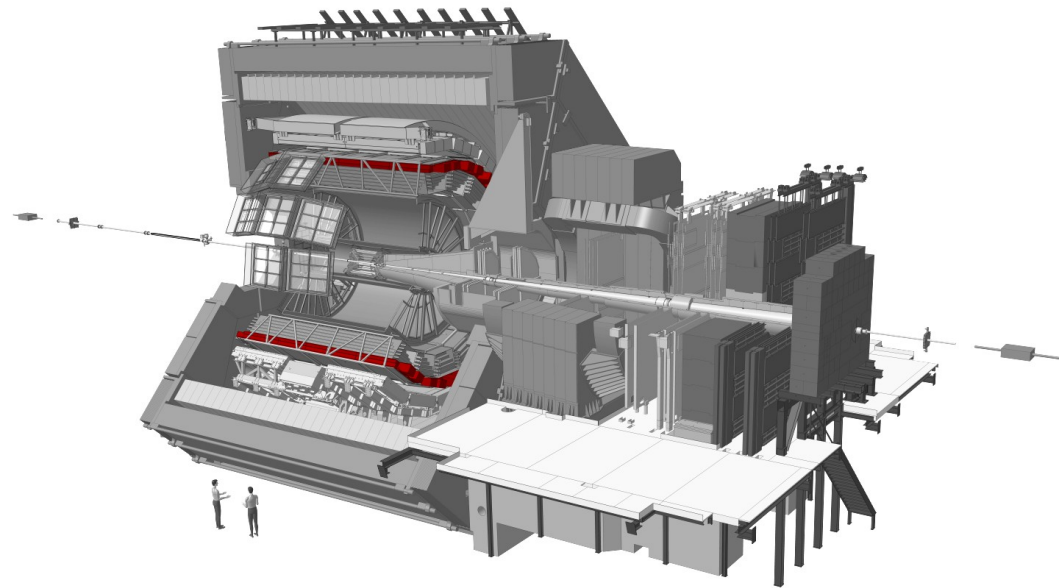
Ne-CO₂-N₂ or Ar-CO₂ gas in active volume

→ **tracking**

→ **PID (dE/dx + relativistic rise dE/dx)**

I.2.c – ALICE₁ : central barrel, TOF

ALICE-PHO-SKE-2017-002



- **Time Of Flight, TOF**

$$|\eta_{\text{TPC}}| < 0.9$$

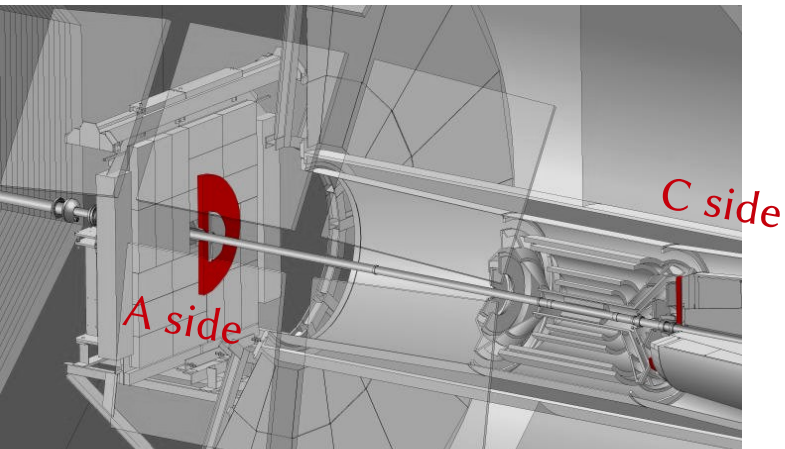
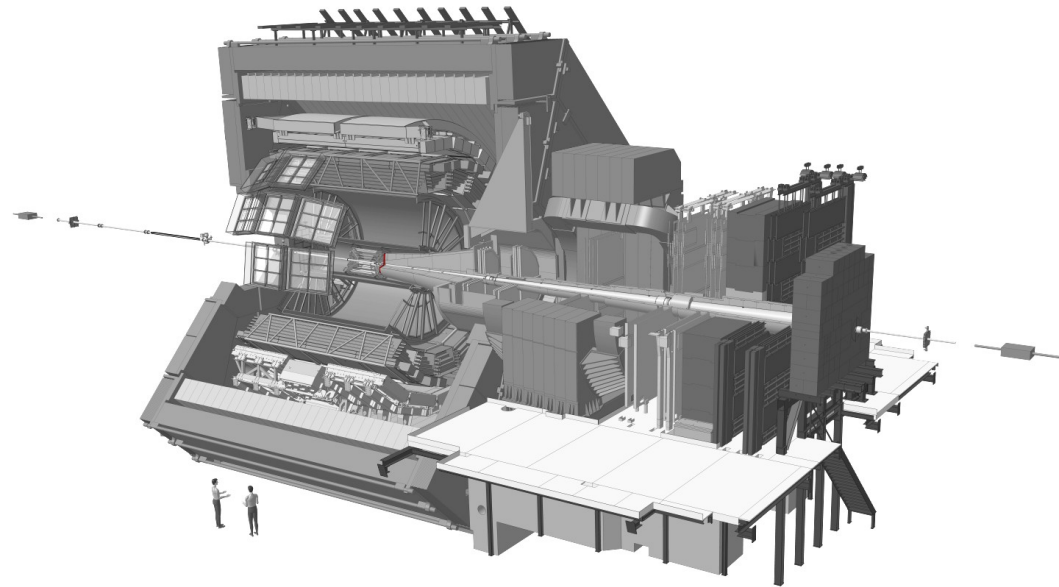
$$p_{\text{T}}^{\text{threshold}} \sim 300 \text{ MeV}/c$$

Resistive Plate Chamber

- t^0 of the event
- **pile-up** rejection (offline)
- **PID (ToF)**

I.2.d – ALICE₁ : forward, VZERO

ALICE-PHO-SKE-2017-002



- **VZERO or V0**

$$V0C = -3.7 < \eta < -1.7$$

$$V0A = +2.8 < \eta < +5.1$$

forward arrays of scintillators

- **event activity :**

Online trigger

(Min Bias + Pb-Pb centrality + high-mult. pp)

Offline use

(activity in Pb-Pb, p-Pb, pp)

- **event selection :**

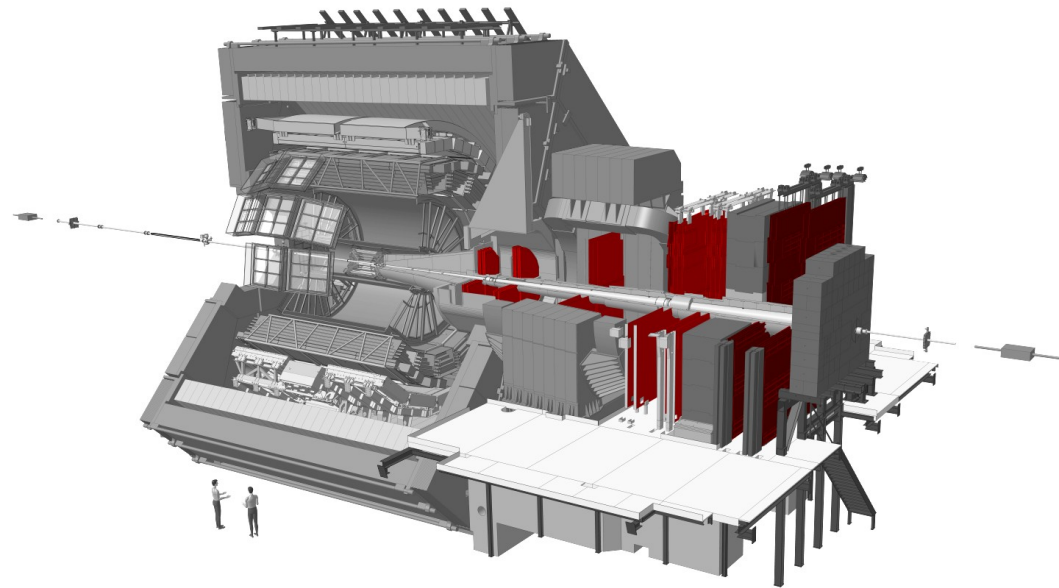
physics vs beam-gas identification

- **event charac. :**

event plane + ref. flow vector (Pb-Pb)

I.2.e – ALICE₁ : forward, muon chambers

ALICE-PHO-SKE-2017-002



- **μ CH and μ ID**

$-4.0 < \eta < -2.5$

Muon Chambers = MWPC for tracking

Muon ID = RPC for triggering

→ **single- or multiple-muon identification**

beyond :

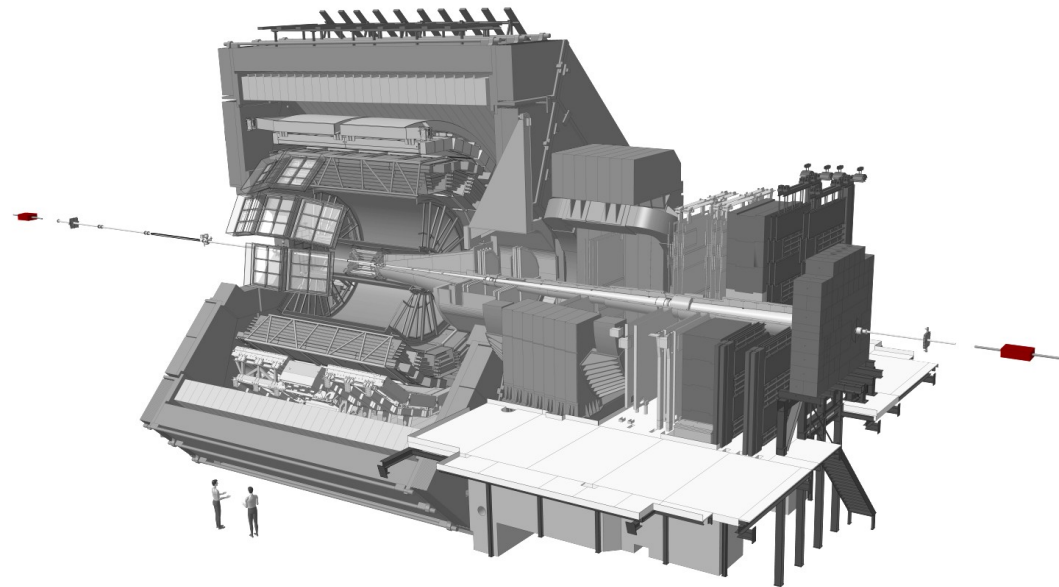
i) a hadron absorber, μ Ch

ii) an iron wall, μ ID

I.2.f – ALICE₁ : forward, ZDC

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ALICE JInst, doi:10.1088/1748-0221/3/08/S08002



- **ZERO Degree Calorimeters, ZDC**

$z \approx +7.3 \text{ m}$ ($+4.8 < \eta < +5.7$)

ZEM = Pb+quartz for photons
(for distinction between UPC
and low peripheral collisions)

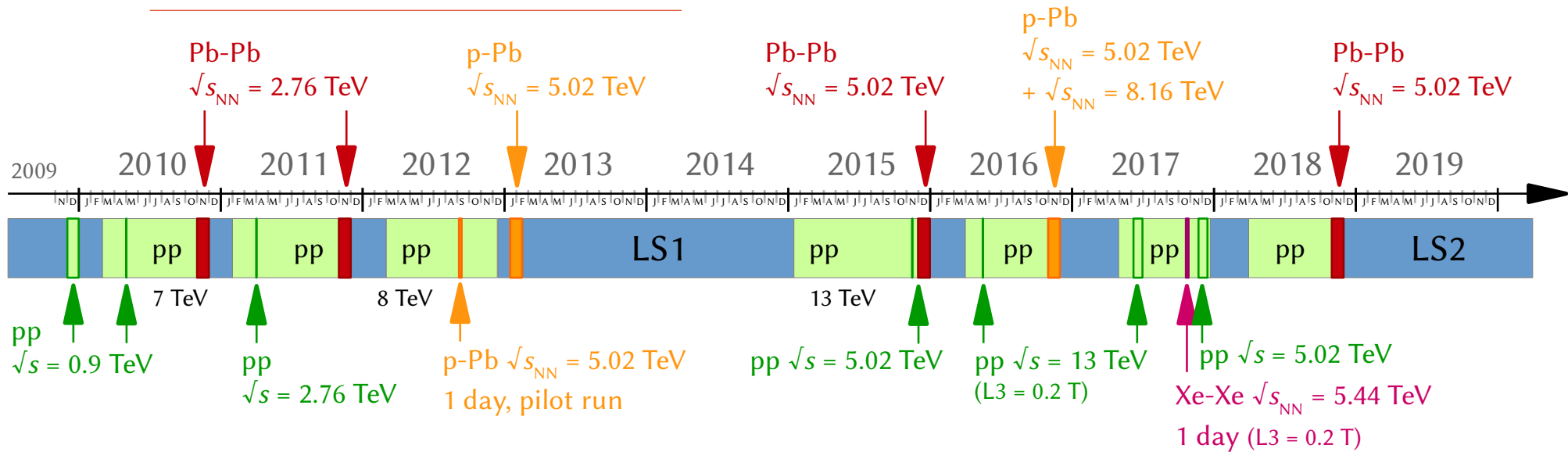
$z \approx \pm 113 \text{ m}$

ZN ($|\eta| > 8.7$, full azimuth)
= tungsten+quartz for neutrons

ZP ($7.8 < |\eta| < 12.9$ in pp 13 TeV)
= brass-quartz for protons

→ used in Pb-Pb, Xe-Xe, p-Pb, Pb-p...
but also in some periods of pp 13 TeV
2015, 2017, 2018
(pp $\approx 130 \cdot 10^6$ pp evts in total)

II.1 – ALICE : ALICE₁ campaigns in LHC runs 1+2



- ALICE objectives for Runs 1+2 :
- 1 nb^{-1} in Pb-Pb + “track-equivalent” \mathcal{L}_{int} in pp
 - pp campaigns at reference \sqrt{s}
 - p-Pb campaigns

Remark (~for pp) : delivered Vs. inspected Vs. recorded luminosity

e.g. LHC-delivered \mathcal{L}_{int} pp for 2018 (bpt.web.cern.ch/statistics) :

CMS (ATLAS) $\approx 66\,440$ (64 771) pb^{-1} Vs. LHCb ≈ 2446 pb^{-1} Vs. ALICE $\approx 27,19$ pb^{-1}

→ ALICE = [physics at the event level] \neq [physics for particles ~independently of the event]

→ specific data taking strategy (campaign planning + $\mathcal{L}_{\text{instantaneous}}$ leveled at $\approx 2.6 \cdot 10^{30} \text{ cm}^{-2}\text{s}^{-1}$) ...

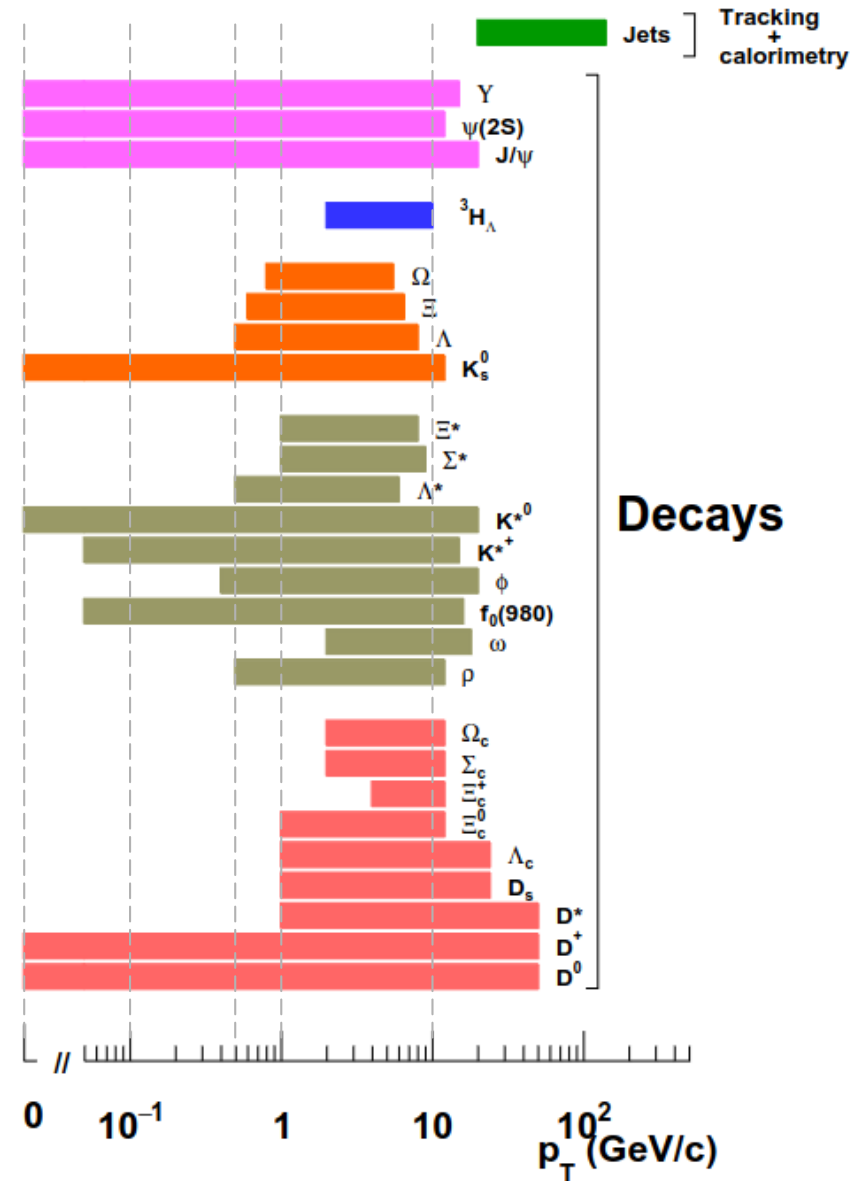
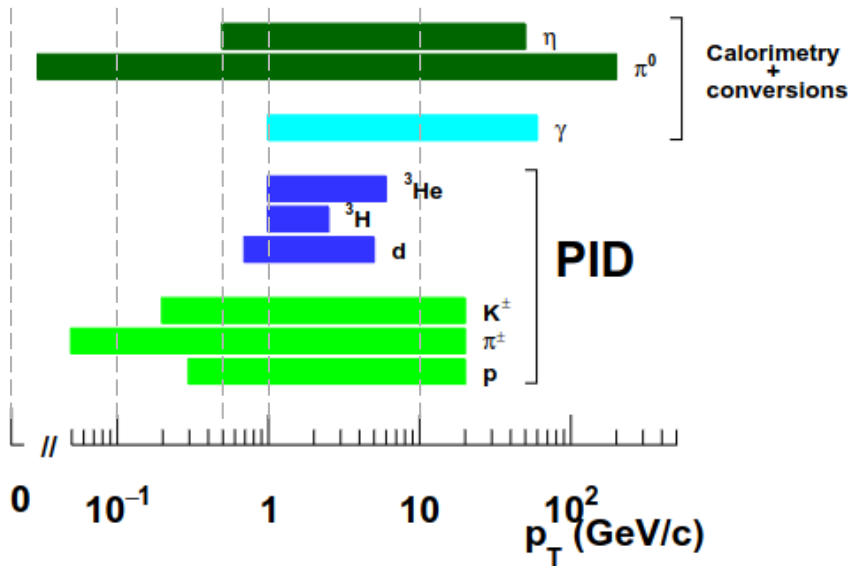
ex : pp pile-up (2015-2018) $\mu_{\text{ALICE}} \leq 0.02$ // μ_{CMS} (40-60)

Part B – Selected set of results

III.1 – Landscape : particle identif^o via detector + via inv.-mass

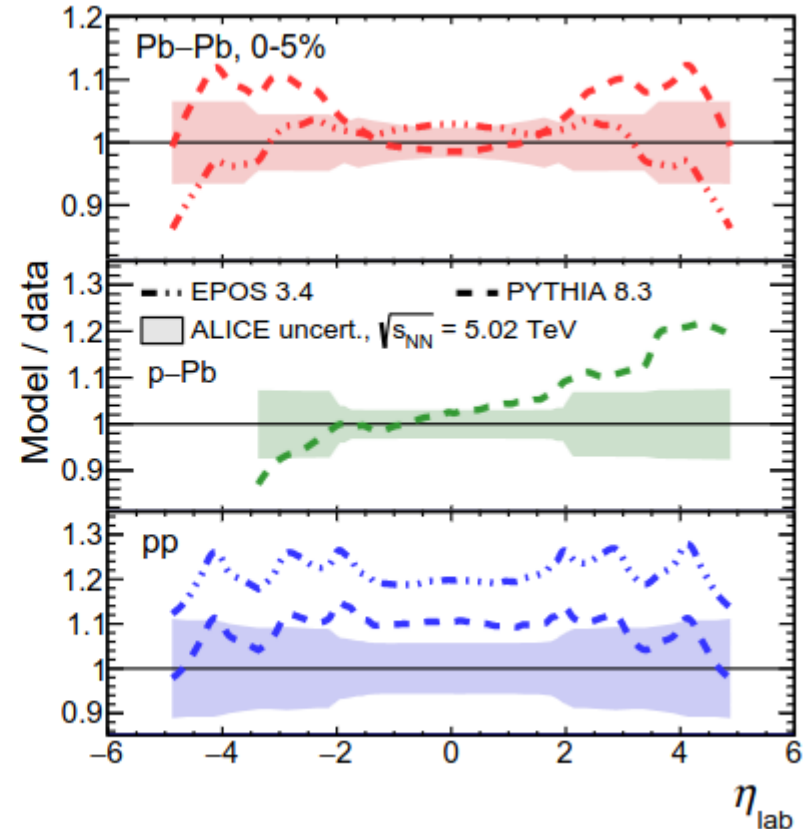
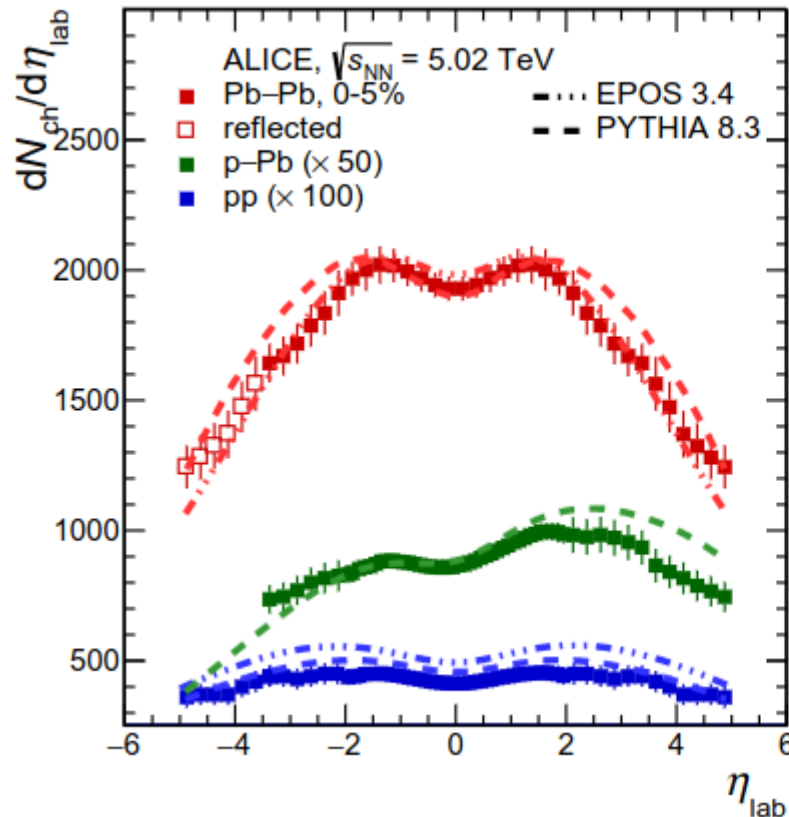
pp, p-Pb, Pb-p, Xe-Xe, Pb-Pb ($^{129}\text{Xe}^{54+}$, $^{208}\text{Pb}^{82+}$) ...

- Barrel $|\eta| < 0.9$
 → Rapidity coverage ? $|y| < 0.5$ chosen for mostly any identified particle here in the table
- Forward particles that decay into (μ^\pm)
 - some light flavour resonances [ω , $\phi(1020)$]
 - quarkonia [J/ψ , $\psi(2S)$, $Y(nS)$]
 - single μ decay from open charm/beauty, HF μ



III.2 – Landscape : $dN_{ch}/d\eta = f(\eta)$, over “large” η range

ALICE White paper, Fig.12, [arXiv:2211.04384](https://arxiv.org/abs/2211.04384)



NB: **Pb-Pb curve**

- $dN_{ch}/d\eta$ (0-5%, $\eta \in [0.0;0.25]$) = 1929 ± 46
- Integration over ($p_T, |\eta| < 8.6$) : N_{ch} (0-5%) = 21490 ± 1460
(30% extrapolated in the $|\eta| > 5$ tails, without beam remnants)

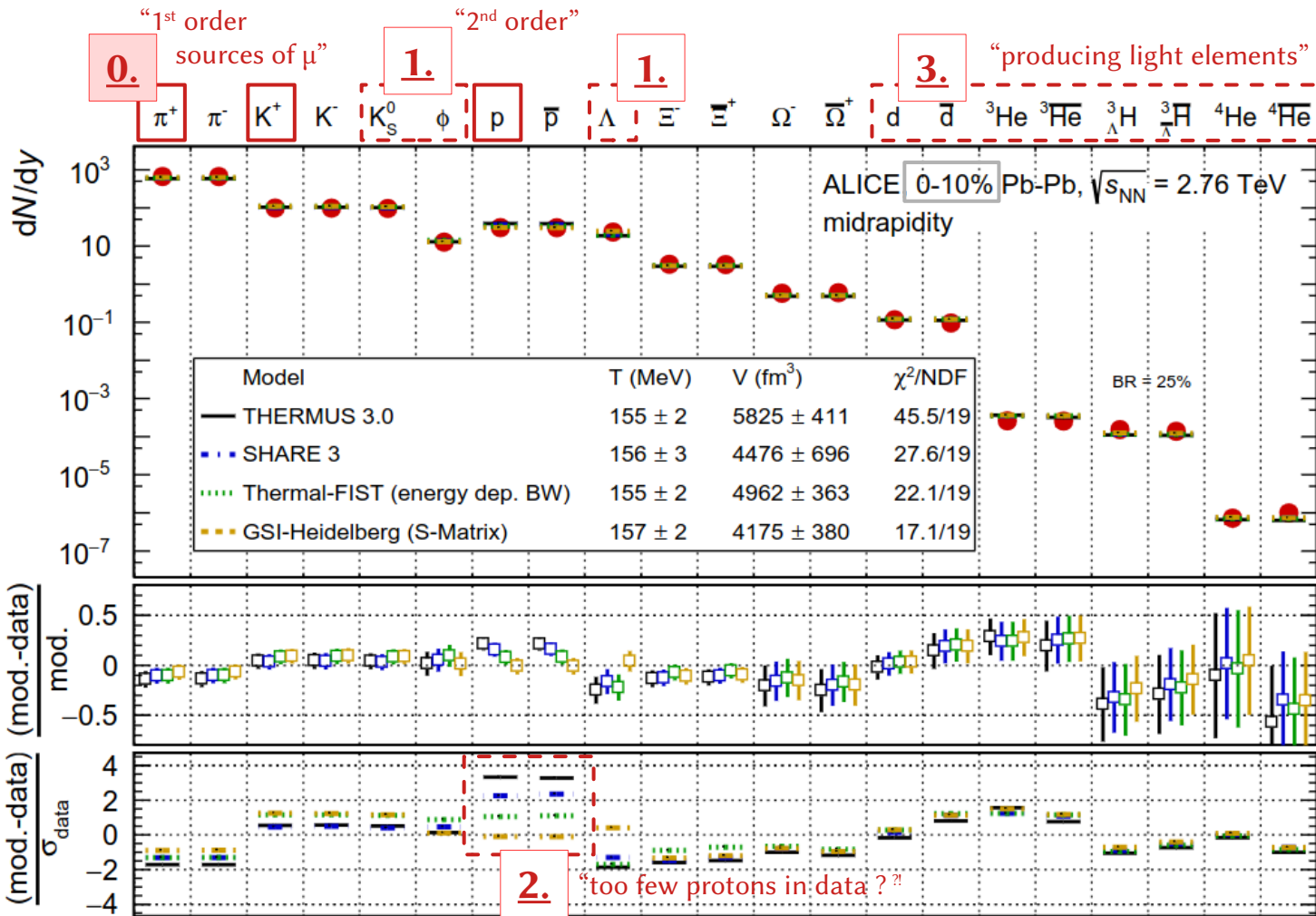
(→ Numbers from [arXiv:1612.08966](https://arxiv.org/abs/1612.08966))

III.3 – Landscape : p_T -integrated overview at mid-rapidity ...

$$\int_{p_T=0}^{\infty} d^2N/dp_T dy = \text{corrected } dN/dy \text{ per Pb-Pb event}$$

→ Very good agreement [prompt species] Vs [Statistical Hadronisation Model (SHM)]

SHM assumes *chemical* equilibration at hadronic chemical freeze-out, in a (*grand*-)canonical ensemble



Pb-Pb $\sqrt{s_{NN}} = 2.76$ TeV (0-5%)

[arXiv:1303.0737](https://arxiv.org/abs/1303.0737)

$$dN_{ch}/d\eta = 1601 \pm 60$$

Vs identified *prompt* pop^o

$$\pi^+ \quad 733 \pm 54$$

$$\pi^- \quad 732 \pm 52$$

$$K^+ \quad 109 \pm 9$$

$$K^- \quad 109 \pm 9$$

$$p \quad 34 \pm 3$$

$$\bar{p} \quad 33 \pm 3$$

$$1750 \pm 130$$

i.e. $\pi^\pm, K^\pm, p^\pm \approx$ already 100% of the N_{ch} picture

[arXiv:1307.5530](https://arxiv.org/abs/1307.5530)

$$K_s^0 \quad 110 \pm 10$$

$$\Lambda \quad 26 \pm 3$$

[arXiv:1404.0495](https://arxiv.org/abs/1404.0495)

$$\phi(1020) \quad 13.8 \pm 1.8$$

0.

"1st order"

1.

"2nd order"

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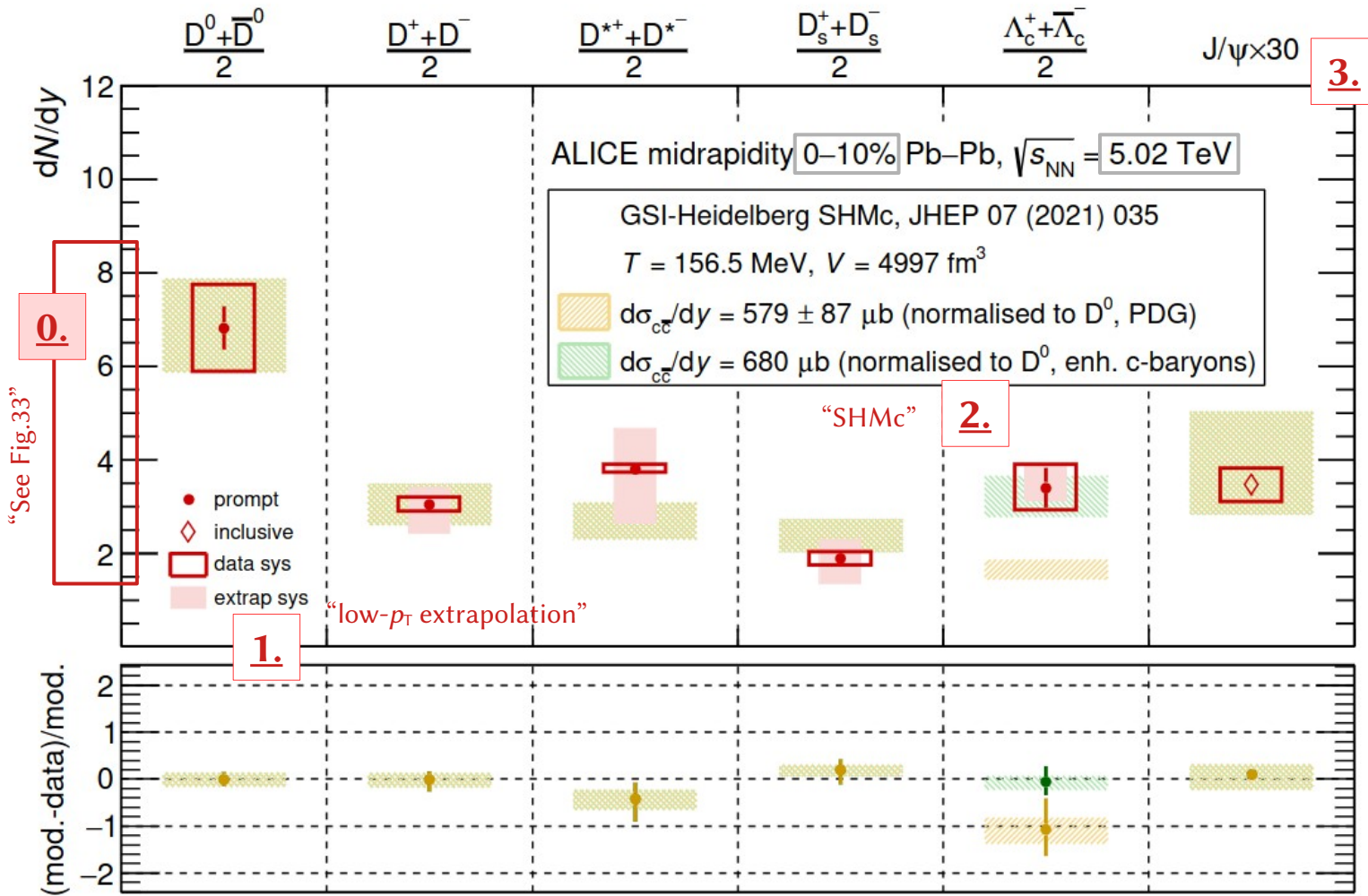
III.3 – Landscape : p_T -integrated overview at mid-rapidity ...

$$\int_{p_T=0}^{\infty} d^2N/dp_T dy = dN/dy \text{ per pp event}$$

Same picture desired in pp 2.76 TeV, 5.02 TeV, 7 TeV and/or 13 TeV ...

1. ALICE data dN/dy are measured and there, still to be plotted
 - dN/dy orders of magnitude will of course change dramatically
 - but the relative abundancies π, K, p will remain in the same ballpark
 - i.e. $\pi^{\pm}/N_{\text{ch}} \approx 84\text{-}85\%$
 - $K^{\pm}/N_{\text{ch}} \approx 12\text{-}15\%$
 - $p^{\pm}/N_{\text{ch}} \approx 4\text{-}5\%$
2. SHM in pp ? “Any expectation ?” :
 - “SHM assumes *chemical* equilibration at hadronic chemical *freeze-out*, in a (~~grand~~)*canonical* ensemble”
 - SHM canonical ensemble is a must
 - SHM will perform less well (χ^2/NDF will rise)
but will likely catch the orders of magnitude
with $T_{\text{pp}} \approx T_{\text{PbPb}} \pm 2\text{-}4 \text{ MeV}$

III.4 – Landscape : SHMc and Heavy flavours

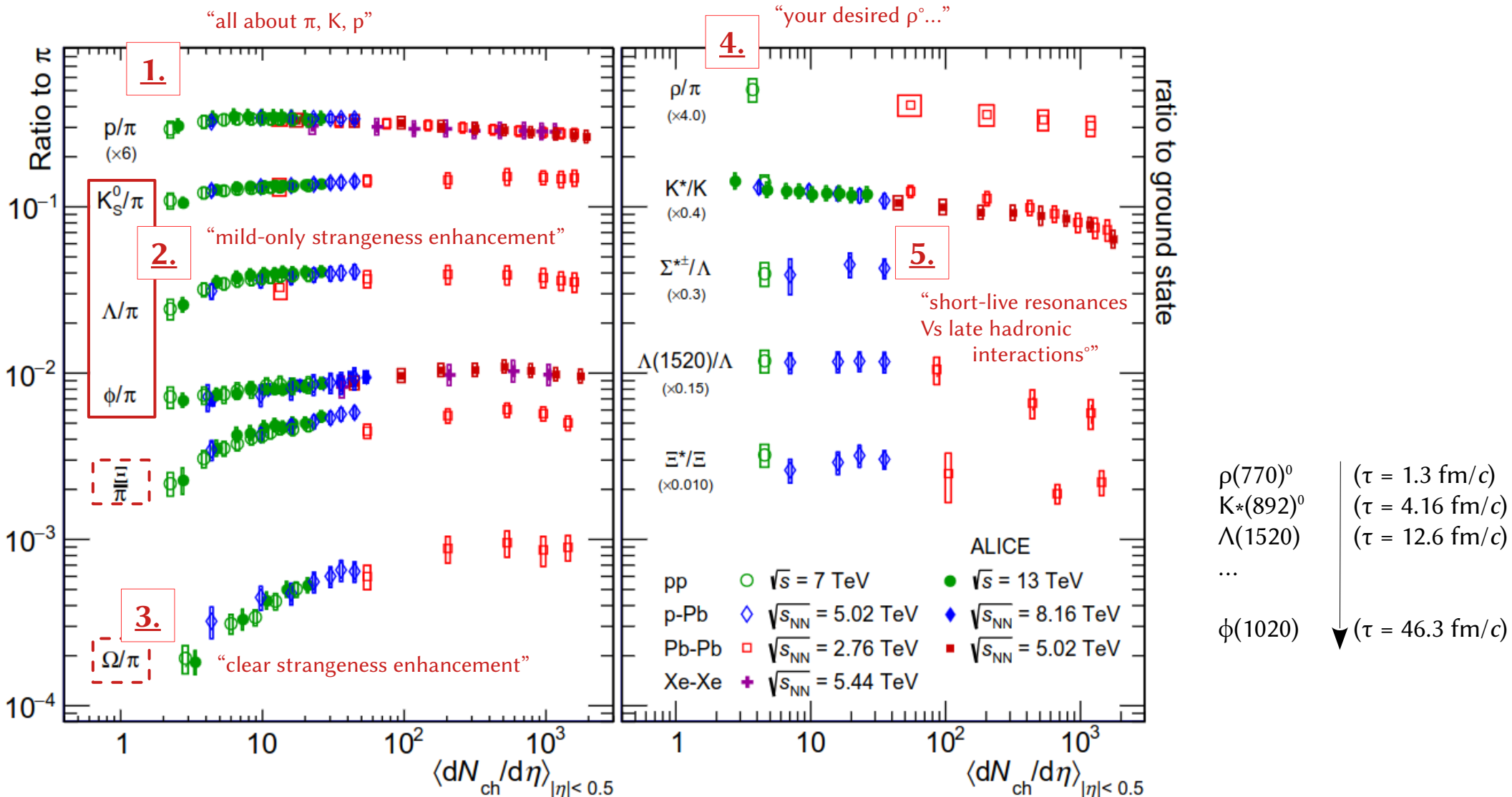


“ $J/\psi \approx$ recombination/suppression”

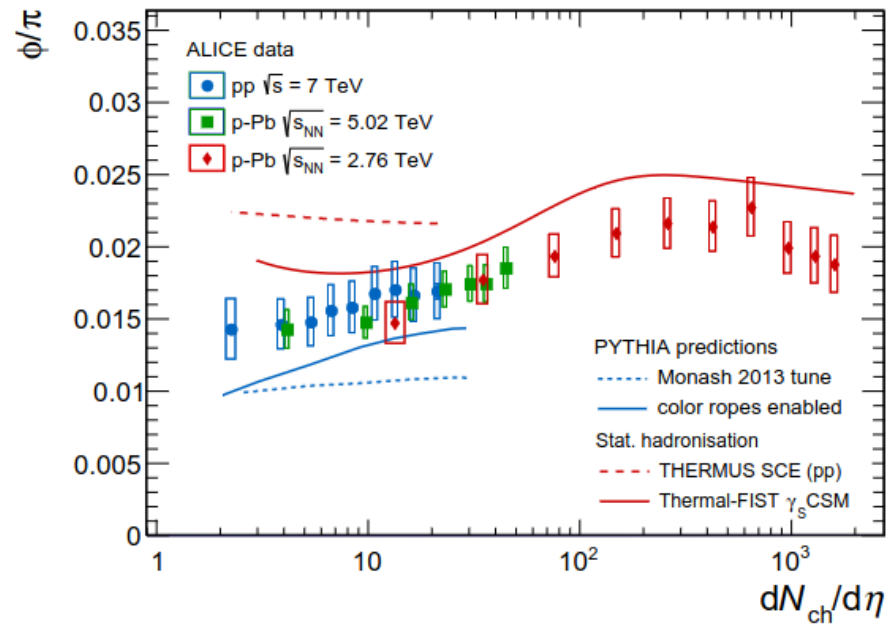
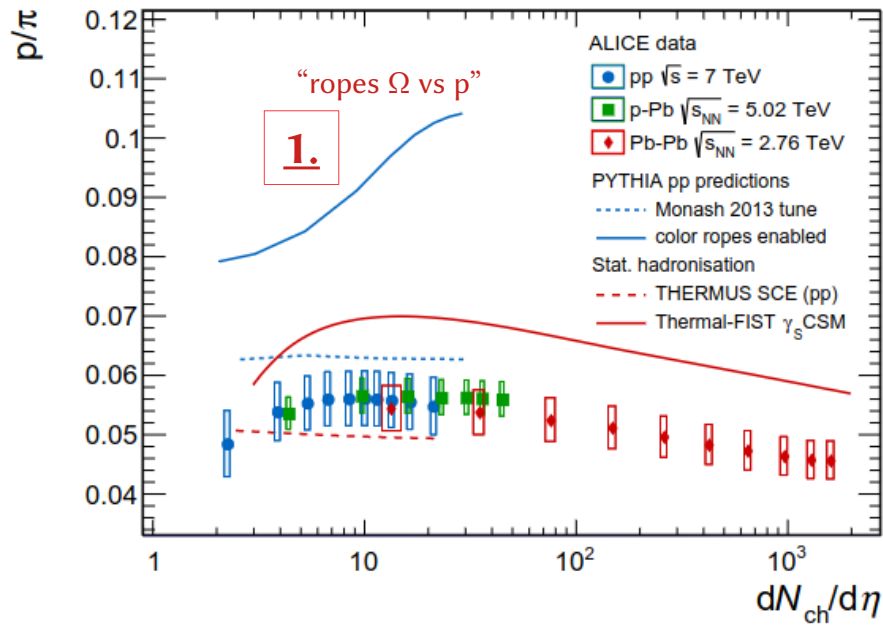
$c+\bar{c}$ produced before QCD medium, in the initial hard scatterings (T_{medium} too low to create on-shell $c+\bar{c}$)

But $c+\bar{c}$ may see each other / u, d, s quarks around and hadronise statistically...

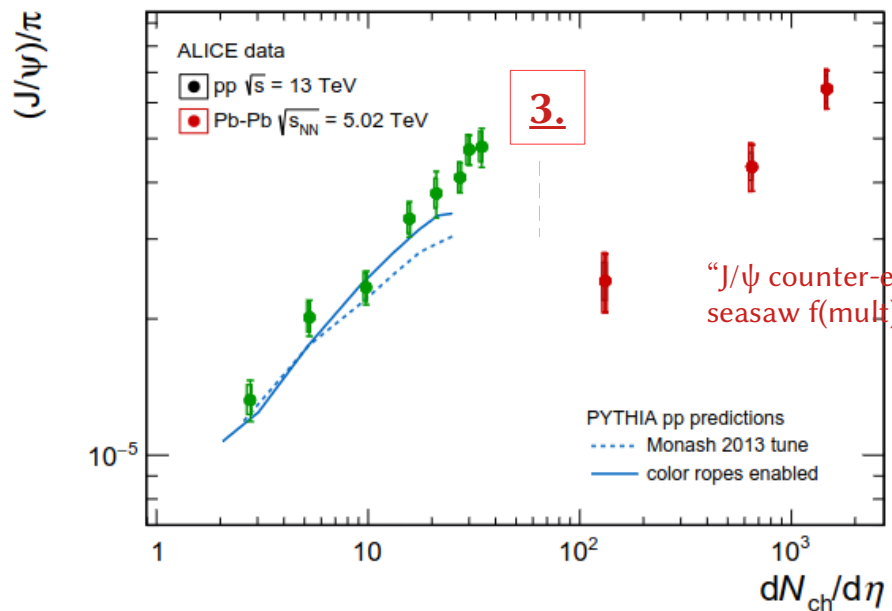
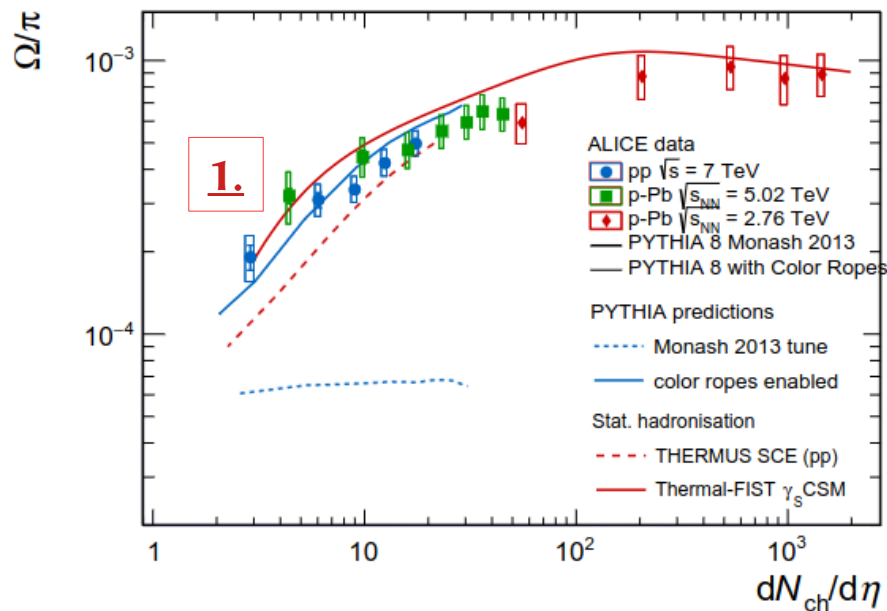
IV.1 – N_{ch} dependence : ratio to π^\pm , ratio resonance/ground state .



IV.3 – N_{ch} dependence : some comparison to MC generators

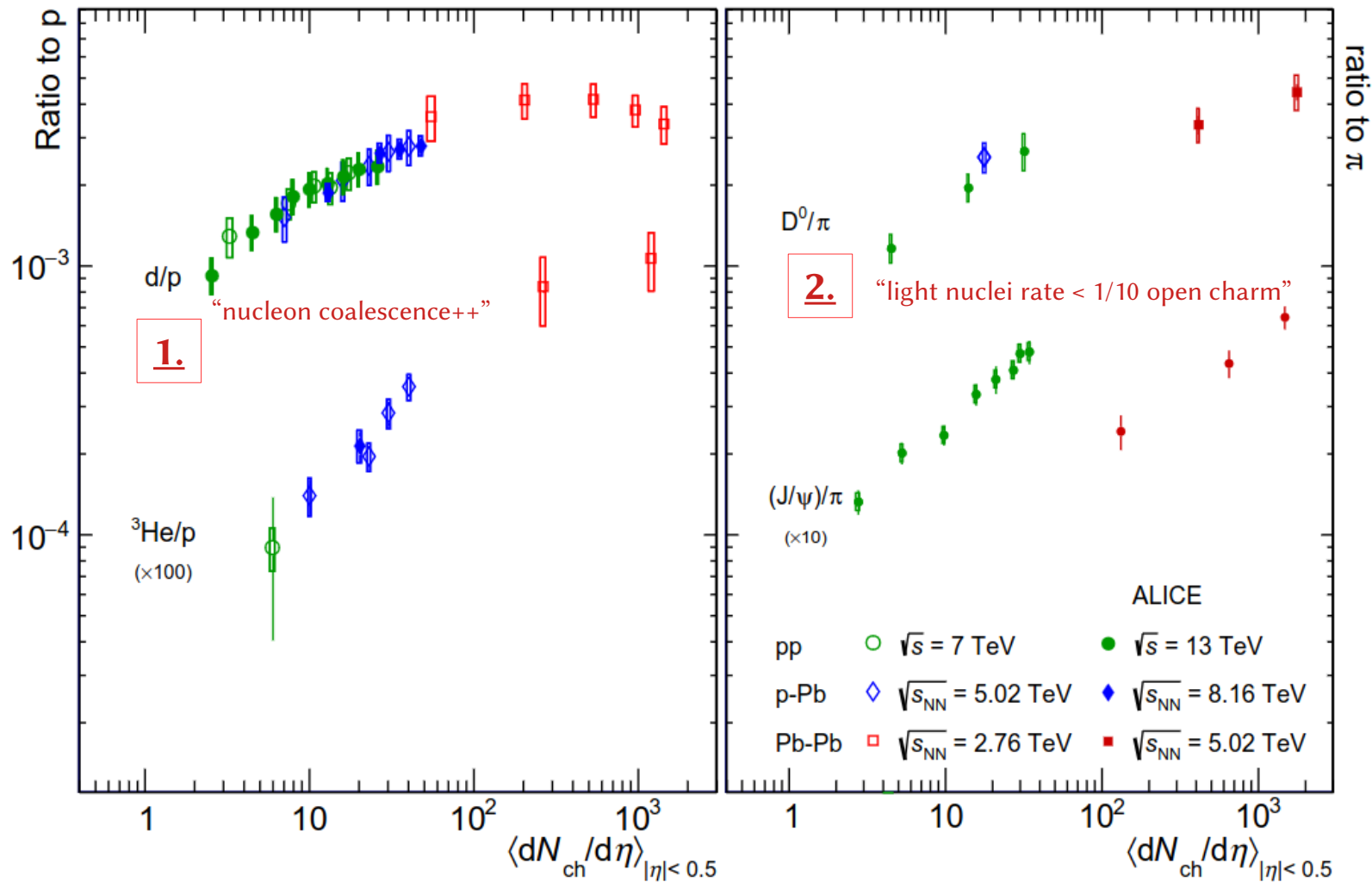


“ $\phi(1020)$ to π
 $\approx 1\text{-}2\%$...
 $\phi(1020)/K^\pm$
 $\approx 12\text{-}15\%$ ”

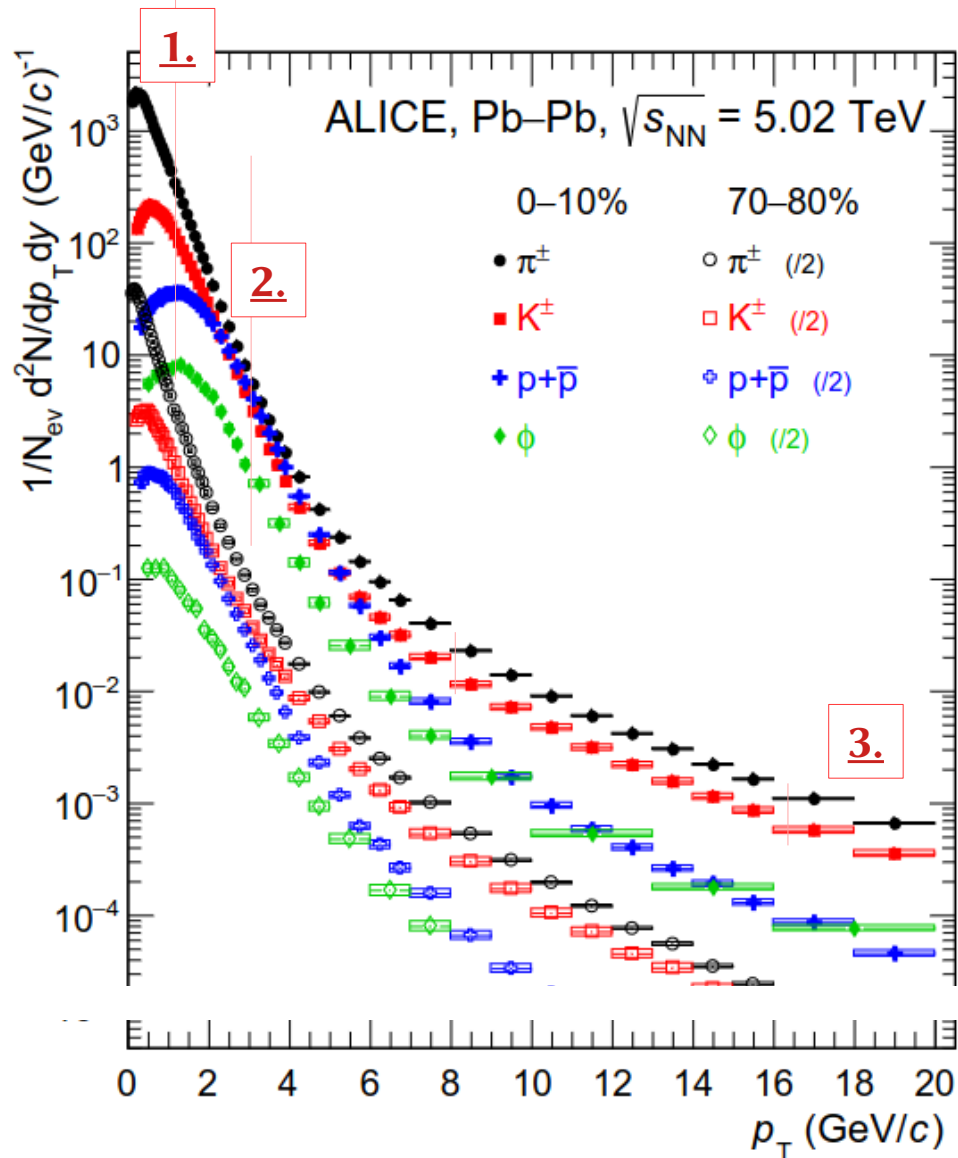


“ J/ψ counter-example
 seasaw $f(\text{mult})$?!”

IV.2 – N_{ch} dependence : light nuclei and charm ratios



V.1 – Remarks : the upheaval with the p_T dependence



1. • Where does lay most of the production ?
 $\approx \forall$ LF species, 95% of dN/dy sits at $p_T < 2$ GeV/c

- Beware :
 - the order of magnitude of $d^2N/dp_T dy$
 - the hierarchy among species $f(p_T)$
 - the reorganisation among p_T domains for a given species

→ behind the “ p_T scene”,
production ratios = $f(p_T)$!

e.g.

2.

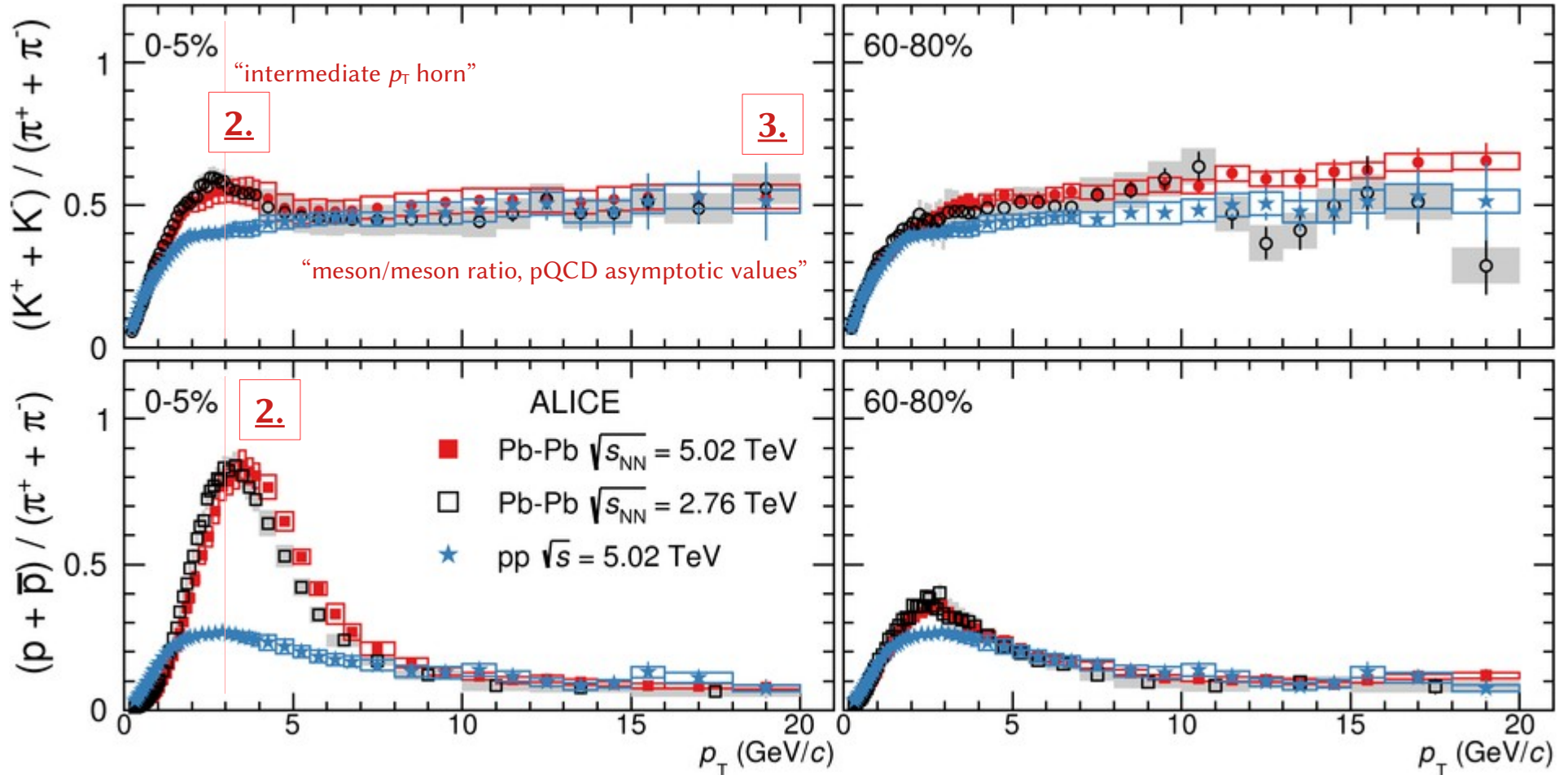
$[p > K]$ and $[p \approx \pi]$, at $p_T \approx 3$ GeV/c

3.

$K/\pi \approx$ asymptotic value at $p_T > 5-6$ GeV/c
 Similarly, $\phi(1020)/K$, flatens out ...

V.2 – Remarks : the upheaval with the p_T dependence

arXiv:1910.07678



For $\phi(1020)/K = f(p_T)$ or $\phi(1020)/\pi = f(p_T)$, see Fig.7 in arXiv:1702.00555

V.3 – Remarks : side-note remarks ...

- **(superposition of \neq collision types) = a fact** : Pb-Pb hadronic collision + photoproduction γ -Pb⁸²⁺
 Seen in excess (factor 7 wrt to pp) of low momentum J/ψ ($0 < p_T < 300$ MeV/c)
 from coherent photo-production of vector mesons in γ -A “collisions” → [arXiv:1509.08802](https://arxiv.org/abs/1509.08802)
 → To be considered also for LF sector ? e.g. ALICE ρ^0 photoproduction in UPC, at $y \approx 0$
 → ρ^0 : [arXiv:2002.10897](https://arxiv.org/abs/2002.10897) 2.76 TeV + [arXiv:2002.10897](https://arxiv.org/abs/2002.10897) 5.02 TeV

- $\exists [\phi(1020) \rightarrow \mu^+\mu^-]$ measurement at **forward rapidities** ($-4 < y < -2.5$)
 → [arXiv:1506.09206](https://arxiv.org/abs/1506.09206) p-Pb 5.02 TeV, pp 2.76 TeV
 → [arXiv:1804.08906](https://arxiv.org/abs/1804.08906) Pb-Pb 2.76 TeV (fwd y + mid y)

- **π^0 and η** p_T -spectra $|y| < 0.5$ in pp, p-Pb, Pb-Pb
 (“ N_μ sensitive to γ flux in the late air shower”, right ?
 \exists 11 ALICE articles on such neutral mesons,
 (e.g. [arXiv:2104.03116](https://arxiv.org/abs/2104.03116))

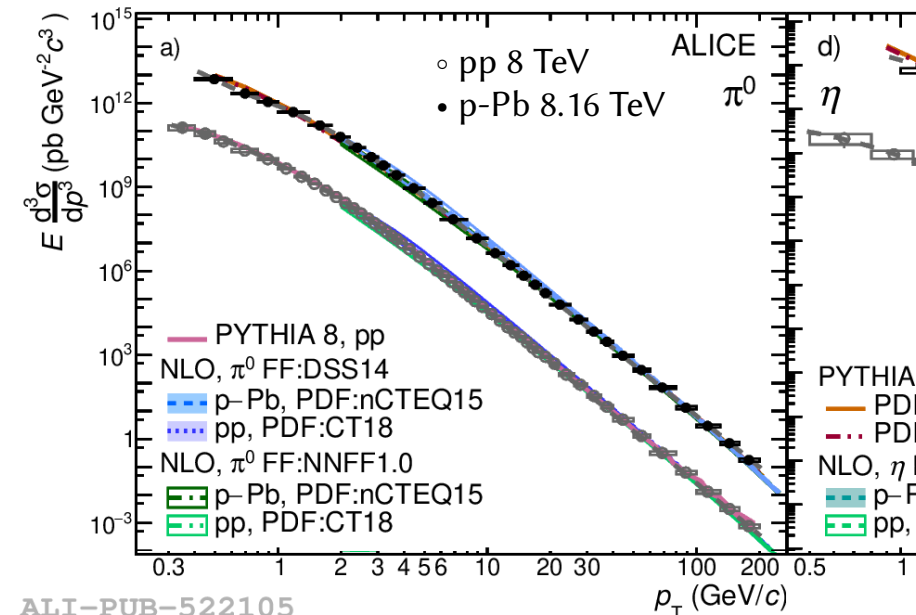
→ Any tension π^0 Vs π^\pm

(isospin symmetry likely preserved in *direct* production, but may \exists feed-down difference = $f(p_T)$ in both *prompt* π^0 and π^\pm populations) ?

1. Ratio $\pi^0/\pi^\pm = f(p_T)$
 to be computed from HEPdata...

2.1 Ratio $K^\pm/K^0_s = f(p_T)$
 to be computed from HEPdata...

2.2 Getting in turn a ratio $K^\pm/K^0_L = f(p_T)$...



V.4 – Remarks : my parting shot on the cocktail composition ...

The importance of getting the correct cocktail composition of particle species... as function of p_T
→ May play decisive role on the various **feed-down** towards

$\pi^\pm, \pi^0, K^\pm, K^0_S, K^0_L,$
($K^*(892), \phi(1020), \dots$)

Example:

One tends to overlook Σ^\pm and Σ^\mp ...

But they can influence $dN_{ch}/d\eta$,

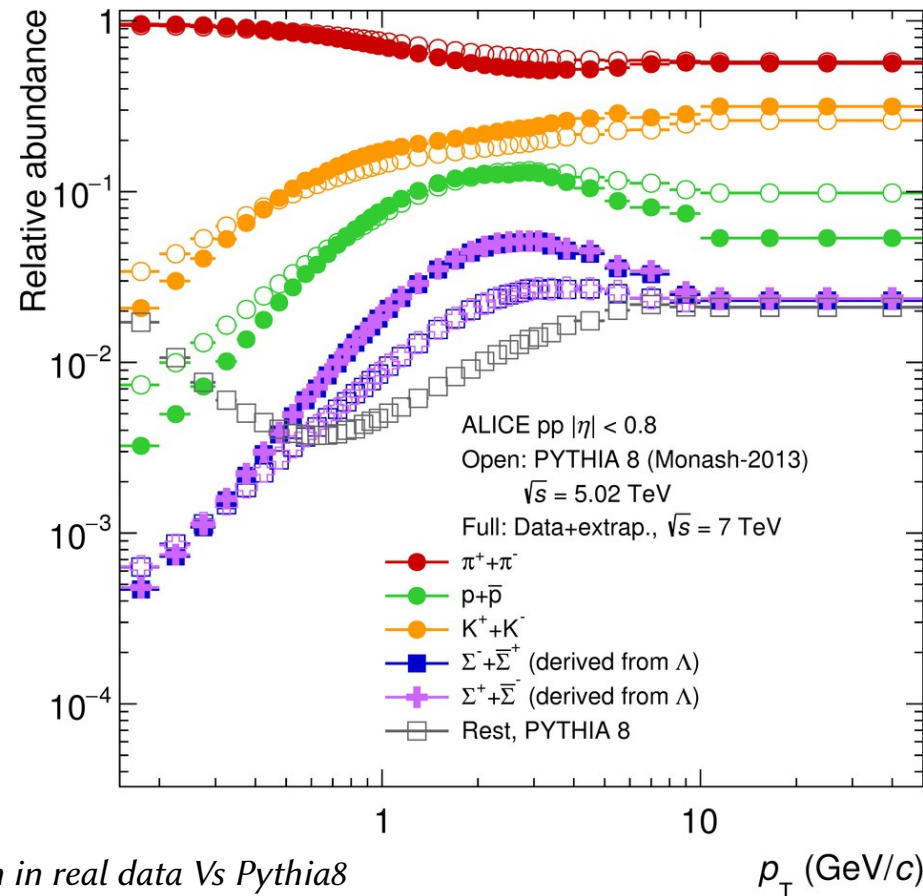
i.e. there are :

(i) \approx abundant

(ii) charged particles

(iii) that live long enough,
especially from mid p_T on

→ [arXiv:1802.09145](https://arxiv.org/abs/1802.09145)



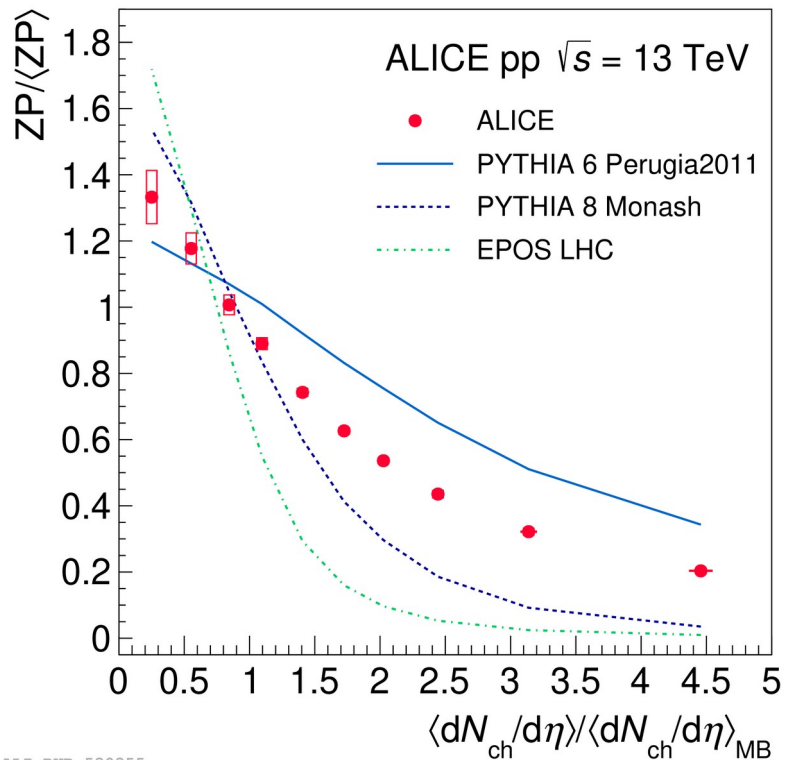
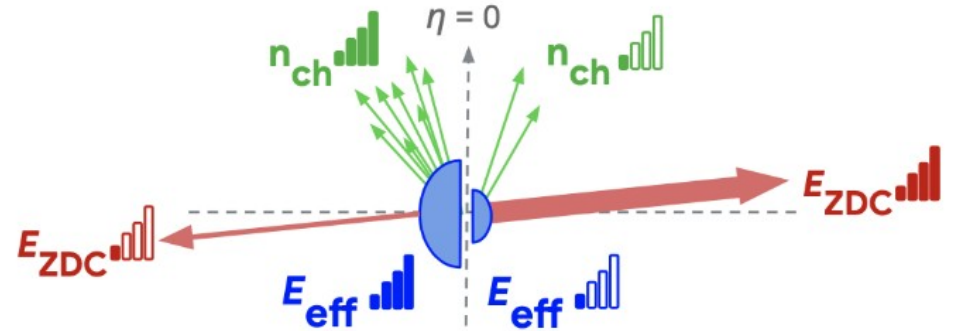
Cocktail composition in real data Vs Pythia8

VI.1 – Special glimpse : [mid-rapidity $dN_{ch}/d\eta$] Vs [fwd energy]

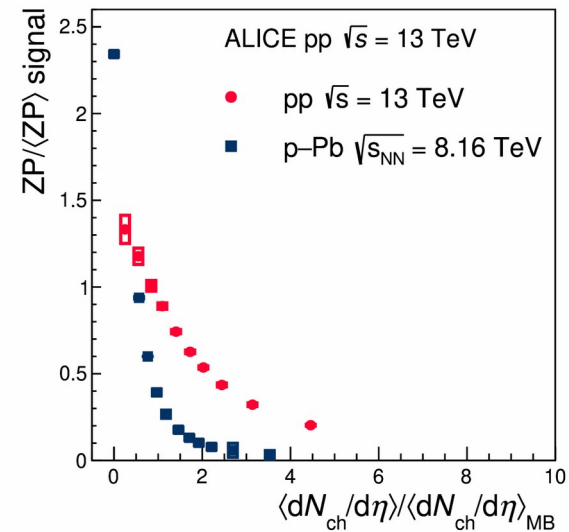
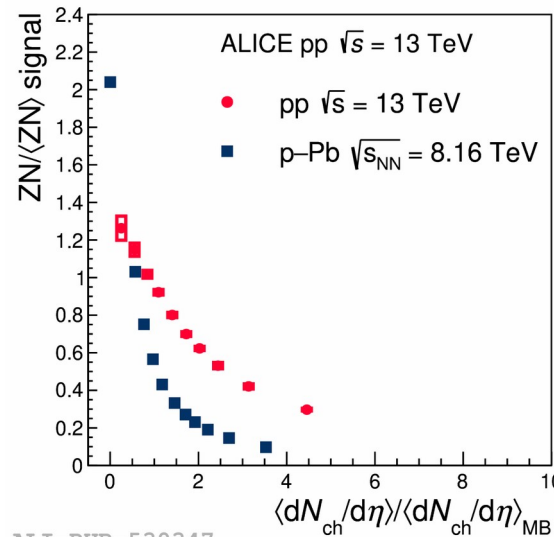
SPD ($|\eta| < 1.0$ here)

ZDC ($|\eta| > 7.0$)

V0C ($-3.7 < \eta < -1.7$)
+ V0A ($2.8 < \eta < 5.1$)



\exists anti-correlation E_{ZDC} Vs $dN_{ch}/d\eta$ | $|\eta| < 0.8$!



ALI-PUB-530355

ALI-PUB-530347

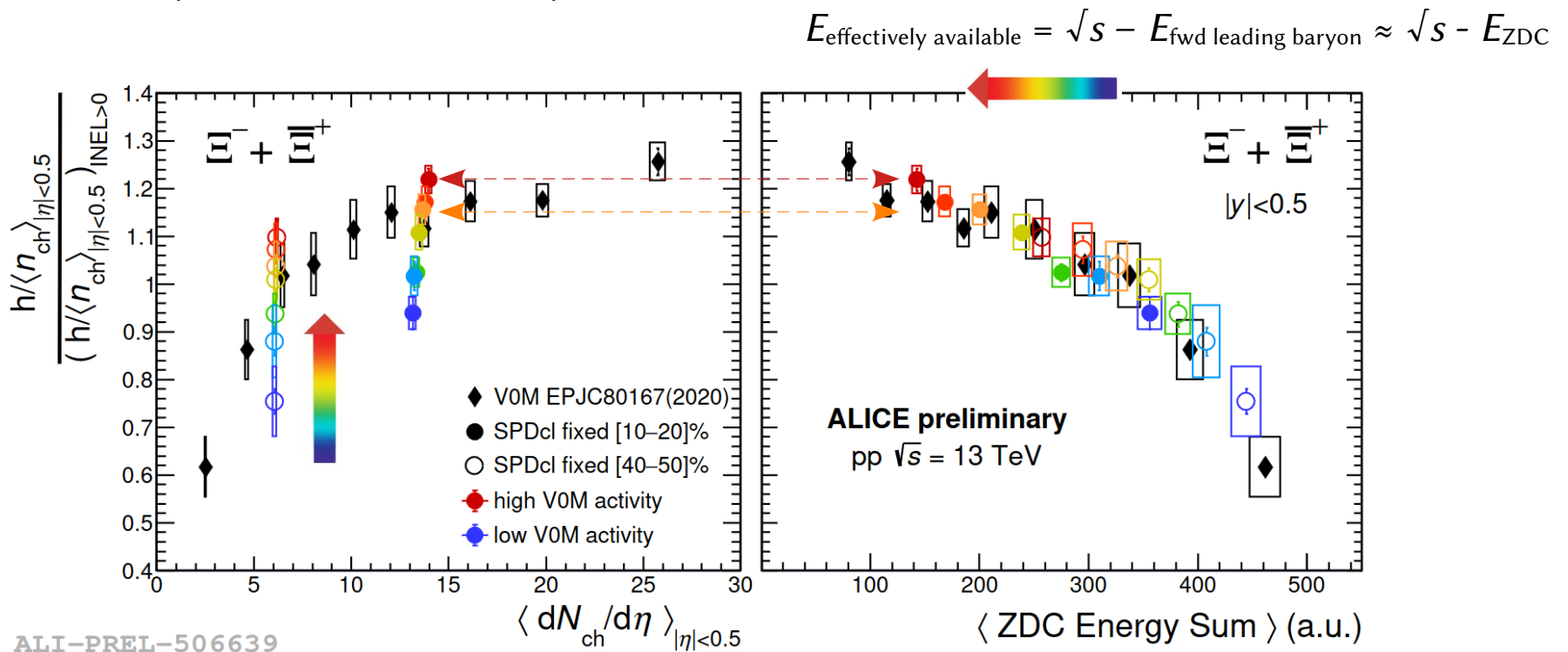
VI.2 – Glimpse : strangeness enhancement Vs forward energy

SPD ($|\eta| < 0.8$ here)

ZDC ($|\eta| > 7.0$)

“Triple”-differential analysis...

V0C ($-3.7 < \eta < -1.7$) + V0A ($2.8 < \eta < 5.1$)



Strangeness enhancement is **anticorrelated** with forward E_{ZDC} , even if one fixes mid-y multiplicity
 → Early stages (large rapidity gap) matter in strangeness enhancement

Part C – Event activity estimators in ALICE

VII.1 – Event activities : examples

- N_{ch} in a given η region
 - $|\eta| < 0.5, 0.8, 1.0, 1.2$ with SPD tracklets or clusters
 - forward activity with V0A+V0C amplitudes

- (• $R_T = N_{ch,transverse} / \langle N_{ch,transverse} \rangle_{MB}$
 Nch in the underlying-event region after *Skands*, [arXiv:1603.05298](https://arxiv.org/abs/1603.05298)
 their per-event transverse activity with respect to the mean
 e.g.

ALICE, [arXiv:2310.07490](https://arxiv.org/abs/2310.07490)

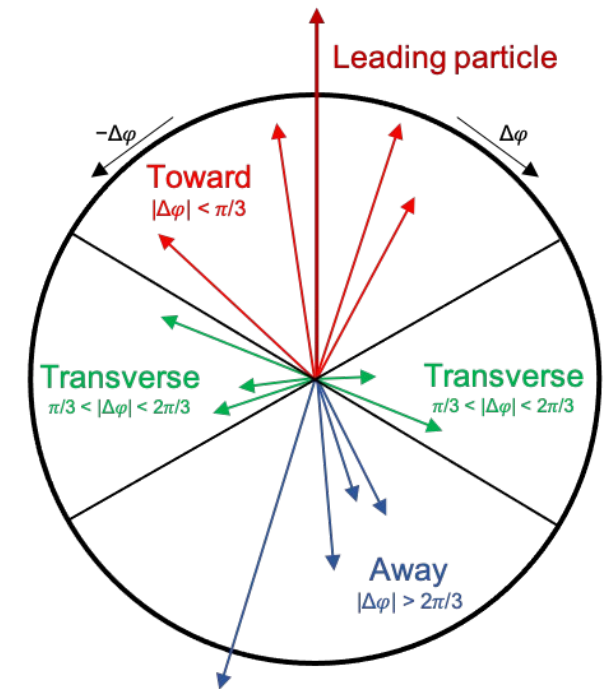
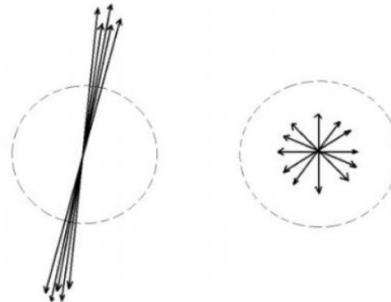
ALICE, [arXiv:1910.14400](https://arxiv.org/abs/1910.14400)

- **Spherocity** = define the unit vector \hat{n}_s that minimise S_0

$$S_0 \equiv \frac{\pi^2}{4} \min_{\hat{n}_s} \left(\frac{\sum_i |\vec{p}_{T,i} \times \hat{n}_s|}{\sum_i p_{T,i}} \right)^2$$

ALICE, [arXiv:1905.07208](https://arxiv.org/abs/1905.07208)

ALICE, [arXiv:2310.10236](https://arxiv.org/abs/2310.10236)

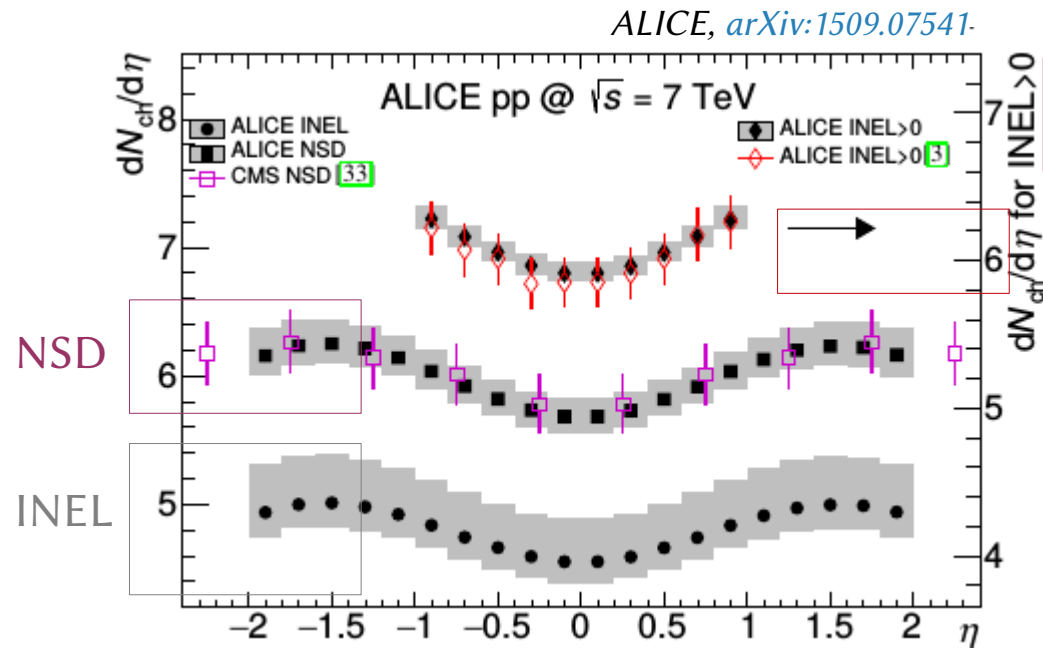


) not for today...

VII.2 – Method : retain a global event class, “0-100%”

Possible choices :

- Non-Single diffractive collision sample ?
- Inelastic collision sample ?
- INEL>0 = at least 1 charged track in $|\eta| < 1$?
- ...

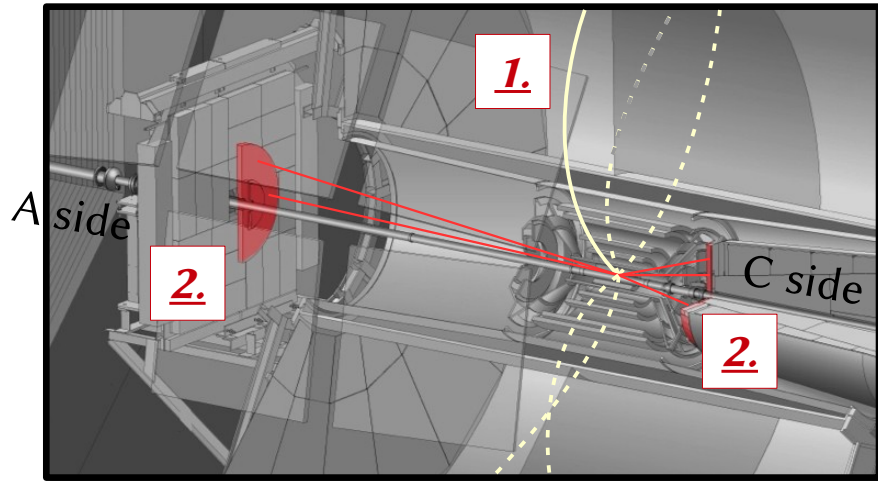


Often, choice of the class 0-100% :

INEL>0 | $|\eta| < 0.5$

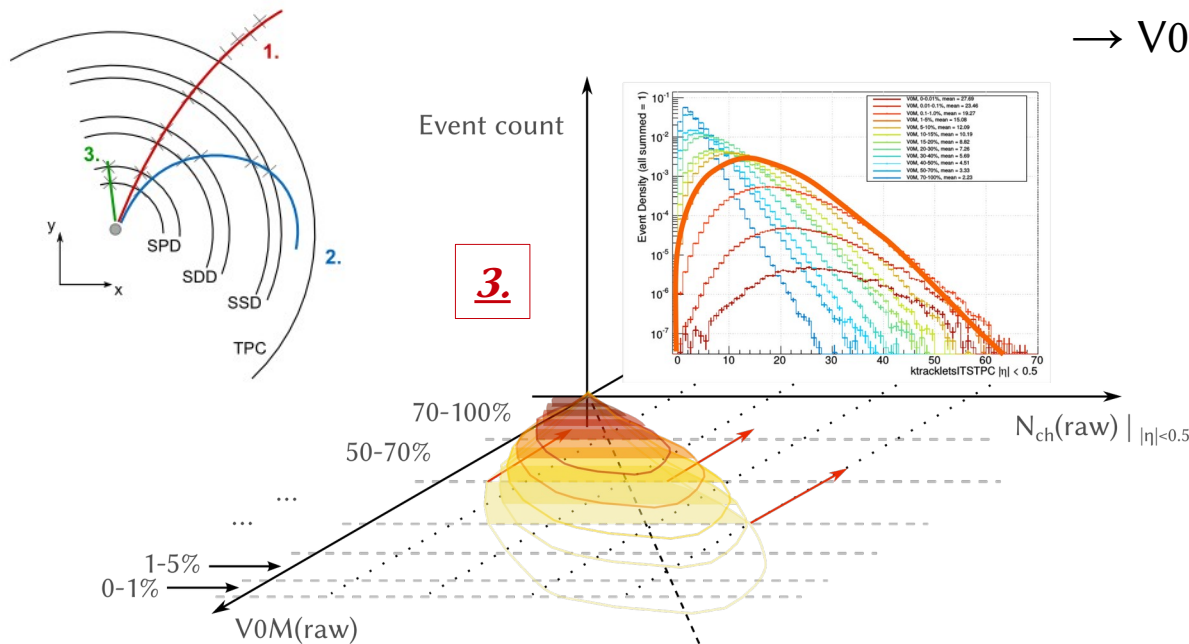
→ purpose : minimising the model dependence

VII.3 – Method : event activity sampling



1. For events with at least 1 charged particle (typically above $p_T \approx 50$ MeV/c) in $|\eta| < 0.5$
 $= \text{INEL} > 0 \mid_{|\eta| < 0.5}$
 → NB : = **corrected** quantity (for tracklet AxEff, $\int p_T$, event trigger...)
 = what defines “100%” of the event sample

2. sample the event activity with forward det. :
 V0 suM
 i.e. plain addition of V0A and V0C **raw** signals
 → V0M distrib^o further hashed into percentiles



3. For each V0M activity interval,
 → back to $y \approx 0$!
 derive the mean, $\langle dN_{ch}/d\eta \rangle_i$, of the **corrected** distrib^o of charged tracks in $|\eta| < 0.5$

VII.4 – Method : V0M-sampled $\langle dN_{ch}/d\eta \rangle \big|_{|\eta|<0.5}$

pp, $\sqrt{s} = 7$ TeV

ALICE Nature Phys. = [arXiv:1606.07424](https://arxiv.org/abs/1606.07424) + PRC [arXiv:1807.11321](https://arxiv.org/abs/1807.11321) + $dN_{ch}/d\eta = f(\eta)$ in pp [arXiv:2009.09434](https://arxiv.org/abs/2009.09434)

Reference : $\langle dN_{ch}/d\eta \rangle_{INEL>0} (|\eta| < 0.5) \approx 5.96 \pm 0.23$

$\approx 3.5 \langle dN_{ch}/d\eta \rangle_{INEL>0}$



Class name	I	II	III	IV	V
$\sigma/\sigma_{INEL>0}$	0–0.95%	0.95–4.7%	4.7–9.5%	9.5–14%	14–19%
$\langle dN_{ch}/d\eta \rangle$	21.3±0.6	16.5±0.5	13.5±0.4	11.5±0.3	10.1±0.3

VI	VII	VIII	IX	X
19–28%	28–38%	38–48%	48–68%	68–100%
8.45±0.25	6.72±0.21	5.40±0.17	3.90±0.14	2.26±0.12

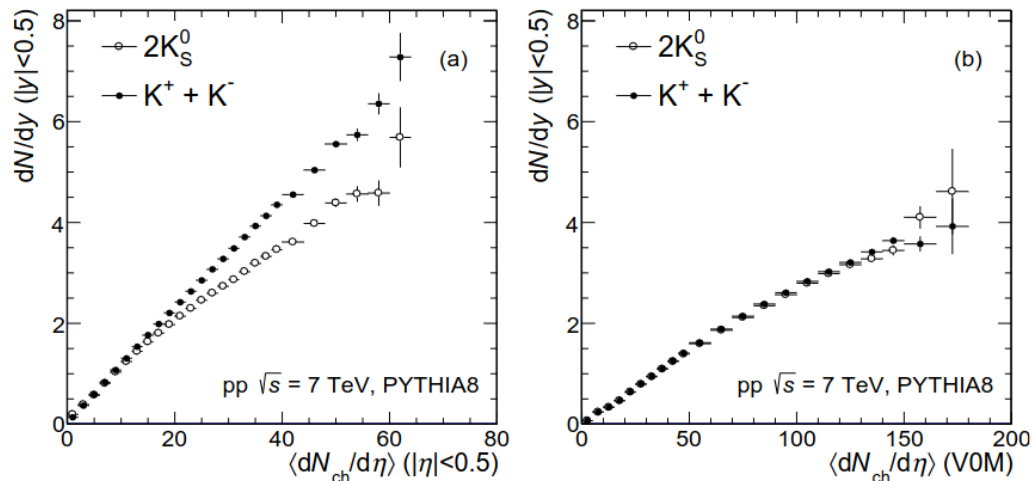


$\approx 0.4 \langle dN_{ch}/d\eta \rangle_{INEL>0}$

Remark : “What is this crazy binning : 0-0.95%, 0.95-4.7 % ?!” ...

VII.5 – Method : (mid-mid) self-correlat° and (mid-fwd) correlat°

ALICE, [arXiv:1807.11321](https://arxiv.org/abs/1807.11321)

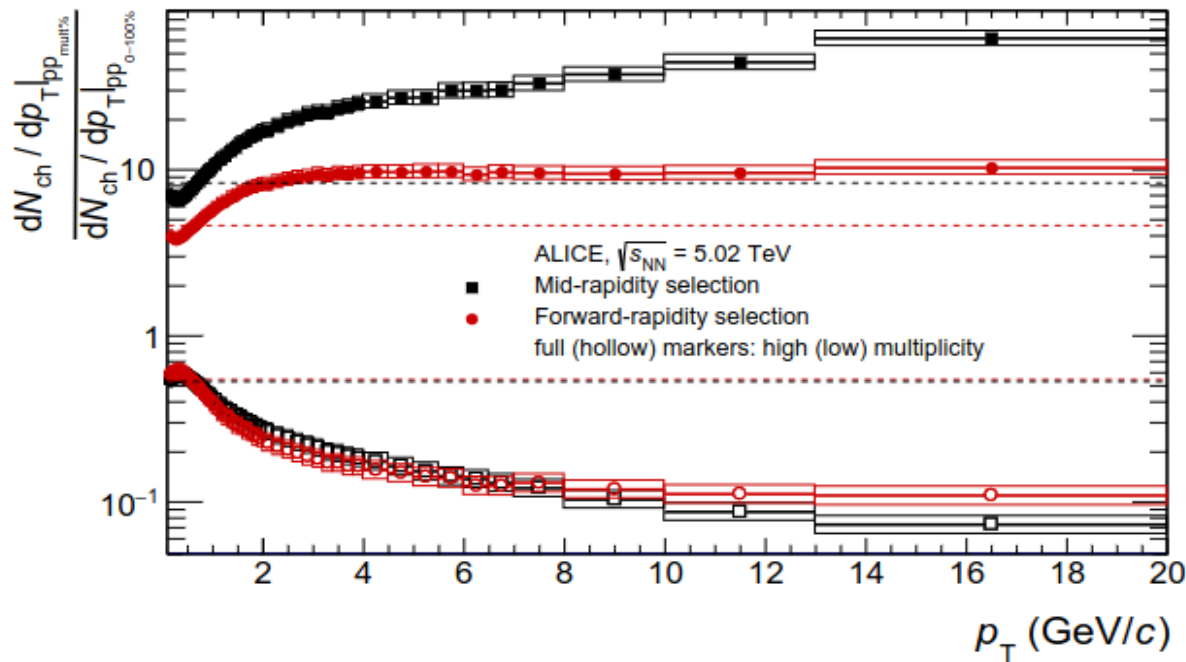


Example of Pythia8 Monash simulations:

- Left = Nch estimator = Nch in $|\eta| < 0.5$ i.e. mid-rapidity
- Right = Nch estimator in a V0M acceptance i.e. forward
- Particles of interest = kaons, charged or neutral

→ left :

Creating an artificial isospin asymmetry $K^\pm K^0$ s ...



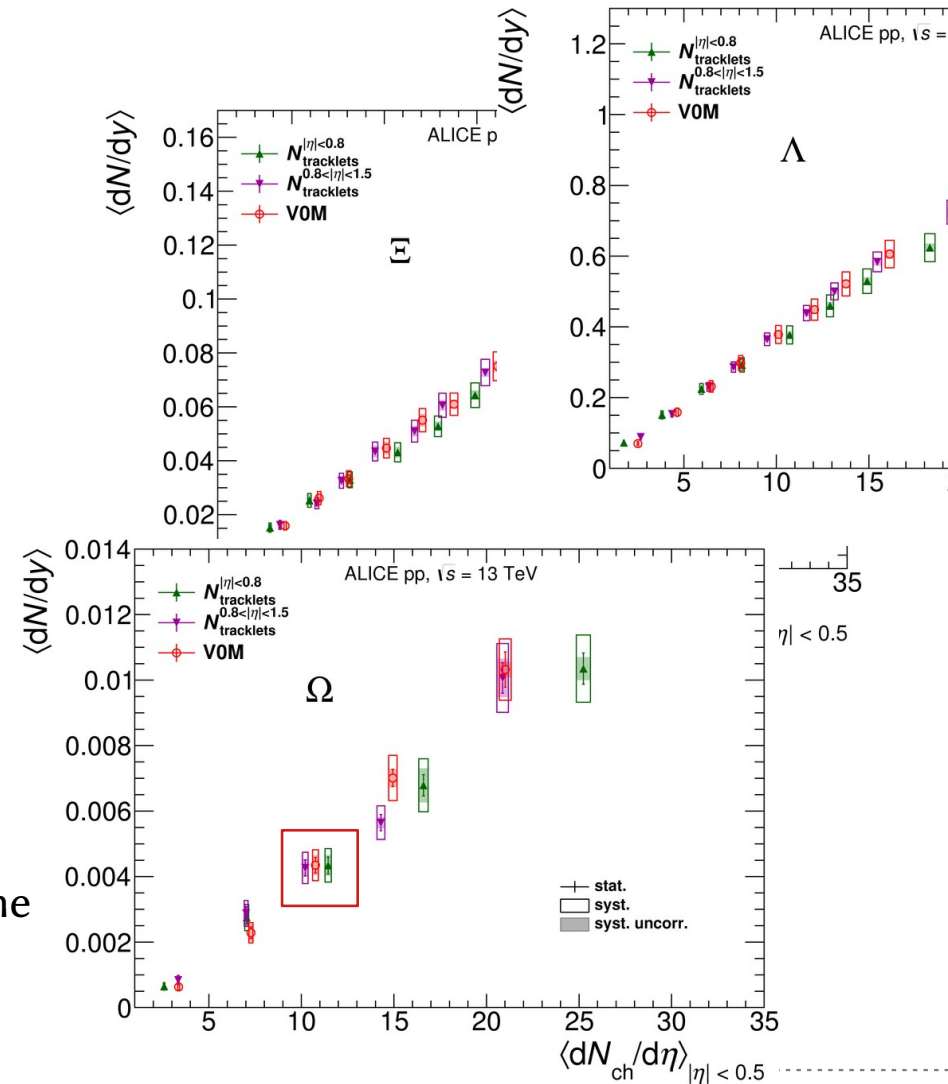
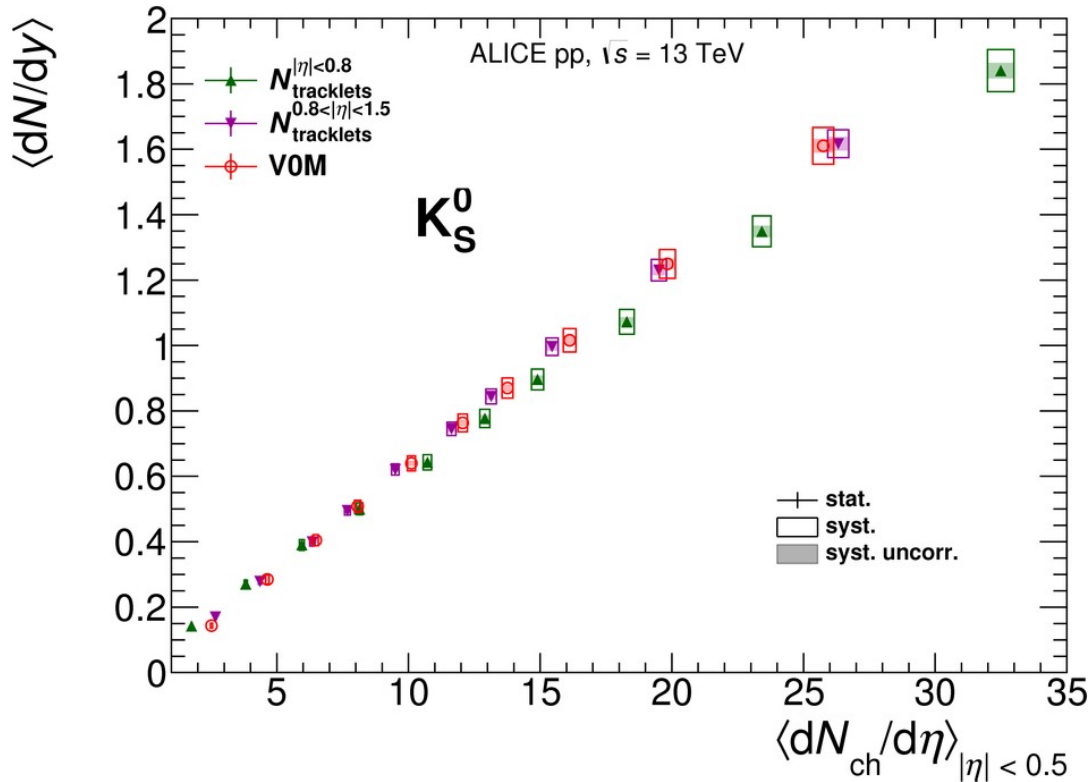
NB:

Correlations [mid / fwd] have their own features... that MCgen need silently to match... \neq trivial, = a hidden topic on its own

ALICE White paper, Fig.76, [arXiv:2211.04384](https://arxiv.org/abs/2211.04384)

VII.6 – dN/dy : different mult. estimators, tested

arXiv:1908.01861



Curves look very much alike at first glance ! but...

be careful if you are after a 1-5%-precision MC tune

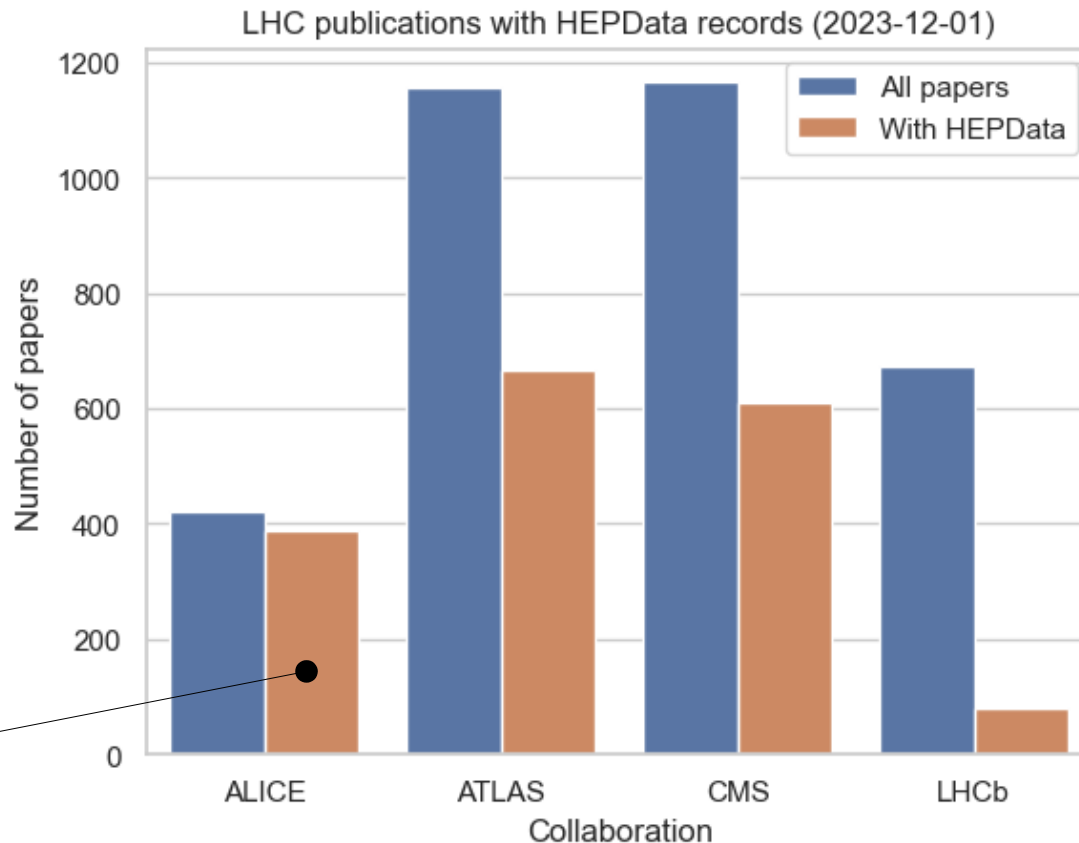
There is a slight degeneracy around !

i.e. one mult estimator \rightarrow one specific response !

(Keep in mind the effect on the Rivetisation ...)

Part D – Rivetisation efforts

VIII.1 – Rivetisations in ALICE : HEPdata + Rivet plugins



Fraction of ALICE papers with HEPdata > 90%

*Graeme Watt, from HEPdata, JupyterNotebook
InspireRecordsWiHEPdata*

ALICE policy :

- HEPdata archive available internally within the collaboration at the arXiv preprint release
- archive pushed publicly to HEPdata once the paper is accepted by the journal

VIII.2 – Rivetisations in ALICE : HEPdata + Rivet plugins

<https://rivet.hepforge.org/rivet-coverage>

Key	ALICE	ATLAS	CMS	LHCb	Forward
Rivet wanted (total):	327	401	504	198	16
Rivet REALLY wanted:	55	50	99	16	0
Rivet provided:	32/359 = 9%	202/603 = 33%	114/618 = 18%	31/229 = 14%	9/25 = 36%

Rivet challenges :

- 1.** multiple-run analysis
(e.g. pp part + Pb-Pb part,
centrality/event activity calibration)
→ core features for that available > Rivet 3.1
("reentrant finalise")
- 2.** what if post-processing \in analysis ?
(e.g fits often present in analysis)
- 3.** large *MinBias* statistics to simulate,
large CPU time and/or large disk storage
(e.g. Pb-Pb event including hydro)

4. Rivetisation objective :

\neq match MC points to real data ones, not yet...

(that is the ultimate goal for the MC generator authors...)

But

= mimic as close as possible the logic and the ingredients of the real-data analysis,
despite everything ...

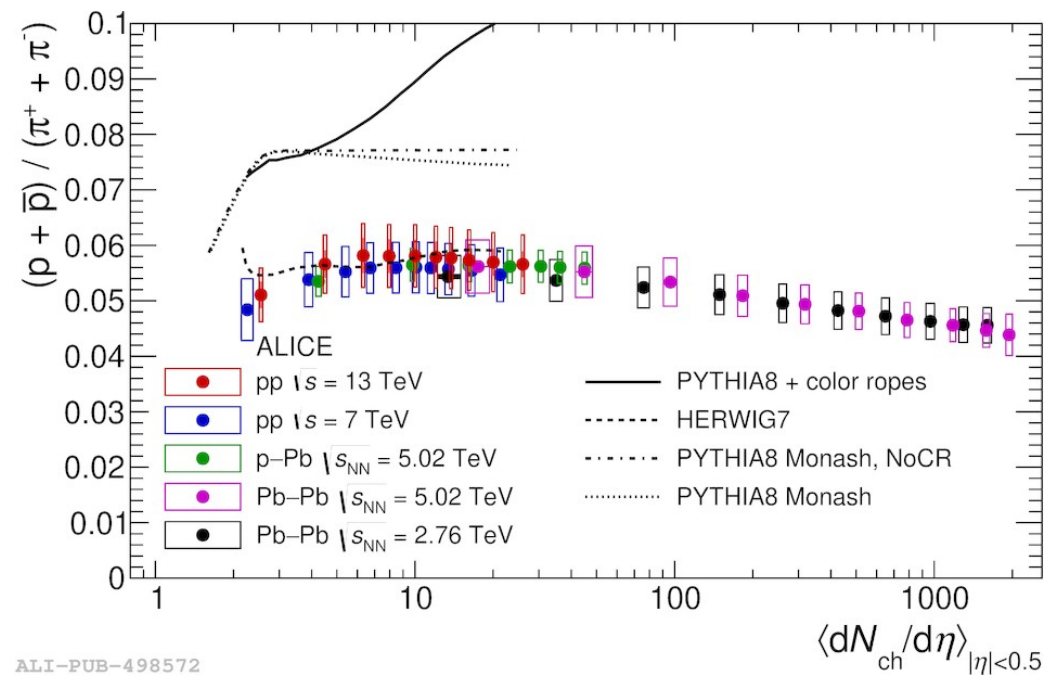
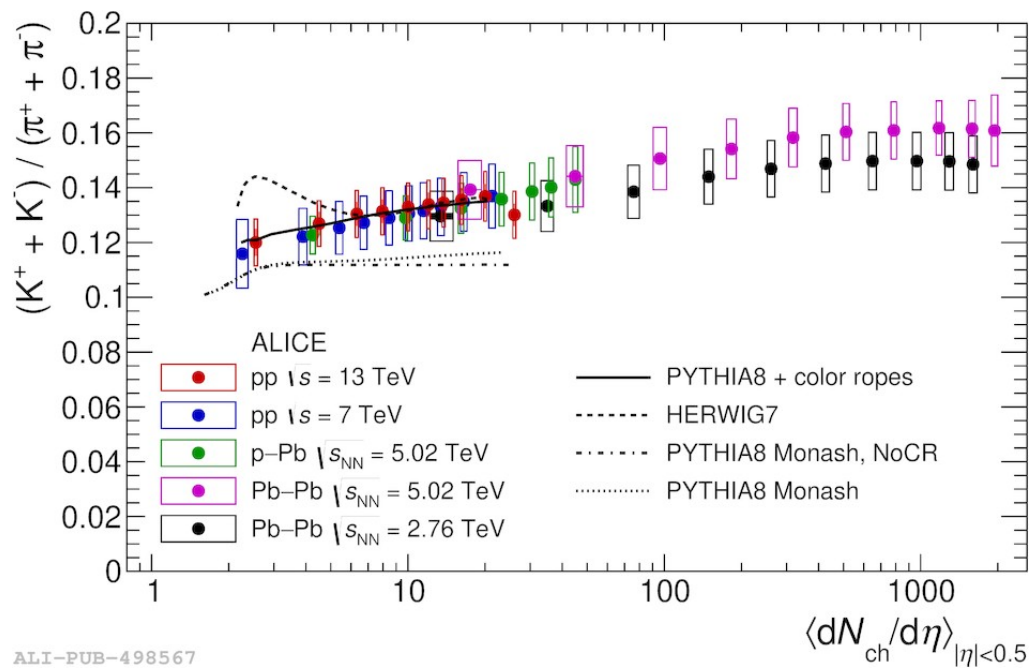
→ *A reliable rivetisation* = [~~get the physics, right~~] but [get the MC analysis, alike] !

The End...

Part B – Selected set of results

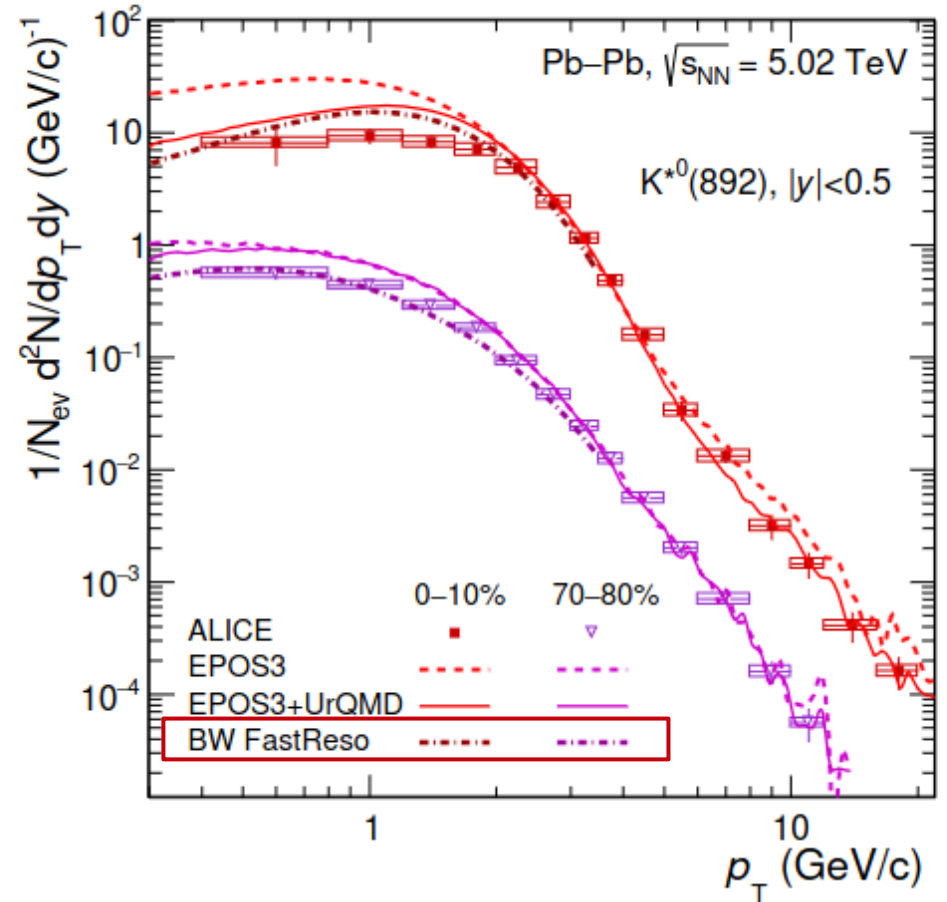
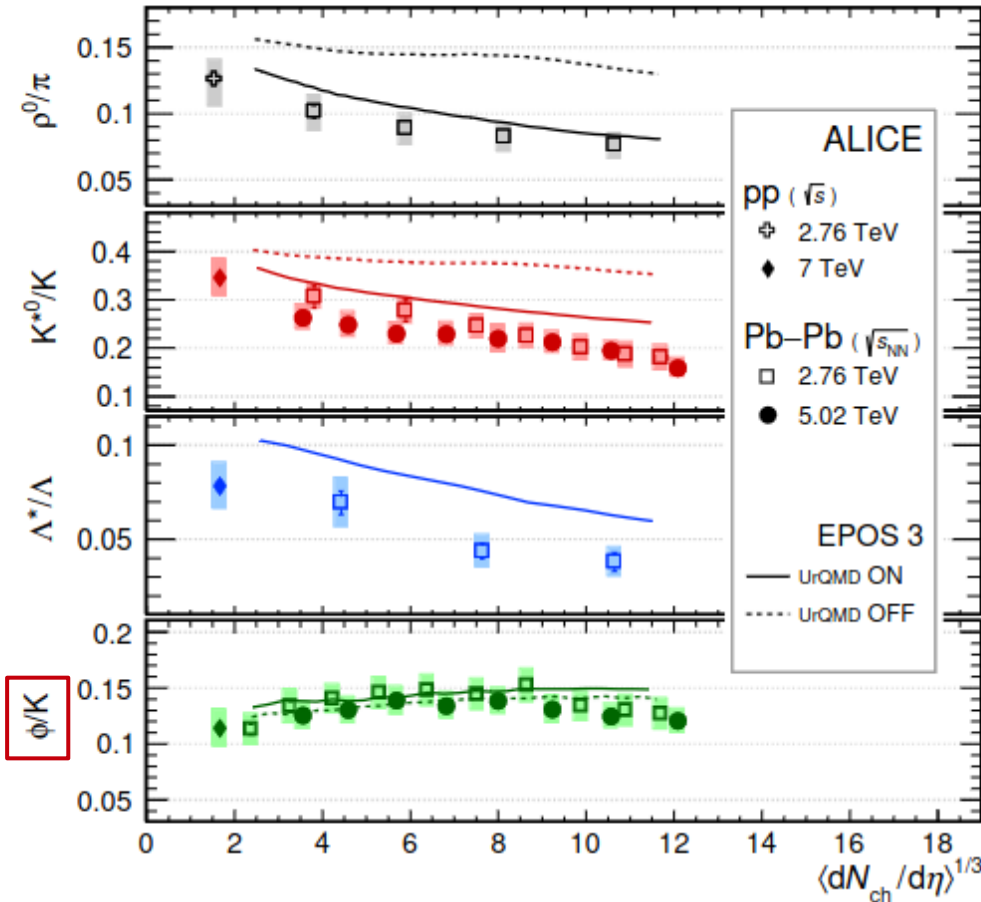
I.1 – Part:...

ALICE $\pi, K, p = f(\text{mult})$ Fig.5 arXiv:2003.02394



I.1 – Landscape : resonances and hadronic interactions.

ALICE White paper, Fig.41, [arXiv:2211.04384](https://arxiv.org/abs/2211.04384)



$\Phi(1020)/K^\pm \approx$ stable $f(\text{mult})$ in pp to Pb-Pb

$\rho(770)^0$	($\tau = 1.3$ fm/c)
$K^*(892)^0$	($\tau = 4.16$ fm/c)
$\Lambda(1520)$	($\tau = 12.6$ fm/c)
$\phi(1020)$	($\tau = 46.3$ fm/c)

- FastReso by Mazeliauskas, [arXiv:1809.11049](https://arxiv.org/abs/1809.11049)
- Blast Wave FastReso, [arXiv:1909.10485](https://arxiv.org/abs/1909.10485)